



Science, Technology and Innovation Platform

Annual Activity Report

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Japan-ASEAN Science, Technology and Innovation Platform (JASTIP),

Work Package 2 (WP2) – Energy and Environment

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Surface Modifications of Catalysts in Nanoscale for Improvement of Selectivity and Durability of Photoconversion of Environmental Pollutant and Biomass

Researchers

1. Prof. Dr. Takashi Sagawa	Graduate School of Energy Science, Kyoto
	University
2. Dr. Verawat Champreda	National Center for Genetic Engineering and
	Biotechnology (BIOTEC), NSTDA
3. Assoc. Prof. Dr. Surawut Chuangchote	Department of Tool and Materials Engineering,
	King Mongkut's University of Technology
	Thonburi (KMUTT)
4. Prof. Dr. Navadol Laosiripojana	Joint Graduate School of Energy and
	Environment (JGSEE), King Mongkut's University
	of Technology Thonburi (KMUTT)
5. Miss Nattida Srisasiwimon	Joint Graduate School of Energy and
	Environment (JGSEE), King Mongkut's University
	of Technology Thonburi (KMUTT)
6. Dr. Nopparat Suriyachai	University of Phayao

Abstract

Photocatalytic processes for purifications of wastewater to environmentally friendly materials and conversion of sugars to energy and valuable chemicals are considered as new renewable energy technologies and innovations in terms of energy transitions. This work focused on the modification of photocatalysts for the purification of wastewater and conversion of sugars to high-value chemicals. The photocatalysts were designed by optimization of preparation conditions or surface modifications. In terms of purification of wastewater, the photocatalysts were modified using the molecular imprinting technique to create the specific binding cavity for dye molecules on the surface of TiO₂. This technique can increase the selectivity of the photocatalysts. While, the application of conversion of sugars focused on the optimization of preparation TiO₂ coating on supporters (ceramic ball, glass ball, and alumina ball) to apply in a flow reactor. The developments of those photocatalytic systems provide strong platforms for photo-purification of environmental pollutants and photoconversions of sugars to value-added chemicals.

Keyword: Photocatalysts; Wastewater purification; Sugar conversion to high-value chemicals

Introduction

Photocatalysis is considered as a favorable technology for the purification of wastewater and/or conversion of sugars to valuable chemicals due to its environmentally friendly method and low cost [1]. TiO₂ photocatalyst has received the most research attention because of its affordability, nontoxicity, high photoactivity, and high chemical stability [2]. The challenges for the photocatalytic reaction are the fabrication of photocatalysts, recycling of photocatalysts after use, and improving the selectivity of the photocatalysts. In this work, we described the fabrication and modifications of photocatalysts for purification of wastewater and conversion of sugars to high-value chemicals (*e.g.* functional sugar derivatives) through the improvement of catalyst specificity by optimization of preparation conditions or surface modifications and design and assembly of a prototype flow reactor. The modifications of photocatalyst and development of the photocatalytic system can enhance a strong efficiency in photo-purification of environmental pollutants and photoconversion of sugars to value-added chemicals [3-4].

Research Summary

(1) Photodegradation of an environmental pollutant (methyl red) by molecular imprinted TiO₂:

Effective and selective photodegradation of an environmental pollutant (methyl red, MR) was investigated by using molecular imprinted TiO_2 hollow nanofibers (MIHNF-TiO_2). MIHNF-TiO_2 was prepared from the mixture of methyl red, $Ti(OiPr)_3CI$, acetylacetone, polyvinylpyrrolidone (PVP), and $Ti(OBu)_4$ in EtOH through electrospinning biased under 15 kV at the distance of 15 cm and a feeding rate of 3.0 mL h⁻¹. After the dryness under the

atmospheric conditions followed by dipping into 1% NH₃ aq, the obtained fibers were calcined at 600 °C for 3 h. SEM images and specific surface areas of electrospun TiO₂ nanofibers were indicated in Figure 1.

Photodegradation of MR (6.25, 12.5, 25, and 50 ppm) by MIHNF-TiO₂ in water (pH 7.0) under the irradiation of a 500 W Xe lamp at room temperature was performed and the decrease of the absorbance of MR was monitored.



Figure 1. SEM images and specific surface areas of electrospun TiO₂ nanofibers (a), hollow nanofibers (b), molecular imprinted nanofibers (c), and molecular imprinted hollow nanofibers (d).





Figure 2. Pseudo 1st order rate constants (k) for MR photodegradation with TiO₂.

Figure 3. Michaelis-Menten parameters (V_{max}/K_m) for MR photodegradation with TiO₂.

The time-course changes in the concentration of MR obeyed the pseudo-first-order kinetics (Figure 2) with good linearity and the estimated rate constants were replotted as a Lineweaver Bulk plot. Michaelis constant (K_m) and apparent maximal rate constant (V_{max}/K_m) after the formation of MR-TiO₂ complex were estimated (Figure 3). It was found that i) TiO₂ NF has larger *k* because of larger S_{BET} than MINF, ii) MIHNF shows remarkable large *k* at lower concentration of MR, iii) MINF has 2.09 times higher affinity ($1/K_m$) for MR than TiO₂ NF, and iv) MIHNF has 1.16 times larger V_{max}/K_m value than non-imprinted HNF. The affinity (*viz.* comparison of $1/K_m$ value) of the molecular imprinted TiO₂ for methyl red is 4 times higher than that of the non-imprinted one. Larger V_{max}/K_m of molecular imprinted TiO₂ than that of non-imprinting, which might be able to create the specific binding cavity for MR on the surface of TiO₂. It can conclude that the improvement of selectivity of molecular imprinted TiO₂ photocatalysts for purification of wastewater could be increased.

(2) Photoconversion of sugar by photocatalytic flow reactor:

We developed a flow reactor using Ag/N-TiO₂ as a photocatalyst coated on several supports (ceramic balls, glass beads, and alumina balls) to solve the problem of catalyst separation and recovery. After coating with Ag-N/TiO₂, the surface morphology of ceramic balls and glass beads were covered with Ag-N/TiO₂ in the form of nanoparticles. It could be seen that the catalyst-coated ceramic balls achieved particles with very fine size and well

dispersion compared with those in cases of glass beads and alumina balls as shown in Figure 4A-4C. Among the several coating techniques tested, the impregnation process resulted in the highest efficiency for photocatalytic conversion of sugar. Moreover, we found that the rate of glucose conversion was increased with an increase in the flow rate because more glucose molecules can contact catalyst surfaces per unit of time. The flow rate of 100 mL min⁻¹ showed the highest glucose conversion of 50% for 6 h with a high yield of gluconic acid, arabinose, xylitol, and formic acid (Figure 4 D-E) as same as a previous report [5]. The durability of the catalysts was studied and 99% catalyst recovery could be achieved.



Figure 4. Photograph and SEM images of three different supporting materials (A1) ceramic ball, (B1) alumina ball, and (C1) glass bead. Numbers 2 refer to Ag-N/TiO₂ coated on supporting material at image magnifications of 30k (scale bar = 1 μ m) and (D) Photoconversion of glucose over Ag-N/TiO₂ on ceramic ball carried out in photo-bio flow reactor (E) product yields of photocatalytic glucose conversion over Ag/N-TiO₂ nanoparticles.

Conclusion

This collaborative work between BIOTEC/KMUTT and Kyoto University under the JASTIP program was focused on continual research on fabrication and modification of photocatalysts for photoconversion of environmental pollutant and biomass. According to the results, the surface modification by using the molecular imprinting technique to create the specific

binding cavity for dye molecules on the surface of TiO_2 . This technique can increase the selectivity of the photocatalysts. While, the photocatalytic glucose conversion could convert to a mixture of value added chemicals by using continuous flow reactor under UV-light. Ag-N/TiO₂ coated on ceramic balls using impregnation technique showed the highest photocatalytic performance with high efficiency and stability on photocatalytic glucose conversion. This technique can solve problem on catalyst separation and recovery.

Reference

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Publications and Presentations

Roongraung, K.; Chuangchote, S.; Suriyachai, N.; Champreda, V.; Laosiripojana, N.; Maeda, T.; Kimura, T.; Okazaki, Y.; Qu, C.; Sagawa, T. "Surface Modifications of Catalysts in Nanoscale for Improvement of Selectivity and Durability of Photoconversion of Environmental Pollutant and Biomass," ASEAN International Conference on Energy and Environment in Phnom Penh, Cambodia (Hybrid Conference), 14-15 Sep 2022.

Group Workshops, meetings, and researcher exchange

Supaporn Klabklaydee of KMUTT stayed at Kyoto University from 9 Jun to 31 Jul 2022, supported by the Amgen Scholars Program.

Other outcomes

N.A

Development of Bio-based Carbon Materials for Energy Storage Applications

Researchers

- 1. Prof. Dr. Takeshi Abe Kyoto University
- 2. Dr. Sumittra Charojrochkul National Energy Technology Center (ENTEC), NSTDA
- 3. Dr. Pimpa Limthongkul National Energy Technology Center (ENTEC), NSTDA
- 4. Dr. Vituruch Goodwin National Energy Technology Center (ENTEC), NSTDA
- 5. Asst.Prof. Dr. Yuto Miyahara Kyoto University
- 6. Mr. Thanathon Sesuk National Energy Technology Center (ENTEC), NSTDA

Abstract

The Development of bio-based carbon materials is a part a Bio Circular Green Economy (BCG) model promotion according to current Thai government policy. Oil palm agricultural waste, including palm empty fruit bunch (PEFB) and palm shell (PS) was studied as raw materials to product activated carbon for supercapacitor applications. This study aims to investigate the synthesis condition and nitrogen doping on activated carbon from oil palm biomass for high-performance supercapacitors. A unique combination between chemical and surface modification processes have been carried out using hydrothermal and nitrogen doping with various nitrogen sources to achieve high surface area activated carbon with designed pore and surface properties. This research is an extension of the previous success work in producing activated carbon from palm empty fruit bunch (PEFB) and palm shell (PS) which exhibit a capacitance higher than that of commercially available activated carbon in an aqueous electrolyte. (JASTIP, phase I)

The different nitrogen sources are doped on activated carbon from PEFB and PS to enhance the ion conductivity of electrode and electrolyte in supercapacitor. The effect of nitrogen addition on pore generation both micropore and mesopore is investigated. In addition, It was reported from previous research that nitrogen functional groups formed on the surface of activated carbon could promote the surface ion transportation in supercapacitors. Thus, nitrogen doping when combined with chemical activation process could enhance the electrochemical properties of activated carbon when used as an electrode in either aqueous or organic electrolyte supercapacitors.

The activated carbon from PEFB and PS biomass has shown good properties in terms of high surface area and porosity. To improve the electrical conductivity of the activated carbon, the modification by nitrogen doping with ammonium chloride, urea and melamine was studied. The effect of different nitrogen sources on electrochemical performance were reported. The modified mesopore carbon was tested in a symmetrical swagelok supercapacitor cell to evaluate the specific capacitance and efficiency using galvanostatic charge-discharge method. The nitrogen doped PEFB activated carbon with urea doped exhibits the highest specific capacitance of 130 F g⁻¹ at current loading of 0.1 A g⁻¹ in an aqueous 1M H₂SO₄ electrolyte. The nitrogen doped PEFB activated carbon with urea doped has lower internal resistance due to nitrogen from urea enhance the electronic conductivity of the electrodes and thus increase the supercapacitor performance.

Research Summary

1. Study the effect of nitrogen doping on the electrochemical performance of activated carbon from oil palm biomass using different nitrogen sources

The effect of different nitrogen sources doping onto the activated carbon produced from oil palm biomass was studied. All experiments used empty palm bunches (PEFB) as the starting biomass. PEFB hydrochar from hydrothermal carbonization (HTC) of palm empty fruit bunches was mixed with ZnCl₂ and three different nitrogen sources, which are ammonium chloride, urea and melamine. Then the mixture was activated at 800°C under CO₂ atmosphere. The nitrogen-doped activated carbon was used as electrode materials in a swagelok cell for GCD test using agueous 1 M H_2SO_4 electrolyte. Figure 1 shows a schematic of the overall nitrogen-doped activated carbon production process.



Biobased activated carbon from hydrothermal carbonization with nitrogen addition

Figure 1 The schematic of a production process of nitrogen doped PEFB activated carbon.

The preliminary capacitance value of nitrogen doped PEFB activated carbon was tested using two-electrode swaglok assembly as shown in Figure 2. The nitrogen-doped activated carbon and conductive carbon was dried at 80°C for at least 3 hours in a vacuum oven. Then the activated carbon sample and conductive carbon were mixed in mortar with the ratio of 80:10 by weight. Then transfer the carbon mixture into the mixing container and add 12% by weight PVDF in NMP binder to the carbon powder mixture. The mixture was mixed in Planetary centrifugal mixer at 1200 rpm for 10 min or until the slurry was homogenous. The carbon slurry was spread on the stainless-steel foil which is a current collector. The slurry was casted using doctor blade which was set at 80 micron height. The stainless-steel foil coated with activated carbon is dried in a vacuum oven at 80°C for at least 12 hours. The prepared activated carbon electrode sheet was cut with an electrode puncher to a diameter of 11 mm. After that, the electrode sheet was dried for at least 3 hours at 80°C in a vacuum oven. Then let cool to room temperature in a dehumidifier.



Anode Separator 8 Drop of 1M H₂SO₄ Cathode

Figure 2 Swagelok cell assembly for GCD electrochemical performance test of nitrogen doped PEFB activated carbon.

Galvanostatic Charge-Discharge test (GCD) is a constant current charge-discharge perform on energy storage cell. The charge-discharge test for rate test performance was performed using Maccor machine, where the charge and discharge rates were set at the current densities of 0.1, 0.2, 0.5, 1 and 2 A/g, 5 cycles at each rate. The voltage range is set at 0 - 0.6 V to measure the capacitance of the carbon electrode. All the supercapacitor tests were in aqueous electrolyte, 1 M sulfuric acid.

The capacitance value of nitrogen doped PEFB activated carbon is shown in Figure 3. The capacitance of nitrogen-doped activated carbons with different nitrogen sources added on hydrochar and activated with ZnCl₂ at activation temperature of 800°C were compared. The ACs doped with nitrogen from Ammonium chloride (NH₄Cl), urea (Urea) exhibits higher specific capacitance value from GCD test than the commercial carbon, YP-50F. This promising preliminary result of the nitrogen-doped PEFB activated carbon shows that it has high opportunity to improve the bio-based PEFB activated carbon supercapacitor performance via nitrogen doping method.



Figure 3 Specific capacitance from GCD test of nitrogen doped PEFB activated carbon, using NH₄Cl, urea and melamine as nitrogen sources and YP50F commercial activated carbon as a benchmark.

2. Study the effect activated temperature on the electrochemical performance of nitrogen doped activated carbon from oil palm biomass

Biochar from hydrothermal carbonization (HTC) of palm empty fruit bunches (PEFB) is mixed with Zinc chloride (ZnCl₂) and nitrogen-source with Char:ZnCl₂:nitrogen source weight ratios of 2:1:1. Then the char mixture was activated in a furnace at 700 and 800°C under CO₂ atmosphere at a flow rate of 60 ml/min for 2 h. The activated carbon was washed to remove any residue impurities. The final nitrogen doped activated carbon samples were made into an electrode with 11 mm diameter. A Swagelok cell with 1 M H₂SO₄ electrolyte was assembled and employed on the electrochemical test, galvanostatic charge-discharge (GCD), to evaluate the supercapacitor performance. The galvanostatic charge-discharge test was performed by charging and discharging between 0 - 0.6 V using constant current levels of 0.1, 0.2, 0.5, 1 and 2 A g⁻¹ of activated carbon.

2.1 The specific capacitance of nitrogen doped PEFB Activated Carbon

The results of capacitance determined from the charge-discharge test using GCD technique showed that activated carbon doped with nitrogen using urea and ammonium have higher specific capacity than the benchmark activated carbon, YP-50F (Figure 4). It was found that the activated carbon with the highest capacitance at a current density of 0.1 A/g was Urea-700. But when increasing the current density, Urea-700 activated carbon has consistently decreased in capacitance (low rate capability). On the other hand, the Urea-800 activated carbon samples show only a small decrease in their capacitance (Good or High rate capability), when the current density rate is increased from 0.1 to 2 A/g. This could be resulting from Urea-800 samples has pore structure with higher mesopore volume than the sample activated at 700°C. Mesopore was reported to help the ion transportation which contributed to the better retention of capacitance at high discharge rate. The same behavior was observed with ammonium chloride doped activated carbon.



Figure 4 Capacitance from GCD test of N-doped PEFB activated carbon, undoped PEFB activated carbon activated at 700 and 800°C and YP50F commercial activated carbon as a benchmark.

2.2 The specific surface area and pore properties of nitrogen doped PEFB Activated Carbon

The pore distribution plot of nitrogen doped activated PEFB activated carbons are shown in Figure 5. All nitrogen doped activated carbons have high surface area which contained mostly micropore (<2 nm). It was found that when the activating temperature was increased, the mesoporous volume of activated carbon was also increased. The results of specific surface area (BET) and pore volume shown in Table 1.

Activated Carbon	BET Total Surface Area (m²/g)	Mesopore (%)	Total pore volume (cm ³ /g)	Micropore volume (cm ³ /g)	Mesopore Volume (cm ³ /g)
Undoped-700	1579	57.95	1.28	0.54	0.74
Undoped-800	1599	57.78	1.24	0.52	0.72
NH ₄ CI-700	1793	24.72	0.89	0.67	0.22
NH ₄ CI-800	1608	32.61	0.92	0.62	0.30
Urea-700	1497	12.20	0.72	0.63	0.09
Urea-800	1496	17.61	0.69	0.57	0.12
Melamine-700	371	7.49	0.15	0.14	0.01
Melamine-800	310	8.05	0.15	0.13	0.02
YP50F	1654	8.00	0.71	0.65	0.06

Table 1. BET surface area and pore volume of nitrogen doped PEFB activated carbons and YP-50F.

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Figure 5 Pore size distribution plot of commercial activated carbon, YP-50F compared to undoped and nitrogen doped activated carbons from different nitrogen sources.

From Table 1, the ammonium chloride and urea doped activated carbon produced using ahas the largest amount of micropore volume between 0.57 to 0.67 cm³/g. From Figure 5, most of the nitrogen doped activated carbons has pore size ~ 0.6 - 0.7 nm which is able to accommodate the ion in aqueous electrolyte system. This results showed combination of micro and mesopore in the nitrogen doped PEFB activated carbon enhances the electrical capacitance when nitrogen doped carbon is utilized as an electrode in supercapacitors.

Conclusion

Nitrogen doping effect during activation process was investigated using palm empty fruit bunches (PEFB) as starting biomass. It was found that nitrogen doping in the activated carbon activation process did not significantly affect the surface area. However, the effect of the activation temperature directly affects the surface area and mesopore volume. The activated carbons obtained via the activation temperature of 800°C exhibit higher mesopore volume. The electrical capacitance of undoped activated carbon in this process was slightly lower than that of nitrogen doped activated carbon. The ammonium chloride and ura doped and activated at 800°C could retained high capacitance value with increasing current density ratio, indicating the nitrogen doped EFB activated carbon produced at ENTEC has good rate capability. In conclusion, the best process for nitrogen doping for activated carbon is using ammonium chloride or urea as nitrogen source and activating at 800°C. Both nitrogen doped activated carbons yielded high specific capacitance at 130 and 115 F/g at 0.1 A/g which are higher than YP-50F at 75 F/g.

3. Production of nitrogen doped palm shell (PS) activated carbon

In this part of the activated carbon production and electrochemical performance experiments, the testing conditions and steps for the preparation of activated carbon from empty palm fruit bunches (PEFB) in previous section were used as described earlier. However, in this section the nitrogen doped activated carbons were prepare from palm shell biomass as raw materials. Three samples of PS activated carbon were prepared as follows:

PS-HWZ 800 prepared without nitrogen doping. 30 g of palm shell were used and $ZnCl^2$ was used as the activator with $ZnCl_2$:hydrochar weight ratio of 2:1 and the activated was done at 800°C.

PS-HWZA 800 was prepared using nitrogen doping with ammonium chloride in the activation step. 15 g of hydrochar from palm shell, $ZnCl_2$ was used as the activator at the $ZnCl_2$:hydrochar weight ratio of 2:1 and 15 g of ammonium chloride was mixed and then activated at temperature of 800°C.

PS-HWZU 800 was prepared using urea as nitrogen source. 15 g of urea was added in the activation step to 15 g of hydrochar from palm shell. The activating agent, $ZnCl_2$ was used with the $ZnCl_2$:hydrochar ratio of 2:1 and activated at 800°C.

The specific surface area and pore properties of nitrogen doped PS Activated Carbon

The results of the specific surface area and porosity are shown in Table 2. When considering the specific surface area, it was found that the specific surface area of undoped PS activated carbon is lower at 1487 m²/g than the ammonium chloride doped PS activated carbon which has surface area of 1641 m²/g. For PS activated carbon doped with ammonia chloride and activated at 800 °C and PS activated carbon doped with urea and activated at 800 °C, the mesopore content of activated carbon from palm shell doped with ammonium chloride have more mesopore than PS activated carbon doping with urea. As a result, the larger molecular size of urea has effect on the mesopore generation. The pore size distribution of the nitrogen doped PS activated carbons are shown in Figure 6.

Activated Carbon	BET Total Surface Area (m²/g)	Mesopore (%)	Micropore volume (cm ³ /g)	Mesopore Volume (cm ³ /g)
PS-HWZ-800	1487	45.0	0.56	0.45
PS-HWZA-800	1641	19.2	0.66	0.16
PS-HWZU-800	1404	1.63	0.60	0.01
YP50F	1654	8.0	0.65	0.06

Table 2. BET surface area and pore volume of nitrogen doped PS activated carbons and YP-50F.



Figure 6 Pore size distribution plot of commercial activated carbon, YP-50F compared to nitrogen doped PS activated carbons.

Electrochemical test, GCD result of nitrogen doped PS Activated Carbon

The GCD results test of PS activated carbons are shown in Figure 7. It can be seen that the nitrogen doped PS activated carbons (PS-HWZA and PS-HWZU) have higher specific capacitance than the undoped activated carbon (PS-HWZ). When compared to the commercial carbon, YP-50F, It was found that PS-HWZU-800 had higher capacitance at a current density of 0.1 A/g than YP-50F. The effect of urea doping is prominent in this case. However, PS-HWZU-800 activated carbon has a continuous decrease in capacitance when discharge at high current density (2 A/g). This could be resulting from low mesoporous structure of the samples. Whereas with commercial carbon, YP50F showed a small decrease in capacitance with increasing current density, indicated that commercial carbon has a structure with a higher mesopore volume. This results in a good rate capability of carbon electrode (Good rate capability), which is the same behavior found in undoped PS activated carbon.



Figure 7 Capacitance results from GCD test of PS activated carbon compare with YP-50F.

Conclusion

The production of activated carbon from palm shell biomass and nitrogen doping by ammonium chloride and urea was achieved. Activated carbon from palm shell has high surface area and porosity. When testing the electrical discharge with GCD technique, the urea doped PS activated carbon gives the highest specific capacitance. The low rate capability of nitrogen doped PS activated carbon could be improve with higher activation temperature to increase mesopore volume. The optimization of nitrogen doping and activation conditions to produce activated carbons with both micro and mesopore structures is necessary to enhance the capacitance of PS activated carbon to perform better as an electrode for aqueous electrolyte supercapacitors.

4. Study the electrochemical performance of nitrogen-doped oil palm bio-based activated carbon in non-aqueous electrolyte (KU)

Specific capacitances of nitrogen-doped EFB-based ACs were evaluated in organic electrolyte. EFB-HMZA-800N and EFB-HMZU-800N were used for the test and commercial AC was used for comparison. The ACs, acetylene black, and polyvinylidene difluoride (PVdF) with weight ratio of 7 : 1 : 2 were homogeneously dispersed in N-methylpyrrolidone by mixing and deaerating the slurry, followed by coating the slurry on AI current collector to fabricate thin-film layer of the AC composite electrode. Two pieces of the vacuum-dried electrode sheet with diameter of 15.95 mm, separator (Celgard #2400), 1 M (C_2H_5)₄NBF₄/propylene carbonate was used for coin cell assembly as shown in Figure 8.



Figure 8 Schematic illustration of the coin cell

Comparison of specific capacitance at various current densities was shown in Figure 9. As shown in Table 2, additionally, their capacitances at 0.05 A/g and 0.1 A/g were normalized with the ones at 0.02 A/g. Although the EFB-based ACs showed relatively smaller capacitances than YP-50F, they exhibited similar rate capabilities to YP-50F, implying that the synthesized ACs would have suitable structure to facilitate both electronic conduction and ionic transport. Moreover, since the normalized capacitances in this work was better than that in previous study employing simple dry mixing of AC, acetylene black, and polytetrafluoroethylene to fabricate self-standing electrodes, present thin-film configuration would be a preferable method to exhibit better rate capability.



Figure 9 Specific capacitances of ACs as a function of cycle number at various current densities. (HMZA and HMZU is the nitrogen-doped EFB-ACs and YP-50F is commercial carbon)

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Electrodo	Carbon	Normalized of	capacitance
Electione	Carbon	@0.05 A/g	@0.1 A/g
This work	YP-50F	98 %	96 %
(Thin film)	EFB-HMZU	97 %	93 %
Previous (self-standing)	EFB-21900	89 %	75 %

Publications and Presentations

- Parinya Jitreewas, Thanathon Sesuk, Vituruch Goodwin, Arintarached Sirinantawittaya, Pimpa Limthongkul and Sumittra Charojrochkul, "One-pot hydrothermal synthesis and nitrogen-doped activated carbons from palm empty fruit bunches for supercapacitor electrode material", Pure and Applied Chemistry International Conference 2022 (PACCON2022), 30th June – 1st July 2022, Bangkok, Thailand.
- Vituruch Goodwin, Parinya Jitreewas, Thanathon Sesuk, Pimpa Limthongkul and Sumittra Charojrochkul, "Development of Modified Mesoporous Carbon from Palm oil Biomass for Energy Storage Supercapacitor Application", 2nd ASEAN International Conference on Energy and Environment (AICEE) 14th – 15th September 2022, Phnom Penh, Cambodia (online).
- 3. Vituruch Goodwin, Parinya Jitreewas, Thanathon Sesuk, Pimpa Limthongkul Sumittra Charojrochkul, "Development of Modified Mesoporous Carbon from Palm oil Biomass for Energy Storage Supercapacitor Application", *Journal of Physics: Conference* (submitted).



Nanocarbon Materials for Sustainable Production and Storage of Green Fuels and Platform Chemicals

1. Research team

Prof.Dr. Noriaki Sano, Kyoto University (PI, Japan side) Dr. Kajornsak Faungnawakij, NANOTEC, NSTDA (PI, Thailand side) Dr. Pongkarn Chakthranont, NANOTEC, NSTDA Dr. Pongtanawat Khemthong, NANOTEC, NSTDA Dr. Sirapassorn Kiatphuengporn, NANOTEC, NSTDA Dr. Bunyarat Rungtaweevoranit, NANOTEC, NSTDA Dr. Jeeranan Nonkumwong, NANOTEC, NSTDA Dr. Anchalee Jankaew, NANOTEC, NSTDA Dr. Pussana Hirunsit, NANOTEC, NSTDA Dr. Tanasan Intana, NANOTEC, NSTDA Miss. Sutarat Thongratkaew, NANOTEC, NSTDA Dr. Supawadee Namuangruk, NANOTEC, NSTDA Dr. Sareeya Bureekaew, VISTEC Prof. Dr. Metta Chareonpanich, Kasetsart University Prof. Dr. Thongthai Witoon, Kasetsart University Assoc. Prof. Anusorn Seubsai, Kasetsart University Asst. Prof. Dr. Waleeporn Donphai, Kasetsart University Dr. Narong Chanlek, Synchrotron Light Research Institute (Thailand)

2. Purpose of Collaborative Research

The effects of climate change caused by anthropogenic CO₂ emission have increased the average global temperature by 1 °C above the pre-industrial level. If this current trend is continued, the average global temperature is expected to inevitably elevate by 4-5 °C by 2100. To slow down this global crisis, greenhouse gas emissions must be drastically reduced towards zero emissions within this century. This need has led to the blossom of green technological concepts including biorefinery and bio-energy devices where renewable resources replace the need for fossil fuel in the future. The catalytic productions of carbon-based materials, biofuels, and biochemicals are at the heart of the biorefinery industry. The collaborative researches carried out by the groups in NANOTEC/NSTDA (Faungnawakij's team) and Kyoto University (Sano's team) aim to develop new and innovative knowledge on biomass conversion to useful materials and the development of bio-energy devices.

This year, 3 major research activities have been done as follows.

• Microwave-induced plasma with KOH (MiWP-KOH) activation for preparation of highsurface-area activated carbon: Controllability of pore structures by KOH-to-carbon ratio in feed and activating time



- Optimization of H₂O₂ Electrogeneration from Tea-Leaf-Derived N-Doped Carbon Catalyst and Demonstration of H₂O₂-Assisted Electrocatalytic 5-Hydroxymethylfurfural Oxidation
- Development of Spinel Catalysts for the Selective Hydrogenation of Furfural to Furfuryl alcohol

3. Research Outcome

1.1 Microwave-induced plasma with KOH (MiWP-KOH) activation for preparation of high-surface-area activated carbon: Controllability of pore structures by KOH-to-carbon ratio in feed and activating time

Purichaya Kuptajit, Noriaki Sano*, Kyuya Nakagawa, Tetsuo Suzuki Department of Chemical Engineering, Graduate School of Engineering, Kyoto University, Nishikyo-ku, Kyoto 615-8510, Japan

ABSTRACT

Recently, it was found that the activation by microwave-induced plasma (MiWP-KOH) can be used to prepare high-surface-area activated carbon (AC) within a few minutes. In the present study, microwave-activation time and KOH concentration in the feed mixture are focused as key factors governing the pore formation. In addition to BET surface area, micropores and mesopores volumes were used to estimate structures of resulted AC prepared by MiWP-KOH activation. The experimental results show that activated carbon with specific surface area of 1,251 and 2,718 m^2g^{-1} can be obtained within 80 and 270 s. When the activation time and KOH concentration are increases, micro and mesopore volumes are also increase. However, excessively long activation time was not useful to increase these volumes. It was observed that the concentration of KOH should be high enough to stabilize the pore structures. It was suggested that the hierarchical pore structure can be controlled as function of the KOH concentration, so that the microporosity can be increased by increasing KOH concentration. In addition, mass yield percentage of the AC is 12.3 wt-% in the conditions to achieve the stabilized values of micropore volume and specific surface area, which seems higher than the mass yield percentage of the AC prepared by a conventional activation using electric furnace.

Introduction

A high surface activated carbon (AC) is a porous carbon material, which has specific surface area of 1,000-3,000 m² g⁻¹. It has been used widely owing to its high surface reactivity, hierarchical pore structure, and high surface area provided more adsorption-site (Sayğılı et al., 2015). It can be used in purification and separation processes. For example, H₂ purification (He et al., 2020), glycerol purification (Habaki et al., 2019), and syngas purification (Pena et al., 2020). Moreover, it was used as a catalysts or catalyst supports for syngas production (Su et al., 2020), phosgene formation (Rossi et al., 2020), and phenols production (Huo et al., 2020). AC can be used as



electrode materials for supercapacitors (Inal et al., 2020, Jiang et al., 2020, Cossutta et al., 2020). Owing to those varieties of application, the demand for AC has been increasing worldwide.

AC has a hierarchical pore structure consisting of micropores, which their pore diameter is smaller than 2 nm, mesopores, which have pore diameter in the range of 2-50 nm, and macropores, which their pore diameter is larger than 50 nm (Lozano-Castello et al., 2002, Aroua et al., 2008, Ahmed et al., 2016a, Ahmed et al., 2016b, Rashidi et al., 2017). Although AC with microporous structure has high adsorption efficiency for small molecules (acetone, dichloromethane, ethyl formate), it is not so effective for large molecules or ions. On the contrary, AC with mesoporous structure is suitable to adsorb macromolecules such as dyes, proteins, antibiotics, and polycyclic aromatic compounds (Benhouria et al., 2015). The large molecule can diffuse into mesopores and be adsorbed onto the surface of the pores.

Various activating agents can be used to produce the AC with mesoporous structure. For example, steam (Lam et al., 2019, Yek et al., 2019), CO₂ (Xin-hui et al., 2011), ZnCl₂ (Nasrullah et al., 2019), H₃PO₄ (Liu et al., 2010, Koyuncu et al., 2018), NaOH (Zhang et al., 2019, Boujibar et al., 2019, Yu et al., 2019), K2CO3 (Deng et al., 2010, Foo and Hameed, 2012) and KOH (Li et al., 2019, Erdoğan et al., 2017). To prepare high surface area AC with mesoporous structure, activating agents is mixed with carbon precursors. Then, the mixture must be heated at elevated temperature for some period (Selvaraju and Bakar, 2018, Loya-González et al., 2019, Zabaniotou et al., 2004, Zhang et al., 2019). Among activation methods mentioned above, KOH activation is a well-established method that can be used to prepare high-surface-area AC in industrial scale (Liang et al., 2018). Previous study reported that the high-surface-area AC with micro and mesoporous structures can be prepared by mixing KOH with the carbon precursor using KOH/carbon mass ratio of 6 (Njoku et al., 2014).

In a conventional process, the feed material (activating agents and carbon precursors) was heated at 700-850 °C for 0.5-2.5 h to get the AC with specific surface area in the range of 647-2,413 m² g⁻¹ (Li et al., 2019, Nasrullah et al., 2019, and Sulaiman et al., 2018). Nowadays, preparation process of AC is limited to batch system due to the long activation time. The batch system cannot efficiently produce AC in large scale. If the preparation time becomes shorter (several minutes), the AC preparation process can be operated in continuous system.

Many researchers have been seeking for a new method to reduce activation time by applying microwave irradiation to the KOH activation. For example, previous study (Deng et al., 2010) reported that AC can be synthesized using KOH activation with microwave irradiation, suggesting that AC with specific surface area of 729 m² g⁻¹ can be prepared within 10 min. In another study, AC was prepared by microwave-KOH activation (Foo and Hameed, 2011), suggesting that the mixture of carbon precursors and KOH was heated by microwave irradiation for 7 min to obtain AC with specific surface area of 752 m² g⁻¹. Furthermore, AC with specific surface areas of 972 m² g⁻¹ and 1229 m² g⁻¹ can be prepared within 12 min (Njoku et al., 2014) and within 3 min (Liang et al., 2018), respectively, using microwave-KOH activation. In these studies, the preparation methods required at least 3 min to get high-surface-area AC, and none of them report the synthesis of AC of which specific surface area is above 2,000 m² g⁻¹. And, none of them report the generation of the plasma in the reaction zone, but only electromagnetic heating on the surface of the feed.



Recently, we found that the activation by microwave-induced plasma with KOH (MiWP-KOH activation) can prepare high surface area AC (1,007 and 2,084 m² g⁻¹) using microwave-activation time of 80 and 330 s (Kuptajit and Sano, 2019). Economically, the consumed energy and the cost for the preparation of AC should depend on the activation time. The preparation of AC with high-surface-area and micro and mesoporous structures in a short-time is considered to be very important from an energy point of view.

Previous studies suggested that activation time and concentration of the activating agents in the feed mixture play an



Figure 1 Temperature-change of CCG-KOH mixture in the MiWP-KOH activation with varied KOH:Carbon ratio.

important role in pore formation of AC prepared by a standard method (Zhu et al., 2017, Üner and Bayrak, 2018, Huber and Hauser, 2019). Therefore, this work aims to go into the influence of the concentration of the activating agents, KOH, to use MiWP-KOH activation for the preparation of AC with high specific surface area (higher than 2,000 m² g⁻¹) and its hierarchical pore structures with micropores and mesopores. Also the effect of the microwave-activation time was investigated on the pore structures, with relating the effect of the KOH concentration.

4. Experimental

1.1 AC Preparation

In this study, a carbon precursor is a synthetic carbon gel. According to Mukai et al. (2005), the carbon gel can be prepared by putting phenol (0.5 mol L⁻¹), formaldehyde (1.0 mol L⁻¹), and catalyst together. Here, sodium carbonate is used as catalyst. The ratio of phenol to sodium carbonate is at 1.5. The mixture was heated at 95 °C for 72 h before being kept at room temperature for 72 h to terminate the polymerization. Then, a purple gel, so called synthetic carbon gel, was obtained. This gel was dried at 110 °C for 1 h. Then, it was carbonized at 700 °C for 1 h under N₂ atmosphere to get carbonized carbon gel (CCG).

CCG was activated by MiWP-KOH activation (Kuptajit and Sano, 2019) under various conditions by adjusting the microwave-activation time (80, 180, and 270 s) as well as KOH concentration (9-91 wt-%) in the feed mixture, which is CCG-KOH mixture. The MiWP-KOH activation was carried out in a modified household microwave oven (Sanyo Electric EM-LA1(HL)) in nitrogen flow with a flowrate of 300 cm³ min⁻¹ in a quartz tube. The microwave oven was operated at 500 W and 2.45 GHz. The resulting mixture was washed with distilled water after being cooled in nitrogen flow at room temperature until the filtrate became neutral. The AC was dried at 50 °C for 12 h before being collected and measured its weight to evaluate mass yield percentage using Eq. (1).



Mass yield percentage of AC = $\frac{AC \text{ Mass}}{CCG \text{ Mass}}$ x 100% (1)

2.2 Investigation of activation temperature

Microwave-induced plasma can be clearly observed as a uniquely bright plasma above the CCG-KON mixture bed. The previous report indicated that the temperature of this plasma was estimated to be above 5000 K from the analysis of the emission spectra (Kuptajit and Sano, 2019). The contact of this hot plasma with the CCG-KOH mixture bed should enhance the fast increase of its temperature.

It was observed that thermocouples were corroded heavily by KOH at high temperature, suggesting that commonly-used thermocouples protected by metallic sheath cannot be used to measure the temperature of the CCG-KOH mixture in the MiWP-KOH activation. Therefore, the temperature-change of the CCG-KOH mixture was observed using a radiation thermometer (Japan Sensor, FTHX-0200S V-1563).

2.3 Characterization of AC via N2 adsorption

Pore structures of the AC was studied via obtaining N₂ adsorption-desorption isotherms using an automated physisorption surface area analyzer (MicrotracBEL, BELSORP-miniII-S). The samples were outgassed at 200 °C in vacuum for 4 h before the measurements. The isotherms was used to evaluate pore properties of the AC.

The total pore volume was assessed, corresponding to volume of N_2 adsorbed at relative pressure (P/P_0) of 0.90. Micropore volume was calculated by applying V-t plot method (Lippens et al., 1965) to N_2 adsorption data. Mesopore volume was calculated from the difference between total pore volume and micropore volume.

Specific surface area was calculated by Brunauer–Emmett–Teller (BET) equation using N₂ adsorption isotherms of $0.01-0.03P/P_0$ (Brunauer et al., 1938). The calculation considered the molecular cross-sectional area of N₂ at 77 K to be 0.162 nm².

Microporosity was calculated by Eq. (2) to indicate the degree of micropore-domination in AC structure.

 $Microporosity = \frac{micropore volume}{total pore volume} \times 100$ (2)

5. Results ad Discussion

2.1 Activating temperature

Temperature-change of the feed mixture was recorded as illustrated in **Figure 1**. It is shown that the temperature rises along with microwave irradiation time to about 450 °C by 80-90 s with almost same temperature-elevating rate in all KOH-concentration cases, and the difference can be seen in the temperature elevation rate after this time range. The result suggested that the maximum activation-temperature was 505, 787, and 860 °C when KOH to CCG ratio is 4:1, 6:1,





Figure 2 N₂ adsorption-desorption isotherms of AC prepared by MiWP-KOH activation with microwave-activation time of (a) 80, (b) 180, (c) 270 s.

and 10:1, respectively. It indicates that the activation-temperature increases along with the increasing of KOH concentration in feed material.

2.2 Pore formation of AC

Figure 2 presents N₂ adsorption-desorption isotherms of the AC prepared using various KOH concentration in the feed mixture. Figures 2 (a), (b), and (c) show the isotherms of the AC prepared using microwave-activation time of 80, 180, and 270 s, respectively. The adsorption isotherms show adsorption of N₂ at low relative pressure ($P/P_0 <$ 0.01), indicating microporous structure in AC (Schneider, 1995, Sing, 1982). The results suggested that the adsorbed volume of N₂ at low P/P_0 tends to increase with an increase of the KOH concentration, leading to an increase of micropore volume. In addition, each isotherm shows a hysteresis loop, indicating mesoporous structure (Sing, 1982, Schneider, 1995, Thommes, 2010, Cychosz and Thommes, 2018). The size of hysteresis loops seem to be wider as the KOH concentration increases. It can imply that the volume of mesopores increases with the increase of the KOH concentration.

Figure 3 presents the specific surface area of AC prepared using different KOH concentration in the feed mixture and the activation time. **Figures 3 (a)**, **(b)**, and **(c)** show specific surface area of the AC prepared using microwave-activation time of 80, 180, and 270 s, respectively. It can be shown that the maximum specific surface area are 1,266, 2,189, and 2,332 m² g⁻¹ in AC obtained by microwave-activation time of 80, 180, and 270 s, respectively. The results illustrate that specific surface area tends to increase as the KOH concentration increases.

Here, "stable zone" is defined as the operating

conditions used to produce AC with pore properties that have narrow fluctuation-range. The stable zone can be observed in the results of AC prepared by microwave-activation time of 180 and 270 s. However, no stable zone can be seen in the results of AC prepared by microwave-activation time of 80 s. This tendency suggests that it takes time more than 80 s to realize





Figure 3 Effect of KOH concentration on specific surface area of AC prepared by MiWP-KOH activation using different KOH concentration and microwave-activation time of (a) 80, (b) 180, (c) 270 s.

uniformity of heating. It should be noted that the electrical conductivity of carbon increases with temperature (Morgan, 1971), and the electrical conductivity of CCG may become high enough to cause the uniform plasma at higher than 450 °C of CCG when temperature, the microwave irradiation time exceed 80 s. It seemed in the experiments that when the average CCG temperature is not high enough, the plasma above the GGC-KOH mixture becomes narrowly localized, so that the CCG can be activated just in non-uniform manner.

One should be reminded that, unless the KOH concentration is substantially high, the stable zone can not be seen even if the average temperature of CCG-KOH is high enough. It seems that KOH is vaporized during the microwave-activation process. Thus, if the amount of KOH in GGC-KOH mixture is not large enough, the uniformlyoccurred reaction between carbon and KOH be preserved during can not the microwave-activation process.

Similarly to a previous study (Kuptajit and Sano, 2019), it can be shown here that the specific surface area of AC significantly increases as microwave-activation time increases until microwave-activation time 120 s. When microwavebecomes activation time is longer than 120 s, the specific surface area of the AC seem to increase very slowly with an increase of microwave-activation time. This tendency might be due to the loss of KOH from CCG-KOH mixture by the vaporization of KOH.

The analytical results of micropore volume show the similar tendency with those of the specific surface area. **Figure 4** shows the micropore volume of AC prepared using different KOH concentration and the microwave activation time. **Figures 4 (a)**, **(b)**, and **(c)** show the micropore volume of the AC prepared using microwave-activation time of 80, 180, and 270 s, respectively. The results show that micropore volume tends to increase as the KOH concentration increases.



Moreover, the stable zone can be observed in the results of the AC prepared by microwaveactivation time of 180 and 270 s at the high KOH concentration range. However, no stable zone is shown in the results of AC obtained from microwave-activation time of 80 s. The results indicate that in the suitably high ranges of KOH concentration and microwave-activation time, the stable zone can be secured for specific surface area and micropore volume.

1.0



(a) 0.9 8.0م 0.7 cm³ mesobore volume / cm³ 0.6 cm³ cm³ 0.6 cm³ 0.1 0.0 0 20 40 60 80 100 KOH concentration / wt-% 1.0 (b) 0.9 8.0ظ 0.7 Cm³ mesobore volume / cm³ 0.6 Cm³ 0.6 Cm³ 0.2 Cm³ 0. 0.1 0.0 0 20 40 60 80 100 KOH concentration / wt-% 1.0 (c) 0.9 0.8م 0.7 cm³ mesobore volume / cm³ mesobore volume / cm³ cm 0.1 0.0 20 40 60 80 100 0 KOH concentration / wt-%

Figure 4 Effect of KOH concentration in feed mixture on micropore volume AC prepared by MiWP-KOH activation using different KOH concentration and microwave-activation time of (a) 80, (b) 180, (c) 270 s.

Figure 5 Effect of KOH concentration in the feed mixture on mesopore volume of AC prepared by MiWP-KOH activation using different KOH concentration and microwave-activation time of (a) 80, (b) 180, (c) 270 s.



Figure 5 presents mesopore volume of the AC prepared using different concentration of KOH and microwave activation time. **Figures 5 (a)**, **(b)**, and **(c)** show mesopore volume of the AC prepared using microwave-activation time of 80, 180, and 270 s, respectively. The results show that mesopore volume increases with an increase of the KOH concentration. Also, the mesopore volume increases with the time of the microwave activation time. The influence of the time of the microwave activation time seems similar to the cases of the specific surface area and the micropore volume. However, differently from the cases of the specific surface area and the micropore volume, the mesopore volume does not exhibit the stable values in the high KOH concentration range, which corresponds to the stable zone to the specific surface area and the micropore volume.

Figure 6 shows the N₂ adsorption-desorption isotherms of AC synthesized at 82 and 86 wt-% of KOH concentration with 270 s of the microwave activation time. These conditions are categorized into the stable zone to obtain the stable values of high specific surface area and the micropore volume. One can notice that the shapes of in these isotherms are different in the relatively high pressure range, where the one synthesized at 82 wt-% of KOH concentration is expected to contain well-grown mesopres and the other should not contain significant volume of the mesopores. The reason why mesopore volume is difficult to control can be explained as follows.

In the MiWP-KOH activation, the growth of micropores can be proceeded since activation time is short, but mesopores grow much slower at the high temperature while the CCG-KOH is heated by the plasma (Kuptajit et al., 2021). In fact, the timing to start stable plasma that can heat CCG-KOH mixture uniformly is not well repeatable. Therefore, if the timing to obtain such stable plasma is late, the duration of such plasma until the activation is terminated is not long enough to obtain well-grown mesopores, but this duration can be long enough to form well-grown micorpores. If the timing to start such stable plasma is early, the both of micro and mesopores can be grown well. To improve the stability of mesopore volume, further study to investigate the reaction conditions to stabilize the timing to obtain such stable plasma will be necessary.

2.3 Mass yield percentage in MiWP-KOH activation

Figure 7 illustrates the mass yield percentage of AC prepared using microwave-activation time of 180 and 270 s. The mass yield percentage was calculated using Eq. (1). The average value of the mass yield percentage for the condition when high-surface-area AC with surface area larger than 1500 m² g⁻¹ was synthesized in the stabilized zone is 12.3 %. It is similar to the mass yield percentage of the activated carbon prepared by conventional conduction heating, which is 9.3 wt% in average.

2.4 Comparison with past studies

A comparison of the present study with past studies about the use of microwave to produce AC is summarized in **Table 1**. Here, the values of the maximum specific surface area of AC obtained by each of the past studies are shown. This table also shows the information of some reports of the conventional activation. By looking the comparison in this table, it could be said that the present study exhibits the best microwave performance. Koyuncu et al. (2018) reported





Figure 6 N₂ adsorption-desorption isotherms of AC prepared by MiWP-KOH activation with two KOH concentrations, 86 and 82 wt-% and microwave-activation time of 270 s.

that AC with specific surface area of 1021 $m^2 g^{-1}$ was obtained within 40 s, but the power to generate microwave used by them was 900 W. On the other hand, the power used by the present MiWP-KOH activation to produce the AC with similar surface area was only 500 W. Liu et al., (2010) used the even lower power, 350 W, to obtain AC with specific surface area of 1410 $m^2 g^{-1}$, but a long microwave-activation time such as 20 min was required there. Other works used longer time and higher power to make the microwave activation. And importantly, none of the microwave activation methods except

MiWP-KOH activation realized the synthesis of AC of which specific surface area was above 2000 $m^2 g^{-1}$.

One can see in this table that the conventional methods can be used to prepare AC with high specific surface area, but they need 60-90 min to finish the activation (Lv et al., 2012, Erdoğan et al., 2017, Boujibar et al., 2019). One may imagine that such time-consuming activation of MiWP-KOH may result in high energy consumption. As a feature of the pore structure of AC prepared by MiWP-KOH activation, the microporosity seems to be relatively high. The characteristics of the MiWP-KOH activation which need relatively short activation time and less power to generate microwave may indicate the potential to realize the energy saving process to produce high-surface-area AC.



2.5 Controllability of microporosity

activation time of 180 and 2/0 s. The plots marked by square are the one obtained when highsurface-area AC with surface area larger than 1500 m² g⁻¹ was svnthesized in the stabilized zone. **Figure 8** shows the microporosity calculated by Eq. (2) for the results obtained with all of the KOH concentration and microwave activation time adopted in this study. The results suggest that the microporosity trends to increase as KOH concentration increases. On the other hand, the influence of the microwave-activation time is not clearly seen.

The relation between the microporosity and KOH concentration within the observed KOH concentration range seem to be described by a linear equation, although relatively large variations from this correlation line can be seen. This





Figure 8 Microporosity of AC prepared by MiWP-KOH activation defined by eq. 2 related with microwave-activation time and KOH concentration in feed-material.

correlation line be written can as (0.529)(KOH (Microporosity) = concentration [wt-%]) + 22.65, where coefficient of determination R^2 is 0.414.

The mesoporosity defined here is considered to be a kind of criteria to know how much the micropores dominate the porous structures. When one needs to use AC to adsorb relatively large molecules, or wants quick adsorption with high diffusivity of adsorbate molecules in AC, the activation condition with high KOH concentration can be recommended. The result here can be used to synthesize AC by MiWP-KOH activation to answer such demands for the pore structures of AC.

Conclusions

MiWP-KOH activation can be used to prepare a high surface area AC with specific surface area of 1251, 2250, and 2718 m² g⁻¹ within 80, 180, and 270 s. The MiWP-KOH activation can be done rapidly because the high-temperature plasma may support the quick heating of the feed material. It was suggested that the increases of the activation time and KOH concentration resulted in the increase of micro and mesopore volumes, but excessively long activation time was not useful to increase these volumes. It was observed that the concentration of KOH should be high enough to stabilize the high values of the micropore volume and the specific surface area of resulted AC. This condition is referred to as "stable zone" in this study. The stable zone can not be observed for mesopore volume at this stage. The mass yield percentage of the AC prepared by MiWP-KOH activation was 12.3 wt-% in average in the stable condition. This mass yield percentage is higher than the mass yield percentage of the AC prepared by the conventional activation. The result about microporosity indicates that the microporous structure become more dominant

Carbon precursor	Activation method	Activating Agent	Activation time / min	Activation temperature / °C	Microwave power / W	Surface Area / m ² g ⁻¹	Microporosity / percent	Reference
CCG	Microwave	КОН	1:20	-	500	1266	90.76	
	(Present study)		3:00		500	2189	80.58	
			4:30		500	2238	74.89	
Mandarin shells	Microwave	H ₃ PO ₄	0:40		900	1021	3.12	Koyuncu et al., 2018
Orange peel	Microwave	K ₂ CO ₃	6:00		600	1104	40.16	Foo et al., 2012
Rice husks	Microwave	K ₂ CO ₃	7:00		600	1165	42.31	Foo et al., 2011
Rice husks	Microwave	KOH	7:00		600	752	40.63	Foo et al., 2011
Cotton stalk	Microwave	K ₂ CO ₃	8:00		660	621	28.95	Deng et al., 2010
Banana peel	Microwave	KOH +	10:00		700	1038	38.36	Liew et al., 2018
		NaOH						
Cotton stalk	Microwave	KOH	10:00		680	729	68.42	Deng et al., 2010
Rambutan	Microwave	KOH	12:00		600	972	25.23	Njoku et al., 2014
Bamboo	Microwave	H_3PO_4	20:00		350	1432	72.27	Liu et al., 2010
Palm kernel shells	Microwave	Steam	30:00		700	419	76.06	Lam et al., 2019
Coal	Conventional	КОН	60:00	800		1001	74.58	Erdoğan et al., 2017
Anthracite	Conventional	NaOH	60:00	850		1200	94.00	Boujibar et al., 2019
Phenol/formaldehyde resol	Conventional	КОН	90:00	700		1410	52.05	Lv et al., 2012

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when KOH concentration become higher. The results obtained here may be useful to control the pore structures of AC with high specific surface area for various demands for adsorption applications.

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1.2 Optimization of H₂O₂ Electrogeneration from Tea-Leaf-Derived N-Doped Carbon Catalyst and Demonstration of H₂O₂-Assisted Electrocatalytic 5-Hydroxymethylfurfural Oxidation

Naphat Ormsapsin¹, Warith Powichien¹, Patcharapol Intrasorn¹, khanin nueangnoraj¹*, Pongkarn Chakthranont²*

¹School of Bio-chemical Engineering and Technology, Sirindhorn International Institute of Technology, Thammasat University, Pathum Thani, 12120, Thailand

²National Nanotechnology Center (NANOTEC), National Science and Technology Development Agency (NSTDA), Pathum Thani, 12120, Thailand

1. ABSTRACT

Hydrogen peroxide (H_2O_2) is a strong oxidizing agent used in varieties of applications. Due to the energy intensity, waste generation, and explosivity of the conventional anthraquinone process, the two-electron electrochemical oxygen reduction reaction (ORR) is then proposed for H_2O_2 production using a nitrogen-doped catalyst derived from tea leaves. Then, the gas diffusion electrode is fabricated from the carbon catalyst derived from tea leaves carbonized at 800 °C. The current density and the catalyst ink thickness are optimized to maximize H_2O_2 production. At the optimal H_2O_2 production condition (30 mA/cm² and 100 μ m), the highest H_2O_2 concentration was found to be 2.42 mM. The gas diffusion electrode is then employed as a cathode in an electrosynthesis device which converts 5-hydroxymethylfurfural (HMF) to furandicarboxylic acid (FDCA) with Ni foam as an anode. When compared to a controlled device where N₂ is fed to the



cathode, the HMF oxidation in combination with ORR at the cathode leads to an increase in FDCA yield from 76.78% to 90.94%, an increase in HMF conversion from 92.10% to 98.01%, increased faradaic efficiency for FDCA production from 18.97% to 25.99%, and a reduction in the overall cell voltage. Our work demonstrates that the electrochemical H_2O_2 production via the ORR route can be used in a practical application such as in an electrosynthesis device.

2. INTRODUCTION

Hydrogen peroxide (H_2O_2) is a chemical with many applications ranging from a chemical precursor, bleaching agent, disinfectant, and cleaning chemical (Zhang et al., 2020; Wang et al., 2021; Shi et al., 2021; Chakthranont et al., 2021). The environmental regulations drive the H_2O_2 market growth as a result of zero-emission production and the ecological friendliness properties of H₂O₂ (Zhang et al., 2020). When using H₂O₂ as an oxidizing agent, the byproducts of the reaction are only non-hazardous compounds like water and oxygen (Zhang et al., 2020). Generally, the conventional H_2O_2 production process is called the anthraquinone process, which can provide high H₂O₂ concentrations (Zhang et al., 2020; Shi et al., 2021; Chakthranont et al., 2021). The process requires subsequent steps including hydrogenation using a precious metal catalyst, oxidation of the products, and a separation step to obtain high purity of H_2O_2 (Nishimi et al., 2011). However, the anthraquinone process is energy-intensive, waste-generating, and explosive due to high H₂O₂ concentrations (Zhang et al., 2020; Shi et al., 2021; Chakthranont et al., 2021). Alternatively, the two-electron electrochemical oxygen reduction reaction (ORR) is then proposed for H_2O_2 production. In comparison to the conventional anthraquinone process, the electrochemical method has the advantages of environmental friendliness due to the absence of fossil fuel and organic waste, mild conditions with ambient temperature and pressure, and low possibility of explosion (Zhang et al., 2020; Shi et al., 2021).

One of the attractive classes of catalysts for H_2O_2 production via the two-electron ORR is noble metals and their alloys such as Pt-Hg and Au-Pd. While these reported catalysts favor a two-electron pathway with small overpotential and high H_2O_2 selectivity, the scarcity results in the catalysts' high cost and low feasibility on large-scale applications. A more practical electrocatalyst for H_2O_2 production is nitrogen-doped carbon, which is low-cost, abundant, and has high electrochemical stability under reaction conditions (Li et al., 2020). Moreover, biomass can offer hierarchical pore structures that assist the mass transfer and possess high surface area (Li et al., 2020). Besides, the biomass may already have a nitrogen element inside its component, and this could allow self-doping that eliminates the complexity of the synthesis process and the hazardous properties of the precursors. In this project, tea leaves are selected as the source of carbon material, as they are widely cultivated all over the world. Because tea leaves contain caffeine content, it is suspected that caffeine could serve as a source of the nitrogen element and act as the active site for H_2O_2 production via the two-electron ORR pathway. Moreover, the tea leaves used in this project may also act as both a gas diffusion layer and catalyst layer and may facilitate the gas diffusion electrode that was used in this project.

Nevertheless, regardless of the nitrogen-doped carbon catalyst activity that promotes H_2O_2 production, H_2O_2 is still concerned for its decomposition under alkaline conditions, limiting the practical used of electro-generated H_2O_2 (Zhang et al., 2020; Shi et al., 2021). Because of the



oxidizing property of H_2O_2 , several researchers utilized H_2O_2 in the oxidation reaction such as the oxidation of alcohol, phenol hydroxylation, sulfide oxidation, and cyclohexane oxidation (Chen et al., 2017). On the other hand, the control of reaction selectivity and the decomposition of H_2O_2 is still under development for the use of H_2O_2 . Therefore, it is challenging to use H_2O_2 in general oxidation reactions solely. Herein, the ORR system is then coupled with the oxidation of 5-hydroxymethylfurfural (HMF) to 2,5-furandicarboxylic acid (FDCA) to demonstrate the system and to utilize the H_2O_2 produced within the electrochemical cell.

The FDCA has the potential to be a precursor for various renewable and biodegradable materials in the packaging, textile, and coating fields (Pila et al., 2021). For instance, the unsustainable polyethylene terephthalate (PET) made from the petroleum industry can be substituted by the bio-based polymer polyethylene furanoate (PEF) that can significantly reduce greenhouse gas emissions and non-renewable energy use (Pila et al., 2021). Also, the PEF possesses a higher gas barrier for oxygen, carbon dioxide, and water vapor than PET (Yang et al., 2020). In general, the FDCA is produced from the conversion of HMF by aerobic oxidation reaction using Pd-based catalysts through oxygen gas as an oxidizing agent, which prefers high pressure making the process explosive (Pila et al., 2021). Normally, the HMF is obtained from lignocellulosic biomass conversion or dehydration of reducing sugars from the heating of sugar-containing food (Chen et al., 2017). Compared to the general conversion process of HMF, the electrochemical oxidation of HMF has advantages including ambient conditions, single-phase reactions with H_2O_2 as the oxidizing agent, absence of toxic components, and the non-precious metal catalysts such as Ni and Co that are cheaper than Pd (Pila et al., 2021).

It is claimed that the HMF conversion to FDCA can be facilitated by alkaline conditions (Pila et al., 2021; Chen et al., 2017; Sun et al., 2021; Vuyyuru & Strasser, 2012; Kang et al., 2019). Henceforth, in this project, the electrochemical ORR system was optimized to find the optimal condition with maximized H_2O_2 production yield. Subsequently, the previously discussed ORR system was then brought and combined with the oxidation of HMF in the flow cell electrolyzer system to study the production of FDCA assisted by the presence of H_2O_2 . The project will allow exploiting the current produced from the ORR reaction for the HMF conversion to FDCA.

3. EXPERIMENTAL METHOD

3.1 Synthesis of TL-800 and Electrode Fabrication

The carbon material was derived from dried tea leaves with potassium carbonate (K_2CO_3) as an activating agent in a mass ratio of 1:1.5, and the composite material was mixed with reverse osmosis (RO) water to produce a slurry. The mixture was stirred using a hot plate magnetic stirrer for 8 to 10 hours, then dried in an oven at 120°C for 12 hours and ground to a fine powder. The composite powders then were put into a porcelain combustion boat and carried to the tubular furnace being fed with nitrogen gas at a flow rate of 200 mL min⁻¹ and a pressure of 1 bar. The composite powder was heated at a rate of 5 °C min⁻¹ up to 800°C and then held at this temperature for 1 hour. To remove contaminants and produce a neutral pH, the activated carbon was crushed into powder and cleansed using RO water. Lastly, the carbon catalyst was dried in a 120°C oven for 24 hours.



The carbon catalyst ink is produced from a mixture of carbon catalyst, PVDF (Alfa Aesar), and carbon black. The catalyst ink mass ratio was 8:1:1. The mixture and grinding balls were put into the glass vial. After mixing for a minute, the N-Methyl-2-Pyrrolidone (NMP) solvent (99%, Alfa Aesar) was added to the composites at the NMP: slurry ratio of 16:1 by weight. After that, the mixture was mixed for a minute until it became homogeneous using a vortex mixer at 1500-2000 rpm. The mixture was sonicated for 10 minutes before being stirred using a magnetic stirrer for an hour. Finally, the ink was sonicated again for 10 minutes.

For the electrode preparation, the Toray carbon fiber composite carbon paper $(3.5 \times 3.5 \text{ cm}^2, \text{TGP-H-120})$ was cut and put on the glass, and fixed with the Kapton tape (Figure 1). The carbon paper used here only acts as the catalyst backing layer with hydrophobicity, so that the porosity of the synthesized carbon catalyst can be studied. Then, the slurry was poured on top of the carbon paper to fill the desired width, and the doctor's blade was swept to produce the electrode film. After that, the electrode film was put into the vacuum oven at 60 °C for 6 hours to dry the electrode film. Then, the Kapton tape was removed and the electrode can be obtained. The electrode was characterized for its true thickness using a contact profilometer. Also, the electrode was cut cross-sectionally and observed using an optical microscope. The finished



Figure 1. The electrode coated with a thickness of 100 μ m and 250 μ m

electrodes' weight was measured after the drying process to compare with the carbon paper weight to determine the carbon loading.

3.2 Electrochemical Measurements

All electrochemical experiments were operated at ambient temperature and pressure, the measurements were and conducted using a Metrohm Multi Autolab M204. Firstly, in the H₂O₂ production system, the threeelectrodes system was used in the electrochemical device setup (Figure 2). The conventional flow cell device is a 3-compartment Teflon compression cell including an O₂ gas compartment, a cathode compartment, and an anode compartment. Also, the cell was constructed and tested with different factors that are going to be varied. A miniature leakless


Ag/AgCl electrode (eDAQ) was used as the reference electrode. The anode of the system (counter electrode) was the Ni foam (0.5 mm, pore density 110 PPI, Hunan Tad New Materials Co., Ltd) for the water oxidation on the anodic side of the system. Before the compartment setup, Ni foam is cleaned using acetone and sonicated for 30 minutes. Then 30% HCl (QRëC[™]) is used to remove any oxide of Ni that is rinsed with DI water after the sonication. The cleaned Ni foam was further rinsed with DI water. At the cathodic side, the fabricated TL800 electrode was used as the working electrode for the electrochemical ORR to occur. The O₂ flow was continuously supplied to the gas chamber contacting the gas diffusion layer of the cathode. The electrolyte used in this system was 0.33 M KOH (99.99%, Sigma-Aldrich) continuously circulating through the flow cell using the peristaltic pumps on both cathodic and anodic sides. And the electrolyte was separated by the anion exchange selective membrane (Selemion AMVN, AGC Engineering Co. Ltd.). The total electrolyte volume was 30 mL. The active area of the working electrode was 3.14 cm². Before any measurements, the electrochemically active surface area of the TL800 electrode was compared by averaging the anodic and cathodic current at the middle potential of -0.05 V with the applied potential range of -0.2 to 0.1 V and the scan rate of 0.05, 0.0375, 0.025, 0.01, and 0.005 V/s. Also, impedance spectroscopy (EIS) was performed at the open circuit potential to correct the ohmic loss for every setup change.



Figure 2. Schematic diagrams of (a) ORR GDE system, (b) HMF conversion, and (c) real setup.



First, the cyclic voltammetry (CV) with 30 mL min⁻¹ of N₂ flowing was performed to sweep the potential and stabilize the system until a consistent voltammogram is observed. Then, the CV with 30 mL min⁻¹ of O₂ flowing was performed again to observe the electrochemical activity for each potential. After that, the electrochemical of the ORR was conducted using a chronopotentiometry (CP) method at a fixed current density. The chronopotentiogram was observed for 30 min. For each CP analysis, the catholyte was sampled at 10 and 30 minutes and analyzed by the Ce⁴⁺ titration method.

The thickness of the catalyst layer was varied having 100 and 250 μ m with the applied current density of 10, 30, and 50 mA cm⁻² for each, the KOH flow rate of 3 mL min⁻¹, and the O₂ flow rate of 30 mL min⁻¹.

After the amount of H_2O_2 is determined, the electrochemical measurement results were further used to determine the faradaic efficiency (FE%) for H_2O_2 production in the flow cell by the equation below. The H_2O_2 production performance from the TL800 electrode was compared with the electrode made from the commercial Sigracet 39BB carbon paper (Ion power) for its possibility to use in the gas diffusion electrode system as a substitute.

$$FE\%_{H_2O_2} = \frac{mole \ of \ generated \ H_2O_2 \times 2 \times 96485}{total \ consumed \ charge \ (C)} \times 100\%$$

Next, in the FDCA production system, the same setup is applied, except that the membrane between the catholyte and the anolyte was removed to study the effect of HMF conversion in the presence of H_2O_2 . The Ni foam electrode (1.6 mm, pore density 110 PPI, Hunan Tad New Materials Co., Ltd) was used as the anode to facilitate the HMF oxidation reaction. The electrolyte was 30 mL of 10 mM HMF solution in 0.33 M KOH (99.99%, Sigma-Aldrich). The mixture was circulated using a peristaltic pump with 3 mL min⁻¹ flow. To perform the reaction, CP is run for 2 hours and the solution is sampled every 30 minutes for 0.1 mL while the HMF solution is continuously stirred by a magnetic stirrer. The samples were further diluted to 1 mL using DI water and stored in the refrigerator to avoid degradation before they are analyzed using high-performance liquid chromatography (HPLC). The faradaic efficiency for the FDCA generation was determined by the equation below.

$$FE\%_{FDCA} = \frac{mole \ of \ generated \ FDCA \times 6 \times 96485}{total \ consumed \ charge \ (C)} \times 100\%$$

Lastly, the CP system with 10 mA cm⁻² current density applied under flowing O_2 and N_2 at 30 mL min⁻¹ for 6 hours with the cell potential measured using a multimeter was performed to observe the cell potential and compare the faradaic efficiency and the anodic half-cell potential between the systems with and without O_2 using Ni foam as the anodic catalyst.

3.3 Product Quantification

 Ce^{4+} titration was used to measure the produced H_2O_2 based on the reaction as follows:

$$2Ce^{4+} + H_2O_2 \rightarrow 2Ce^{3+} + 2H^+ + O_2$$



The H₂O₂ yield is measured by the mole that Ce⁴⁺ consumed which was determined by UVvis spectroscopy at the detector wavelength of 317 nm. Because the Ce⁴⁺ solution has a yellow color and the Ce³⁺ is colorless. The stock standard solution of Ce⁴⁺ is prepared by dissolving Ce(SO₄)₂ (97%, Sigma-Aldrich) in 0.1 M of H₂SO₄ (96%, Sigma-Aldrich) to obtain 0.5 mM of Ce(SO₄)₂ solution, the sample was mixed with the Ce⁴⁺ solution by the volume ratio of 1:300. A calibration curve was plotted for concentrations of 0.05, 0.1, 0.25, and 0.5, mM of Ce⁴⁺.

For the HMF conversion system, the anode product was determined by the use of HPLC. 5 mM sulfuric acid aqueous solution was used as mobile phases with an injection volume of 5 μ L. The column applied is Aminex[®] HPX-87H column 300 x 7.8 mm. The conversion rate of HMF and FDCA yield was calculated by the equations below using the values obtained from the quantification using HPLC.

 $\%Conversion_{HMF} = \frac{mole \ of \ consumed \ HMF}{mole \ of \ initial \ HMF} \times 100\%$ $\%Yield_{FDCA} = \frac{mole \ of \ produced \ FDCA}{mole \ of \ initial \ HMF} \times 100\%$

4. RESULTS AND DISCUSSION

4.1 Electrode Characterization

Doctor blades with a thickness of 100 and 250 μ m were used to coat the electrodes. With the results from the optical microscope, the layer between the Toray carbon paper and the TL800 carbon ink can be seen. The carbon paper was found to display a reflective and spiky structure, while the carbon ink presented an opaque and black layer coating on the carbon paper structure. According to the image scale in Figure 3, the thickness of the TL800 carbon ink layer using 100 μ m a doctor blade is approximately 50 μ m.



Figure 3. Optical microscope image of TL800 electrode with a thickness of 100 μ m

Due to the inconvenience of the optical microscopic method to determine the thickness and to further confirm the results from the optical microscope, the characterization using the



profilometer was performed instead. The results revealed that the thickness of 100 μ m has a real thickness with an average of 36.67 μ m, and the thickness of 250 μ m has a real thickness with an average of 49.56 μ m. With this, it can be interpreted that the thickness loss was caused by the NMP solution component in the carbon ink that was evaporated when the electrode was baked in the oven at 60 °C prior to the characterization.

In addition to the results from the profilometer and the optical microscope, the ECSA value increased in the same manner as the thickness increased as shown in Figure 4 indicating the increase of electrochemically active surface area with the increased thickness. Moreover, in terms of carbon loading (Table 1), the difference between the two thicknesses was significant as the loading of active carbon catalyst increases with larger thickness due to more ink coated on the carbon paper.



Figure 4. ECSA results for TL800 electrodes

Thickness from doctor blade (µm)	Carbon loading (µg/cm ²)
100	415
250	962

Table 1. The carbon loading data for TL800 electrodes

4.2 Electrochemical H₂O₂ production optimization

The optimization of the H_2O_2 production was done to find the optimum condition for the maximum H_2O_2 production. Firstly, the CV was performed to observe the current occurring across applied potentials (Figure 5). With the applied potential window of -1.45 to 0.1 V vs. Ag/AgCl, for TL800 coated on Toray paper, the O_2 system clearly provides significantly higher current due to ORR activity. Also, the increased thickness slightly increased the resulting current. Outstandingly, commercial Sigracet paper gives the highest cathodic current at very low potential. To optimize



the H_2O_2 production condition, CP runs (Figure 6) were performed on each electrode and their faradaic efficiency for H_2O_2 production and H_2O_2 concentration are compared in Figure 7-8.



Figure 5. CV of TL800 electrodes with 100 and 250 μ m and Sigracet paper under O₂ and N₂





The trend was observed that the lower current density can provide higher faradaic efficiency. This indicates the reduced selectivity of the catalyst towards the H_2O_2 production. The anticipated outcome with higher current density may be the selectivity towards water production. Also, the faradaic efficiency observed from the thickness of 250 μ m tends to reduce after time has passed. Meanwhile, time had a negligible effect on the faradaic efficiency from the thickness of 100.





Figure 7. Faradaic efficiency and of H_2O_2 concentration of Toray 100 μ m



Figure 8. Faradaic efficiency and of H_2O_2 concentration of Toray 250 μ m

Between the two thicknesses, the H_2O_2 production performances had a slight difference. This might be because the actual thicknesses of 100 and 250 µm as characterized by a profilometer were not significantly different. The TL800 electrode performance can be compared with the setup using the commercial sigracet paper as the cathode has a similar current density applied as shown in Figures 7 and 8. Overall, the system using the commercial Sigracet paper (Figure 9) performed better than the fabricated TL800 electrodes. The sigracet paper can supply up to 34% of faradaic efficiency at 10 minutes with 10 mA cm⁻², and the H_2O_2 production was about 7 mM at maximum. This suggests that there is room for improvement for the TL800 electrode.





Figure 9. Faradaic efficiency and of H₂O₂ concentration of Sigracet paper

The highest concentration (2.417 mM) was observed from the use of 100 μ m thickness at 30 mA cm⁻² for 30 minutes. Hence, this condition was then selected for the next experimental section, which is the electrochemical FDCA production from HMF.

4.3 Electrochemical FDCA production from HMF

Using the optimum condition for H_2O_2 production, the HMF oxidation to FDCA was performed under two conditions where O_2 or N_2 were fed to the cathode gas chamber. N_2 gas was used as a control to observe the effects of H_2O_2 production on the electrosynthesis of FDCA. Figure 10 shows the yields of DFF, HMFCA, FFCA, and FDCA from the HMF conversion. Table 2 shows the results with a significant difference in FDCA yield (77 % with N₂ and 91% with O₂) and conversion (92% with N_2 and 98% with O_2) at 2 hours of reaction, indicating that the presence of O₂ in the cathode chamber is beneficial to the HMF oxidation reaction. Moreover, the faradaic efficiency was calculated to be 26% with O₂ and 19% with N₂. As time passed, it can be seen that the amount of HMF reduced continuously in contrast to the amount of FDCA. Apart from the main reactant and the main product, intermediates such as HMFCA, FFCA, and DFF were observed with low amounts overall. In addition, there are more yields of FDCA and other intermediates with O_2 than with N_2 indicating more oxidative activity in the presence of O_2 than N₂. As the reaction proceeded in both systems, the intermediates continued to disappear indicating the further transformation of the intermediates to FDCA as the amount of FDCA increased over time. It was found that there were more HMFCA than DFF suggesting that the oxidation pathway through HMFCA might be preferable, which agrees with previous work (Pila et al., 2021). The difference between the total yield of FDCA, HMFCA, DFF, and FFCA and the HMF conversion is smaller in the O_2 system than that in the N_2 system. This suggests a lower loss of HMF to humin formation in the O₂ system. Figure 11 shows the image of the product solutions from each CP run. The clear solution of the system with O₂ indicates the conversion of HMF to FDCA, which is colorless. On the other hand, the system with N_2 has yellow color from the remaining HMF and humin.





Figure 10. Performance results including HMF conversion and yield of FDCA, HMFCA, FFCA, and DFF between O₂ and N₂ systems



Figure 11. (left) Image showing the color of converted HMF solution from each CP run and (right) CP of HMF conversion with 30 mA cm⁻² applied

Table 2. Faradaic efficiency for FDCA production, conversion, and yield for the system with O_2 and N_2

	With O ₂	With N ₂
FE%	25.99%	18.97%
Conversion%	98.01%	92.10%
Yield%	90.94%	76.78%



Figure 12 shows the CP curves of the flow cell system with O_2 and N_2 . The increase in cathodic potential can be credited to the presence of ORR under O_2 and the hydrogen evolution reaction (HER) under N_2 (-0.961 V with O_2 and -1.654 V with N_2 vs. RHE). Therefore, the presence of ORR activity largely reduces the voltage required for the studied electrolytic cell. In addition, the faradaic efficiency also increased (50% at 1 hour for 10 mA cm⁻² and 45% at 1 hour for 30 mA cm⁻²) with lower current density. As the reaction proceeded, the faradaic efficiency under 10 mA cm⁻² dropped but with a lower degree compared to the reaction under 30 mA cm⁻² current density (FE% became 26.61% after 6 hours of reaction with 10 mA cm⁻², while 25.99% was obtained after 2 hours of reaction with 30 mA cm⁻²).



Figure 12. (Top) Cell voltage under 10 mA cm⁻² applied and (bottom) Faradaic efficiency of HMF conversion reaction under O₂ between 30 mA cm⁻² for 2 hours and 10 mA cm⁻² for 6 hours



	Cell potential (V)	Cathode potential (V vs. Ag/AgCl)	Anode potential (V vs. Ag/AgCl)	Anode potential (V vs. RHE)
With O ₂	1.130	-0.289	0.841	1.749
With N ₂	2.753	-1.826	0.927	1.839

Table 3. The potential of cell, cathode, and anode for the system with O_2 and N_2

The electro-conversion of HMF to FDCA using Ni foam as the anode and TL800 electrode as the cathode under the presence of O_2 fed to the gas diffusion electrode was successfully demonstrated. Our results show that simultaneous H_2O_2 production and HMF oxidation result in higher FDCA yield and HMF conversion, improved faradaic efficiency, and reduced electrolytic cell potential. This device suggests a practical use of electrochemical H_2O_2 production as a cathode in the electrolytic conversion of HMF to produce FDCA.

5. CONCLUSION

The tea leaves carbonized at 800 °C (TL800) was used to prepare the nitrogen-doped carbon catalyst for electrochemical H_2O_2 production via ORR. Catalyst coatings onto carbon paper with two different thicknesses: 100 and 250 µm were investigated as gas diffusion electrodes in an electrochemical flow cell. It was discovered that the 100 and 250 µm thicknesses offered similar H_2O_2 faradaic efficiency for each current density applied (10, 30, and 50 mA cm⁻²). The trends suggest lower H_2O_2 faradaic efficiency with higher current density and longer reaction time. From the results, the highest H_2O_2 produced concentration was found for the coating thickness of 100 µm. Thus, the system with the optimized condition was further used in an electrolytic device for HMF oxidation to FDCA. Under 2 hours of reaction time, the system with O_2 input offered significantly higher yield and conversion (91% yield and 98% conversion) compared to the system with N_2 (77% yield and 92% conversion). Additionally, the presence of O_2 in the system reduced the cell voltage and improved the faradaic efficiency (26% with O_2 and 19% with N_2 under 2 hours at 30 mA cm⁻²). At 10 mA cm⁻² with O_2 , the faradaic efficiency can increase up to more than 50% at 2 hours. Finally, the electrochemical system with O_2 was proven to provide higher FDCA yield and HMF conversion, better faradaic efficiency, and reduced cell voltage.

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1.3 Cu-Al Spinel-Oxide Catalysts for Selective Hydrogenation of Furfural to Furfuryl Alcohol

Sutarat Thongratkaew, Chuleeporn Luadthong, Sirapassorn Kiatphuengporn, Pongtanawat Khemthong, Pussana Hirunsit, and Kajornsak Faungnawakij^{*}

National Nanotechnology Center (NANOTEC), National Science and Technology Development Agency (NSTDA), 111 Thailand Science Park, Paholyothin Rd., Klong Laung, Pathumthani 12120, Thailand

ABSTRACT: Furfuryl alcohol, one of the important platform chemicals for polymer, food, and pharmaceutical industries, was produced by the liquid-phase hydrogenation of furfural. The Cu-Al spinel-oxide catalyst (CuAl₂O₄) was developed for the furfural hydrogenation to furfuryl alcohol. The spinel CuAl₂O₄ showed excellent activity as compared to the impregnated Cu/Al₂O₃ and reported non-noble metal catalysts. The influence of reaction parameters including temperature, time, and H₂ initial pressure were systematically investigated. The furfural conversion and the yield of furfuryl alcohol were achieved on CuAl₂O₄ catalyst at >99 % and >95 %, respectively. The excellent activity could be attributed to the spinel structure providing the high H₂ adsorption and desorption ability. DFT calculations suggested that the spinel structure would have the stronger interaction with furfural and the higher amounts of active sites for H₂ dissociation, resulting in the lower reaction free energy and energy barriers for the hydrogenation. Furthermore, it was observed that the CuAl₂O₄ showed a positive effect on the tolerance against water impurity in the liquid-phase hydrogenation of furfural.

Introduction

Recently, bio-based products derived from renewable biomass have gained increasing attention to replace petroleum-based products. The lignocellulosic biomass is mainly composed of three compounds: cellulose, hemicellulose, and lignin with variation in composition of each component depending on type of plants (Yan *et al.*, 2014), while these compounds can be utilized as raw materials of a variety of biochemicals and biofuels. Furfural is a key chemical intermediate in the conversion pathway of hemicellulose to high value-added products such as furfuryl alcohol, methyl furan and various resins (Vargas-Hernández *et al.*).

Due to the attractive economic value and wide range of application, furfuryl alcohol is an important derivative of furfural produced via hydrogenation process which can be used as solvent, pharmaceutical made to vitamin C, lubricant, lysine, plasticizer, dispersing agent, adhesive and thermostatic resins (Jacek Kije'Nski A and Andrzej Lewicki B, 2002; Nagaraja *et al.*, 2003). On the industrial scale of furfuryl alcohol production from furfural, the traditional catalyst is Cu-Cr providing 98 % yield of furfuryl alcohol. However, the chromium species can contaminate the target products and impede their further use (Nagaraja *et al.*, 2003). Therefore, heterogeneous Cr free catalysts have been developed to overcome these limitations (Mongkolchanok Pramottana, 2002).



Noble metal catalysts including Pt, Pd, and Ru have been investigated for the liquid- and gas-phase hydrogenation of furfural. It turned out that they can replace the Cr-containing systems, even though their use increases the cost of the process (Qin et al., 2017). Concerning all catalysts studied to date, Cu-based catalysts have been considered as the most promising choice for the selective hydrogenation of furfural as they are highly active and cost effective (Nagaraja et al., 2007; Vargas-Hernández et al., 2014; Yan et al., 2014). Nagaraja et al. (Nagaraja et al.) reported that Cu-MgO catalyst prepared by co-precipitation method showed the excellent activity in hydrogenation of furfural to furfuryl alcohol with 98 % conversion and > 98 % selectivity. Sitthisa et al. (Nagaraja et al.; Sitthisa and Resasco, 2011) compared the activity of Cu, Pd and Ni catalysts and found that Cu/SiO₂ was highly selective to furfuryl alcohol with small amount of 2methyl furan while Pd/SiO₂ and Ni/SiO₂ catalysts produced mainly furan by decarbonylation and ring opening products (butanal, butanol and butane), respectively. Moreover, most of the researchers reported that the metal oxides, e.g., Ca, Co, Al, Mg, Mo, Fe have been used as a support to improve the activity and product selectivity of Cu-based catalysts by reducing the surface carbonization and the agglomeration of active particles (Huang et al., 2007; Nagaraja et al., 2007; Sitthisa and Resasco, 2011; Sitthisa et al., 2011; Vargas-Hernández et al., 2014). Regarding a choice of support, it could be expected that Cu-Al catalysts are the most promising choice as they are cheap, active and selective to desired products. Moreover, Cu species was highly dispersed on alumina support along with an increase in distributed acidic and basic sites (Hajduk et al.). Nonetheless, the performance of the copper catalysts can be varied depending on the microstructure of the copper and the additives, while the synthesis condition needed to be controlled precisely.

In the present work, Cu-Al spinel oxide catalysts have been proposed for the liquid-phase hydrogenation of furfural. The spinel catalyst has been prepared by sol-gel combustion technique and compared to the state-of-the-art Cu/Al₂O₃ prepared by conventional impregnation technique in terms of catalytic performances and physicochemical properties. Cu based spinel structured catalysts have been considered as the key features to provide excellent catalytic performance in hydrogenation of furfural since hydrogen can occlude in their structure, providing a high performance in hydrogenation reaction. (Kwak *et al.*). The influences of the reaction temperature, time, H₂ initial pressure, and water content on furfural hydrogenation were fully investigated over Cu-Al spinel catalysts. DFT calculation also suggested that the active copper species and copper-aluminate spinel have strong interaction that help expedite the hydrogenation reaction. Furthermore, Cu-Al spinel showed high tolerance against water that would come together with furfural feedstock which is normally produced by dehydration of xylose in water media. Therefore, the present catalytic system has good potential to reduce the cost of furfural-water azeotrope separation.

Experimental

- Catalyst Preparation

The CuAl₂O₄ was synthesized by sol-gel combustion and the CuO/Al₂O₃ was synthesized by conventional impregnation. The former was prepared by dissolving copper nitrate hemi(pentahydrate) (Cu(NO₃)₂.2.5H₂O) and aluminum nitrate nonahydrate (Al(NO₃)₃.9H₂O) with



a molar ratio of 1:2 in deionized water. The mixture was continuously stirred at 60 °C for 2 h. Then, excess amount of citric acid was added into the obtained solution. After continuous stirring for 1 h, the solution was heated up to 100 °C to evaporate water. The temperature of dried precipitate was then raised up to 200 °C until black fine powder was obtained. The solid product was calcined in air at 900 °C for 4 h.

For the latter, copper (35 wt. %) was loaded on γ -Al₂O₃ (specific surface area of 216.3 m²/g). Certain amount of Cu(NO₃)₂·2.5H₂O was dissolved in deionized water and then the copper solution was slowly dropped into γ -Al₂O₃ support under stirring. The mixture was dried at 120 °C for 12 h and calcined in air at 450 °C for 4 h.

- Catalyst Characterization

The crystalline phases of catalysts were investigated by wide angle X-ray diffraction (XRD: Bruker D8 ADVANCE) using Cu K α radiation. The measurement was operated under an electric tension of 40 kV and a current of 40 mA in the 2θ range of $20-80^{\circ}$ with a step size of 0.02° /s. The textural properties of Cu-based catalysts were analyzed by nitrogen adsorption-desorption technique (Quantachrome Instruments, Nova 2000e). Prior to the measurement, the sample was degassed at 300 °C for 3 h. The specific surface area of the catalysts was examined using the Brunauer-Emmett-Teller (BET) analysis in a relative pressure (p/p_0) range between 0.05 and 0.95, where the specific pore volume was measured at a relative pressure of 0.995. Pore diameters and volumes were calculated using Barrett-Joyner-Halenda (BJH) method. The morphology of catalysts was investigated by transmission electron microscope (TEM: JEOL JEM-2100) with the acceleration voltage of 200 kV. The samples were prepared by suspending in ethanol and then dispersing on holey carbon-coated grids. The reducibility of catalysts was analyzed using temperature programmed reduction (TPR: CHEMBET-Pulsar Quantachrome Instruments). In each TPR experiment, catalyst was heated in a flow of gas mixture of hydrogen (H_2) and argon (Ar). The experiment was carried out in the temperature range of 45-800 °C with a heating rate of 5 °C/min. The H₂ uptake of catalysts was investigated by a hydrogen temperature programmed desorption (H₂-TPD) using ChemStar[™] Quantachrome Instruments equipped with a thermal conductivity detector (TCD). Pior to each measurement, the catalyst was placed in a quartz tube and then reduced with 10 % H₂ in Ar at 300 °C 3 h. After that, the sample was cooled down to room temperature and saturated with H_2 for 1 h. Next, a stream of He (30 ml/min) was fed to remove physically adsorbed H₂. Subsequently, the measurements were conducted under a He flow in the temperature range of 30–900 °C with a heating rate of 10 °C/min. N₂O pulse chemisorption was carried out in the flow system to measure the active sites of Cu (Quantachrome, CHEMBET-3000 with mass spectroscopy). Prior to the test, the catalyst was placed in the quartz tube and reduced at 300 °C for 3 h in 10 % H₂/Ar. Then, the sample was cooled down to room temperature under a He flow, and 25 % N₂O/He were subsequently introduced into a calibrated injection volume of 61 μ L using He as a carrier gas. The formation of N₂ and consumption of N₂O in the effluent were monitored by a thermal conductivity detector (TCD) and mass spectroscopy. The number of Cu surface atoms was obtained by a stoichiometry between N₂O and Cu of 1:2 according to the following equation.

$$2Cu + N_2O \rightarrow Cu_2O + N_2$$

(1)



The X-ray photoelectron spectroscopy (XPS) was performed ex-situ and in-situ using a PHI5000 Versa Probe II Scanning XPS Microprobe (ULVAC-PHI) with primary radiation source micro-focused scanning X-ray (AI Ka 1486.6 eV). For in-situ XPS, the sample was reduced in the internal pre-treatment chamber at 300 °C for 3 h under 10% H₂/N₂ with a flow rate of 40 ml/min. The local geometry changes of Cu species in catalysts after reduction process with hydrogen gas at 300 °C for 3 h were investigated by in-situ x-ray absorption spectroscopy (XAS) at Cu K-edges in Transmission mode. Prior to the in situ XAS study, the sample was pelletized with diameter of 1.5 mm (edge jump of 1.4) and placed into the testing cell. XAS spectra were analyzed by the Athena programs included in the IFEFFIT package. A principal component analysis (PCA) followed by LCF analysis was applied on the normalized XANES spectra in the -20 eV < E0 < 60 eV range. The Cu₂O, CuO and CuAl₂O₄ were selected as the reference compounds for Cu K-edge.

Performance of Cu-based catalysts on furfural hydrogenation reaction

The Cu-based catalysts were tested for furfural hydrogenation reaction in a stainless-steel autoclave with magnetic stirrer. Prior to each experiment, the catalyst was activated by reduction with 10% H₂ in N₂ at 300 °C for 3 h. After the catalyst activation, 1.5 M of furfural (Sigma-Aldrich, 99%) in 2-propanol (Fisher Scientific, 99.98%) and 0.5 g of a pre-reduced catalyst were mixed together. The reaction was carried out at initial H_2 pressure of 20–40 bar and temperature of 150–190 °C. After the reaction, the autoclave was cooled down to the ambient temperature with an ice-water bath. Liquid phase product was collected and then centrifuged to remove the solid catalyst. Then the liquid samples were analyzed by gas chromatography (GC-2010, Shimadzu) with a flame ionization detector using capillary column (DB-WAX, Agilent technologies).

The furfural conversion, furfuryl alcohol yield and turn over frequency (TOF) are calculated using the following equations:

Conversion (%) =
$$\left(\frac{\text{moles of furfural consumed}}{\text{moles of furfural initially charged}}\right) \times 100$$
 (2)

Yield (%)

 $= \left(\frac{\text{moles of furfuryl alcohol produced}}{\text{theoretical moles of furfuryl alcohol}} \right) \times 100$ (3)

 $= \left(\frac{\text{moles of furfural consumed}}{\text{active sites of catalyst x reaction time}}\right) \times 1$ TOF (s⁻¹) (4)

Computational method

The catalyst models were constructed as Cu₁₃ cluster supported on CuAl₂O₄ and γ -Al₂O₃. The support CuAl₂O₄ (311) facet was selected based on the XRD results (see Fig. 4c) that the (311) showed the highest intensity of the XRD diffraction peak. The γ -Al₂O₃ (110) facet which was reported to dominate in γ -Al₂O₃ nanocrystallites (~70-83% of total area) was applied (Nortier et al., 1990; Digne et al., 2004). The possible M₁₃ nanoparticle structures; icosahedral (ICO), face centered cubic (FCC), hexagonal close packed (HCP), capped cubic (CC), buckled biplanar (BBP), triangular biplanar (TBP), and cage-like (CAG), which were reported in previous studies (Chou et al., 2009; Piotrowski et al., 2010) were initially investigated. The high-symmetry Cu13 cluster



structure of ICO was found to be the most stable one. Then, the ICO-Cu₁₃ cluster were initially deposited on CuAl₂O₄ (311) and γ -Al₂O₃ (110) surfaces at various possible positions and orientations. Here, we report the details of the most stable surface model structures in **Fig. S1** and the structures of Cu₁₃/CuAl₂O₄ (311) and Cu₁₃/ γ -Al₂O₃ (110) surfaces are shown in **Fig. S2** in supplementary information. The structures were applied to study hydrogenation of furfural to furfuryl alcohol. The M₁₃ cluster model can represent small particle approximate 1 nm. It should be noted that catalytic reactivity is also affected by the copper particle size and shape which may be ascribed to the variation of the number of low coordinated defect sites. In this work, we focus on the effect of the supports and to determine active sites for furfural hydrogenation. The surface models can adequately represent those. Furthermore, the M₁₃ cluster and supported M₁₃ cluster on support such as γ -Al₂O₃ were broadly applied to study catalytic reaction and catalysts properties for example NO oxidation, CO oxidation, H₂ dissociation, ammonia dissociation and stability of clusters on support (Hu *et al.*, 2010; Hirunsit *et al.*, 2014; Gao, 2016; Zeng *et al.*, 2016; Chen *et al.*, 2017; Dessal *et al.*, 2019; Li, T. T. *et al.*, 2019).

(a) side view and top view of bare CuAl₂O₄(311) surface



Fig. S1. Bare surfaces model structures of (a) CuAl₂O₄(311) and (b) γ -Al₂O₃(110)



(a) Isolated icosahedral (ICO) Cu₁₃ cluster



(b) Cu₁₃/CuAl₂O₄(311)

(c) Cu_{13}/γ -Al₂O₃(110)



Fig. S2. The model structures of (a) isolated icosahedral Cu₁₃ cluster, and surfaces of (b) $Cu_{13}/CuAl_2O_4(311)$ and (c) Cu_{13}/γ -Al₂O₃(110).

The fully periodic plane-wave density functional theory (DFT) calculations were carried out using the Vienna ab initio Simulation Program (VASP) (Kresse and Furthmüller, 1996a; b). Spin-polarized DFT calculations were performed with the exchange-correlation functional Perdew-Burke-Ernzerhof (Perdew et al., 1996) described within the generalized gradient approximation implemented with the projector augmented wavefunction (PAW) method (Blöchl, 1994; Kresse and Joubert, 1999) for representing the non-valence core electrons. For the inclusion of long-range dispersion, the semi-empirical dispersion potential correction method described by Grimme and coworkers (DFT-D3) (Grimme, 2006; Grimme et al., 2010) was applied. The calculations employed $2 \times 2 \times 1$ k-points Monkhorst-Pack mesh sampling (Monkhorst and Pack, 1976) in the surface Brillouin zone. The plane-wave cutoff energy was optimized at 500 eV. The results were checked for convergence with respect to the applied energy cutoff and number of k-points. The Gaussian broadening (Fu and Ho, 1983) with a value of smearing parameter σ of 0.05 eV was applied. The convergence criteria for electronic self-consistent iteration were set to 1.0×10^{-6} eV and the ionic relaxation loop was limited for all forces smaller than 0.02 eV/Å for free atoms. The dipole corrections in all three spatial directions were included only in z direction for surface calculations. The free energy calculations included zero-point energy, enthalpic and entropic corrections at T = 170 °C which the highest yield of furfuryl alcohol was experimentally observed. The transition states were located using Nudged Elastic Band (NEB) (Sheppard et al., 2008; Sheppard and Henkelman, 2011; Sheppard et al., 2012) and Dimer (Henkelman and Jónsson, 1999; Heyden et al., 2005; Kästner and Sherwood, 2008; Xiao et al., 2014) methods which are implemented in VTST tool. The transition state structures were characterized by a normal mode



analysis to ensure the presence of one imaginary frequency. Bader charge analysis (Henkelman *et al.,* 2006; Sanville *et al.,* 2007; Tang *et al.,* 2009a; Yu and Trinkle, 2011) was also performed using the method implemented in VTST tool.

Results and discussion

- Characterization of Cu-based catalysts

The specific surface area, average pore diameter and total pore volume of CuAl₂O₄ and CuO/Al₂O₃ catalysts are listed in Table 1. CuO/Al₂O₃ catalyst synthesized by impregnation method exhibited the surface area of 123.4 m²/g, average pore diameter of 7.5 nm, and total pore volume of 0.306 cm³/g. It was found that the surface area and total pore volume of CuO/Al₂O₃ catalyst decreased approximately 1.7 times compared to those of bare Al₂O₃ support (216 m²/g and 0.610 cm³/g, respectively). The decrease in surface area and pore volume after copper loading might occur from Cu particles blocking and/or entering into the pore of Al₂O₃ during the impregnation process (Pantaleo et al., 2009). However, CuAl₂O₄ catalyst displayed extremely low surface area (1.8 m²/g) and pore volume (0.020 cm³/g), suggesting its less-porous nature after the high temperature calcination. From the above results, the Cu-based catalysts synthesized by different methods exhibited different physical properties, especially specific surface area. Thus, TEM micrograph was used to confirm the structure of the catalysts as shown in Fig. 1. It can be seen that CuAl₂O₄ (in Fig. 1a) showed the primary particle size of 10–25 nm, while they tended to form secondary particles that sintered to a larger size of 200–400 nm. The CuO/Al₂O₃ sample (in Fig. **1b)** showed a rod-like structure which should be mainly alumina particles with length of 10–30 nm, while they tended to agglomerate to a larger cluster. Note that copper particles were not clearly distinguished in this TEM image.

Catalyst	Specific surface area (m²/g)	Average pore size (nm)	Total pore volume (cm³/g)	H ₂ sorption capacityª (μmol H ₂ /g)
CuAl ₂ O ₄	1.8	11.4	0.020	266.9
Cu/Al ₂ O ₃	123.4	7.5	0.306	434.5
AI_2O_3	216.0	7.8	0.610	-

Table 1 Specific surface area, average pore size, total pore volume and H_2 sorption capacity of Al_2O_3 support and Cu-based catalysts.

^a Calculated using the area under the H₂-TPD profiles.





Fig. 1 TEM images of (a) CuAl₂O₄ and (b) CuO/Al₂O₃ catalysts.

The reduction behavior of catalysts was investigated as the corresponding H₂-TPR profiles are shown in **Fig. 2.** It was observed the two-step reduction mechanism on both catalysts. The low reduction peak at 260-270 °C was attributed to highly dispersed CuO particles or isolated Cu ions on the catalyst surface, whereas the peak at high temperature was attributed to the reduction of bulk CuO or composite metal oxides (Vargas-Hernández *et al.*). Accordingly, CuO/Al₂O₃ catalyst was reduced at lower temperatures (maximum at 400 °C) compared to CuAl₂O₄ catalyst (at 520 °C), owing to the low interaction between Cu oxides and Al₂O₃ support These results were in good agreement with the stepwise reductions of copper oxide species to Cu⁰ reported by S. M. Pudi (Pudi *et al.*, 2015). Note that XRD could not clearly detect the CuO phase since its content is too small (see **Fig.4c**). Although the higher temperature would bring about more complete reduction process to form metallic copper, it was observed that the reduction temperature higher than 300 °C would decrease the activity of catalysts (both CuAl₂O₄ and CuO/Al₂O₃), probably due to the sintering effect of copper particles (results not shown). Therefore, the reduction temperature of 300 °C was used for the catalyst activation.





Fig. 2 H₂-TPR profiles of CuAl₂O₄ and CuO/Al₂O₃ catalysts.

The H₂-TPD was performed to investigate the adsorption capacity and interaction of hydrogen on the Cu-based catalysts (**Fig. 3**). The H₂ uptake data would be useful information since the hydrogenation reaction needs the interaction between H₂ molecules and catalyst surface to proceed. As a result, CuO/Al₂O₃ showed a broad peak in the range of 150–600 °C, suggesting the low H₂ sorption ability. On the other hand, CuAl₂O₄ clearly showed two major desorption peaks in the range of 90–120 °C and 250–650 °C, suggesting to the desorption of atomic hydrogen on Cu sites and the desorption of strongly-adsorbed hydrogen on the bulk Cu particles or metal oxide, respectively (Chunjie Huang). The H₂ uptake of CuO/Al₂O₃ and CuAl₂O₄ catalysts were determined as 266.9 and 434.5 µmol/g, respectively (in **Table 1**). The spinel CuAl₂O₄ catalyst showed the higher amount of H₂ uptake compared to CuO/Al₂O₃ catalyst, indicating that atomic hydrogen species could be inserted into the spinel structure.





Fig. 3 H₂-TPD profiles of CuAl₂O₄ and CuO/Al₂O₃ catalysts.

The crystalline phases of the Cu-based catalysts were investigated using wide angle XRD technique as shown in **Fig. 4.** The spinel catalyst prepared with sol-gel combustion displayed the diffraction patterns at 20 of 31.3° , 36.9° , 44.8° , 55.7° , 59.4° , and 65.3° , corresponding to reflection planes of cubic spinel CuAl₂O₄ including (220), (311), (400), (422), (511), and (440) (PDF 01-075-4256) (Salavati-Niasari *et al.*, 2009). For CuO/Al₂O₃ catalyst, the diffraction patterns exhibited two phases of γ -Al₂O₃ at 20 of 46.5 ° (PDF 00–010-0425) and monoclinic CuO at 20 of 32.5° , 35.5° , 38.7° , 48.7° , 53.5° , 58.2° , 61.5° , 66.2° , and 68.1° , corresponding to the reflection of (110), (11-1), (111), (20-2), (020), (202), (11-3), (31-1), and (220) (PDF 00-048-1548) (Luo *et al.*, 2005).



Fig. 4 XRD patterns of CuAl₂O₄ (left) and CuO/Al₂O₃ (right) catalysts: (a) as-synthesized CuAl₂O₄, (b) as-synthesized CuO/Al₂O₃, (c) reduced CuAl₂O₄, (d) reduced CuO/Al₂O₃, (e) spent CuAl₂O₄ in isopropanol system, (f) spent CuO/Al₂O₃ in isopropanol system, (g) spent CuAl₂O₄ in isopropanol/water system.
□ cubic CuAl₂O₄ ∇ Al₂O₃ • CuO △ Cu⁰ Cu₂O

Upon the reduction process at 300 °C for 3 h, the diffraction peak assigned to metallic copper phase (PDF 00-004-0836) was clearly observed over CuAl₂O₄, while the structure of spinel CuAl₂O₄ still remained with lower intensity, apparently due to the incomplete reduction process (**Fig. 4c**). The partially reduced CuAl₂O₄ could improve a catalytic activity in hydrogenation reactions, involving reversible hydrogen adsorption (see H₂-TPD result in **Fig. 3** and **Table 1**). For CuO/Al₂O₃ catalyst, CuO was completely reduced to Cu as confirmed by XRD result in **Fig. 4d**. It should be noted that Cu²⁺ was more difficult to be reduced in cubic spinel structure of CuAl₂O₄ catalyst compared to CuO/Al₂O₃ (Luo *et al.*, 2005; Kwak *et al.*, 2012; Chiang and Lin, 2017; Qin *et al.*, 2017), (Jose' A. Rodriguez a;*, 2003; Hu *et al.*, 2010), which were consistent with the TPR results. The crystalline phases of the catalysts after the reaction tests will be discussed in the next section.

Oxidation state of Cu element was determined by XPS as the results shown in Fig. 5. The calcined catalysts presented the principal $Cu2p_{3/2}$ peak around 925-965 eV and Cu LMM peak around 890–925 eV. In Fig. 5a, the main peaks of CuO/Al_2O_3 and $CuAl_2O_4$ were ca. 933.5 eV,



corresponding to Cu^{2+} species. The satellite peak of Cu^{2+} species was also observed at 944.1 eV and 963 eV. In **Fig. 5b**, the Cu LMM spectra of both samples exhibited a single peak at 917.8 eV, which should be assignable to a characteristic peak for Cu^{2+} species (Zhang *et al.*, 2015; Han *et al.*, 2018; Li, X. *et al.*, 2019; Ponmudi *et al.*, 2019).



Fig. 5 XPS spectra of (a) Cu 2p_{3/2}, (b) Cu LMM Auger spectra of as-prepared and XPS spectra of (c) Cu 2p_{3/2}, (d) Cu LMM Auger spectra of *in situ* reduced.

In situ XPS measurements after reduction process were carried out to evaluate the active surface species of copper. **Fig. 5c** and **Fig. 5c** show Cu $2p_{3/2}$ (a) and Cu LMM (b) spectra of the reduced catalysts and the characteristics of copper species are listed in the **Table 2**. Accordingly, the Cu $2p_{3/2}$ spectra of CuAl₂O₄ shifted the peak maxima from 933.5 to 932.8 eV, indicating the surface change from Cu²⁺-rich surface to Cu¹⁺-rich one. In addition, Cu LMM spectra confirmed the reduction of Cu²⁺ to Cu¹⁺ and Cu⁰ species. It seems that Cu¹⁺ and Cu⁰ species are representing the reduced copper species, while Cu²⁺ is due to the unreduced spinel matrix. On the other hand, the satellite peak of CuO/Al₂O₃ was not detectable after the reduction process due to the fact that the divalent copper species was completely reduced to zero valent copper (Cu⁰) as confirmed by the Cu2p_{3/2} and Cu LMM in **Fig. 5c** and **5d**.



	Cu 2p		Cu LMM		
	Biding ene	Kine	Kinetic energy(eV)		
Catalyst	Main peak	Satellite	Cu ⁰	Cu ¹⁺	Cu ²⁺
CuO/Al ₂ O ₃	933.5	d	n	n	917.8
CuO/Al ₂ O ₃ (<i>in situ</i> reduced)	931.5	n	921.4	916.7	n
CuAl ₂ O ₄	933.5	d	n	n	917.8
CuAl ₂ O ₄ (<i>in situ</i> reduced)	932.8	d	921.4	916.7	918.0

Table 2 The characteristics of CuO, Cu⁰, Cu₂O, and Cu(OH)₂.

d Detected

n Not detected

The in situ XAS measurement under the reduction condition was performed to further confirm the oxidation state changes of Cu species in Cu-based catalysts. The normalized Fe Kedge absorption spectra together with an amplified inset, showing the spectra of iron oxide species and Fe foil standard, are displayed in Fig. 6. During the reduction process of Cu-based catalysts, it was observed the phase transformation in the corresponding normalized Cu K-edge XANES spectrum. As the, a small absorption peak appears at ~ 8,981 eV in the spectrum (), indicating the formation of Cu(I). Another peak at \sim 8,980 eV corresponded to Cu(0). The compositions of Cu species analyzed from the corresponding spectra by linear combination fitting (LCF) analysis using the Athena program are summarized in Table 3 with R factor and Reduced R² < 0.015 (see Table S1). Before the reduction process, all calcined catalysts exhibited three main phases of copper oxide species including Cu₂O, CuO and CuAl₂O₄ as the compositions of both catalysts were almost similar which were 27.1-31.6 wt. %, 18.1-21.5 wt. %, and 50.2-51.4 wt. %, respectively. However, after the reduction process, Cu⁰ was observed on reduced catalyst mixed with copper oxide species, suggesting that copper oxides were partially transformed to Cu⁰. The main phase of reduced CuAl₂O₄ catalyst was CuAl₂O₄ (64.5 %) while that of reduced CuO/Al₂O₃ catalyst was Cu⁰ (71.4 %), as were confirmed by XRD. N₂O pulse chemisorption technique was used to investigate the active sites of catalysts which referred to only Cu⁰ phase. In **Table 3**, it was observed that the active Cu⁰ sites of CuO/Al₂O₃ catalyst were 12.4 μ mol/g which were 1.4 times higher than CuAl₂O₄ catalyst (8.7 μ mol/g).





Fig. 6 In situ XAS results (a) Cu K-edge XANES spectra, (b) first-order derivatives of the XANES spectra, and (c) Fourier-transformed Cu K-edge EXAFS spectra of CuAl₂O₄ and Cu/Al₂O₃ catalysts before and after reduction process.



Table 3 Results from linear combination fitting (LCF) analysis before and after reduction processat 300 °C for 3 h, active Cu⁰ sites and TOF of Cu-based catalysts.

Catalysts	Mass fraction ^a , w/%				Active Cu ⁰	
	Cu foil	Cu ₂ O	CuO	CuAl ₂ O ₄	(μmol/g)	TOP (S)
Calcined CuAl ₂ O ₄	0.0	27.1	21.5	51.4	-	-
Reduced CuAl ₂ O ₄	25.9	0.0	16.4	64.5	8.7	92.2
Spent CuAl ₂ O ₄	14.4	0.0	21.1	64.5	-	-
Calcined Cu/Al ₂ O ₃	0	31.6	18.1	50.2	-	-
Reduced Cu/Al ₂ O ₃	71.4	13.1	5.4	10.1	12.4	66.1
Spent Cu/Al ₂ O ₃	67.7	12.3	14.1	5.9	-	-

^a R factor and Reduced R² are listed in Table S1

^b Calculated from the N₂O pulse chemisorption.

^c Calculated based on Cu^0 and the catalytic activity at 170 °C; catalyst weight, 0.5 g; furfural solution, 1.5 M; H₂ pressure, 40 bar; 1 h; 2-propanol solvent.

Table S1 R factor and Reduced R² from linear combination fitting (LCF) analysis of Cu-based catalysts before and after reduction process at 300 °C for 3 h.

Catalysts	Mass fraction ^a , w/%				D factor	Doducod D ²
	Cu foil	Cu_2O	CuO	$CuAl_2O_4$	Riactor	Reduced R-
Calcined CuAl ₂ O ₄	0.0	27.1	21.5	51.4	0.0030	0.0008
Reduced CuAl ₂ O ₄	25.9	0.0	16.4	64.5	0.0258	0.0058
Spent CuAl ₂ O ₄	14.4	0.0	21.1	64.5	0.0149	0.0037
Calcined Cu/Al ₂ O ₃	0.0	31.6	18.1	50.2	0.0005	0.0001
Reduced Cu/Al ₂ O ₃	71.4	13.1	5.4	10.1	0.0018	0.0002
Spent Cu/Al ₂ O ₃	67.7	12.3	14.1	5.9	0.0012	0.0001



- Catalytic activity of Cu-based catalysts in furfural hydrogenation

Fig. 7 shows the catalytic performance of CuAl₂O₄ in furfural hydrogenation to furfuryl alcohol as a function of temperature and time. In **Fig. 7a**, furfural conversion and furfuryl alcohol yield increased with respect to the increment of reaction temperature, suggesting its endothermic nature. The reaction temperature above 170 °C gave a similar yield above 95%. In **Fig. 7b**, furfural conversion and furfuryl alcohol yield increased monotonously with the increase in reaction time. The conversion and yield were achieved at 99.3% and 96.2%, respectively, within 60 min. The selectivity toward furfuryl alcohol was extremely high for all cases signifying that the side reactions was not flavored over the spinel catalysts. The small amount of by-products including 2-methyl furan (2MF) and tetrahydrofurfuryl alcohol (THFOL) was detected (< 2 %) as the reaction mechanism of by-products was reported by Nagaraja et. al (Nagaraja *et al.*, 2007).







Fig. 7 Catalytic performance in term of furfural conversion and furfuryl alcohol yield as a function of reaction temperature (a) and time (b) over CuAl₂O₄ catalyst. Reaction condition: catalyst weight, 0.5 g; furfural solution, 1.5 M; H₂ pressure, 40 bar.

Next, the effect of initial hydrogen pressure (20, 30, and 40 bars) on furfural hydrogenation was studied at temperature of 170 °C and time of 60 min (**Fig. 8**). It was found that with the increase in H₂ pressure from 20 to 30 bar would enhance the conversion and yield. However, the furfuryl alcohol yield slightly dropped when H₂ pressure was further increased to 40 bar, presumably due to the over-hydrogenation reaction. In addition, the reaction rate could be enhanced by increasing total pressure from 20 (pure H₂) to 40 bar (H₂:N₂ at 1:1). However, the activity from the mixed gas system was not as high as that from the pure H₂ system. The results suggested that the conversion and yield can be enhanced by increasing total pressure or partial hydrogen pressure, likely due to the improved solubility of hydrogen in the liquid phase.







It is worth investigating the effect of water content in the furfural hydrogenation since water is basically used as a solvent in xylose dehydration to furfural. It is hard to remove all water from furfural by a conventional distillation process because they are azeotrope. In this section, the catalytic hydrogenation of furfural to furfuryl alcohol was studied at various water contents in a range of 0-30% v/v of 1.5 M of furfural solution. Moreover, the reaction was tested on CuO/Al_2O_3 for performance comparison (Fig. 9). Without water, $CuAl_2O_4$ catalyst showed complete conversion with furfuryl alcohol yield of 98.6%, which were much higher than CuO/Al₂O₃. The higher water content typically resulted in lower conversion and yield. The yield decreased to 94.3, 79.3 and 23.6% with the water contents of 10, 20, and 30% v/v, respectively. However, the more severe decline in activity was observed on CuO/Al₂O₃ when the water content was increased. As seen in Fig. 9b, the furfural yields drastically dropped to 57.7, and 11.7 % at water content of 20, and 30% v/v, respectively. The result suggested that the higher content of water leads to more side reactions. In addition, water would bring about catalyst deactivation as oxidation of copper was confirmed by XRD analysis. The spent CuAl₂O₄ and CuO/Al₂O₃ catalysts in the reaction with water addition demonstrated the XRD characteristics of Cu₂O phase (Fig. 4). It was observed that the reduced CuAl₂O₄ was more stable than the reduced CuO/Al₂O₃ at all water contents. The suggested condition of furfural solution should not contain more than 10 % v/v of water to achieve complete furfural conversion and furfuryl alcohol yield at or above 94%.





Fig. 9 The catalytic performance in term of furfural conversion and furfuryl alcohol yield as a function of water content over (a) CuAl₂O₄ and (b) CuO/Al₂O₃ catalysts. Reaction condition: catalyst weight, 0.5 g; furfural solution, 1.5 M; H₂ pressure, 20 bar; reaction temperature, 170 °C; reaction time, 5 h.

The TOF of reaction on Cu-based catalysts was calculated based on the active Cu⁰ sites at the conditions which gave the highest catalytic activity. It was found that the TOF of spinel CuAl₂O₄ catalyst was 1.4 times higher than CuO/Al₂O₃ catalyst, implying that furfuryl alcohol flavored to produce over CuAl₂O₄ catalyst even though there is lower active Cu⁰ sites. Accordingly, it was considered that the spinel structure along with the high H₂ adsorption and desorption ability in CuAl₂O₄ catalyst played a positive role in the hydrogenation reaction. In spite of the low surface area and pore volume, CuAl₂O₄ exhibited higher activity than CuO/Al₂O₃. Upon the H₂ activation process, isolated Cu-aluminate or Cu²⁺ ions were formed on the CuAl₂O₄ spinel host, and the interaction between them was anticipated to correlate to the excellent catalytic activity. It should be noted that the Cu²⁺ ions incorporated in octahedralsites of Al₂O₃ or vicariant in



aluminate were the active sites which provided the H₂ dissociation and adsorbate for dissociated H₂ molecule, respectively, leading to the high catalytic activity in hydrogenation reaction (Bahmanpour *et al.*, 2019). Mainly Cu⁰ on Cu/Al₂O₃ was inactive compared to the spinel catalyst containing both Cu⁰ and CuAl₂O₄ (Cu²⁺). Moreover, according to XRD results of spent catalyst (**Fig. 4**), metallic copper species on cubic spinel structure (CuAl₂O₄) remained quite stable, suggesting that these copper particles was more stable than the copper particles impregnated on γ -Al₂O₃. Comparing the catalytic activity of this research with the previous works from literatures as shown in **Table 4**, the spinel catalyst in the present work was among the top-list which gave the higher furfural yield (95-99%) than those of other works (50-98%). This result indicated that CuAl₂O₄ catalyst was effective for furfuryl alcohol production since the spinel structure was proved to provide the high tolerance against water in the liquid-phase hydrogenation reaction.

Catalysts	Condition	Furfural conversion (%)	furfuryl alcohol yield (%)	TOF (s ⁻¹)	Ref.
CuAl ₂ O ₄	170 °C; 1 h; 1.5 M of furfural; 30 bars H ₂ ; 0.5 g catalysts; 2-propanol solvent	100	>95	93.0	This work
CuAl ₂ O ₄	170 °C; 5 h; 1.5 M of furfural; 20 bars H ₂ ; 0.5 g catalysts; 10 %v/v water content in 2-propanol solvent	99	94	18.4	This work
35wt%CuO∕ Al₂O₃	170 °C; 1 h; 1.5 M of furfural; 30 bars H ₂ ; 0.5 g catalysts; 2-propanol solvent	98	89	66.1	This work
15wt%Cu/SB A-15	170 °C; 5 h; 0.1875 ml/h of furfural; 10 ml/min of H ₂ ; 0.15 g catalyst; 5 vol.% cyclopentyl methyl ether solvent	54	Selectivity 95	0.002	(Vargas- Hernández <i>et al.,</i> 2014)

Table 4 Catalytic activity of this research compared to other literatures.



Pt/TiO ₂ /SiO ₂	150 °C; 1 atm; HLSV 2 g/gcat h; H2/furfural 2 mol/mol	68	Selectivity 94	-	Jacek Kije´Nski A and Andrzej Lewicki B, 2002)
Cu–Fe	220 °C; 14 h; 2.0mL of furfural; 90 bars H ₂ ; 0.2 g catalyst; 5 mL octane solvent	99	51	-	(Yan and Chen, 2014)
16wt.%Cu– MgO	180 °C; 1 atm H ₂ ; 2.5 molar ratio H ₂ /furfural; furfural feed rate of 1.2 mlh ⁻¹ ; GHSV 0.05 molh ⁻¹ gca ⁻¹	98	Selectivity 98	0.005	(Nagaraja <i>et</i> <i>al.,</i> 2007)
10%Cu/SiO ₂		69	Selectivity 98	1.3	
1%Pd/SiO ₂	 230 °C; 1 atm H₂; 25 H₂/Feed ratio; 15 min; 41.3 gcat/furfural (mol/h) 	69	Selectivity 14	265.8	(Sitthisa and Resasco, 2011)
5%Ni/SiO ₂	2000, 10110101 (1101/11)	72	Selectivity 25	76.5	,

In addition, the CuAl₂O₄ catalysts were found to be rapidly deactivated by coke deposition which could be regenerated by burning off at high temperature (Qin *et al.*). In order to reduce the production cost in large-scale, the CuAl₂O₄ catalyst stability and reusability was investigated in the hydrogenation of furfural. In **Fig. 10**, after 3rd cycle, the furfural conversion were almost similar to fresh catalyst while furfuryl yield was dropped approximately 25 % due to the coke deposition on the catalyst surface (from the element analysis, the carbon content was lower than 5 wt. %). However, after regeneration by calcination at 900 °C for 4 h, the catalytic performance was comparable to the fresh form (furfural conversion of 97.1 % and furfuryl alcohol yield of 91.6 %). The DFT calculations on the two catalytic systems including Cu/CuAl₂O₄ and Cu/ γ -Al₂O₃ are carried out, and discussed in the next section.





Fig. 10 Stability and reusability of CuAl₂O₄ catalyst in furfural conversion to furfuryl alcohol. Reaction conditions: catalyst weight, 0.5 g; furfural solution, 1.5 M; H₂ pressure, 20 bar; reaction temperature, 170 °C; reaction time, 1 h.

 Furfural, furfuryl alcohol, hydrogen adsorption and furfural hydrogenation reaction mechanism

In this section, DFT calculations were performed to investigate catalytic active sites, interaction between key species and catalyst surfaces, and furfural hydrogenation mechanisms on Cu₁₃/CuAl₂O₄ (311) and Cu₁₃/ γ -Al₂O₃ (110) catalysts in order to obtain physical insight into different catalytic reactivity observed experimentally. The adsorption energy can be used as a preliminary probe of interaction strength between adsorbates and surfaces. The adsorption energy ($E_{\rm ad}$) was calculated by

$$E_{ad} = E_{total} - E_{clean \ surface} - E_{adsorbate(isolated)}$$
(5)

where E_{total} is the energy of the adsorption structure, $E_{clean \, surface}$ is the energy of the clean surface structure, and $E_{adsorbate(isolated)}$ is the energy of isolated adsorbate molecule. The more negative value of E_{ad} indicates the stronger adsorption. Furfural and furfuryl alcohol adsorption were initially located at three sites; (i) at Cu site (ii) at Al site and (iii) at the interface Cu-Al site. H₂ and 2H dissociative adsorption was also investigated at three sites of (i) Cu site (ii) at O site and (iii) at the interface Cu-O site. Here, we report only the most stable adsorption structures which are shown in **Fig. S3** and **S4** in supporting information and the adsorption energies are summarized in **Table 5**.


	Cu ₁₃ /CuAl ₂ O ₄ (311)	Cu ₁₃ /γ-Al ₂ O ₃ (110)		
Charges (e ⁻)				
Cu ₁₃	-0.19	+0.27		
Adsorption energy, Ead (eV)				
Furfural	-3.26	-1.93		
Furfuryl alcohol	-2.64	-1.92		
H ₂ molecule	-0.64 (at O site)	-0.38 (at Cu site)		
Dissociative H atoms	-1.80 (at O site)	-1.04 (at Cu site)		
Energy barriers (eV)				
H ₂ dissociation	0.20	0.26		
1 st H addition	0.53	0.47		
2 nd H addition	0.58	1.02		
Reaction free energies (eV)				
H ₂ dissociation	-0.64 (at O site)	-0.66 (at Cu site)		
Hydrogenation of furfural to furfuryl alcohol	-3.40	-2.47		

Table 5 The most stable adsorption energies, energy barriers and reaction free energies





(c) molecular H₂ adsorption



(b) furfuryl alcohol adsorption



(d) dissociative 2H atoms adsorption



Fig. S3. Most stable adsorption structures of (a) furfural, (b) furfuryl alcohol, (c) H₂, and (d) dissociative 2H atoms on Cu₁₃/CuAl₂O₄(311) surface.



Fig. S4. Most stable adsorption structures of (a) furfural, (b) furfuryl alcohol, (c) H₂, and (d) dissociative 2H atoms on Cu_{13}/γ -Al₂O₃(110) surface.



Cu₁₃/CuAl₂O₄ (311) adsorbs furfural, furfuryl alcohol, H₂, and dissociative 2H significantly stronger than Cu_{13}/γ -Al₂O₃ (110) catalyst. Oxygen of furfural and furfuryl alcohol bind to both catalysts at AI site adjacent to Cu cluster where their carbon atoms also interact with Cu cluster (see Figure S3-S4 in supporting information). Therefore, the interface site between Al site of support and Cu cluster is important for furfural hydrogenation. Furthermore, the atomic partial charge from a Bader analysis of the Cu atom that involved in furfural and furfuryl alcohol adsorption on $Cu_{13}/CuAl_2O_4$ and on Cu_{13}/γ -Al₂O₃ are +0.06 and -0.05, respectively. This indicates the different Cu oxidation state at the active site on the two catalysts. Thus, the calculation results add support that the active Cu site on $Cu_{13}/CuAl_2O_4$ could be at the higher oxidation state than that on Cu_{13}/γ -Al₂O₃. We note that the charge associated with the Cu atom is delocalized from the Cu center, and so the Bader charge on Cu can only provide the relative and not the absolute value of the Cu charge state as Cu⁰, Cu⁺¹ or Cu²⁺ (Henkelman and Jónsson, 1999; Henkelman et al., 2006; Sanville et al., 2007; Tang et al., 2009b; Han et al., 2018). Interestingly, the favorable sites for H₂ dissociation are different, yet the energy barriers of H₂ dissociation on both catalysts are comparable (see **Table 5**). The Cu₁₃ cluster acts as an active site for H₂ dissociation on Cu₁₃/ γ -Al₂O₃ (110) while O sites are the active sites for H₂ dissociation on Cu₁₃/CuAl₂O₄ (311). The larger amount of H_2 desorption observed in the experiment (see **Table 1**) on CuAl₂O₄ could be ascribed to the higher amount of O sites on CuAl₂O₄ for H₂ adsorption and H₂ dissociation compared to amount of Cu sites on Cu/ γ -Al₂O₃. The amount of H₂ on Cu₁₃/ γ -Al₂O₃ (110) catalyst may be more dependent on Cu cluster dispersion due to Cu is the active site for H₂ adsorption and dissociation. In addition, Bader charge analysis shows the distinct characteristic of the supported Cu₁₃ cluster that it loses electrons to γ -Al₂O₃ support whereas it gains electron from CuAl₂O₄ (see **Table 5**).

Table 5. Bader charge analysis of supported Cu₁₃ cluster on Cu₁₃/CuAl₂O₄ (311) and Cu₁₃/ γ -Al₂O₃ (110), the most stable adsorption energies of furfural, furfuryl alcohol, H₂ molecule and 2H atoms upon H₂ dissociation, energy barriers and reaction free energies of H₂ dissociation and the most favorable furfural hydrogenation pathways on Cu₁₃/CuAl₂O₄ (311) and Cu₁₃/ γ -Al₂O₃(110). The corresponding adsorption structures and transition state structures are shown in **Fig. S3-S5** in supplementary information.





Fig. S5. Transition states structures of (a) 1^{st} H addition step (TS1) and (b) 2^{nd} H addition step (TS2) on Cu₁₃/CuAl₂O₄(311) and Cu₁₃/ γ -Al₂O₃(110) surfaces.

Furfural hydrogenation to furfuryl alcohol reaction mechanism was investigated using DFT calculations to determine reaction free energies and energy barriers on $Cu_{13}/CuAl_2O_4$ (311) and Cu_{13}/γ -Al_2O₃ (110). Furfural molecule and dissociative H₂ firstly adsorbs on catalyst surface, then two hydrogen atom addition steps are required. The two H addition steps occur at carbon atom and O atom as illustrated in **Figure 11a**. The free energy diagrams of the most favorable pathway on the catalysts are shown in **Figure 11b**. The first H addition is favorable to occur at carbon atom on $Cu_{13}/CuAl_2O_4$ (311), however it is favorable to take place at O atom on Cu_{13}/γ -Al₂O₃ (110). The reaction free energies are -3.40 and -2.47 eV and the highest energy barriers are 0.58 and 1.02 eV on $Cu_{13}/CuAl_2O_4$ (311) and Cu_{13}/γ -Al₂O₃(110), respectively (see **Table 5**). Thus, furfural hydrogenation is more thermodynamically and kinetically favorable on $Cu_{13}/CuAl_2O_4$ (311) surface. All in all, the DFT results suggest that catalytic activity increase of furfural hydrogenation on $Cu/CuAl_2O_4$ compared to Cu/Al_2O_3 catalysts could significantly be contributed by the factors of (i) the stronger interaction with furfural, furfuryl alcohol, (ii) the higher amount of active sites for H₂ dissociation and (iii) the lower reaction free energy and energy barriers for hydrogenation.



Fig. 11 Illustration of (a) H addition location at C atom and at O atom of furfural and (b) free energy diagrams of furfural hydrogenation to furfuryl alcohol on $Cu_{13}/CuAl_2O_4(311)$ (blue line) and Cu_{13}/γ -Al₂O₃(110) (green line). The corresponding transition state structures (TS1 and TS2 are shown in **Fig. S5** in supporting information).

Conclusions

In this work, the spinel CuAl₂O₄ was proposed as a catalyst for liquid-phase hydrogenation of furfural to furfuryl alcohol. Compared to the impregnated Cu/Al₂O₃ and reported non-noble metal catalysts, the CuAl₂O₄ showed excellent performance in term of conversion, yield, selectivity and stability. The reaction temperature, time, and H₂ initial pressure strongly affected the conversion and yield of the product. The yield could be maximized at 98-99% over CuAl₂O₄. The spinel structure and high hydrogen uptake of CuAl₂O₄ correlated well with the high catalytic performance. DFT calculations revealed that that the spinel catalyst would give active sites for H₂ dissociation and stronger interaction with furfural/furfuryl alcohol. As a result, the lower reaction free energy and energy barriers were found on the hydrogenation over the spinel system.

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3.4 Solvent Effects in Integrated Reaction-Separation Process of Liquid-Phase Hydrogenation of Furfural to Furfuryl Alcohol over CuAl₂O₄ Catalysts

Sutarat Thongratkaew^a, Sirapassorn Kiatphuengporn^a, Anchalee Jankaew^a, Sanchai Kuboon^a, Narong Chanlek^b, Anusorn Seubsai^c, Bunyarat Rungtaweevoranit^a and Kajornsak Faungnawakij^{a,*}

^a National Nanotechnology Center (NANOTEC), National Science and Technology Development Agency (NSTDA), Pathum Thani 12120, Thailand

^b Synchrotron Light Research Institute, 111 University Avenue, Muang, Nakhon Ratchasima, 30000, Thailand

^c Research Network of NANOTEC–KU on NanoCatalysts and NanoMaterials for Sustainable Energy and Environment, Kasetsart University, Bangkok 10900, Thailand

ABSTRACT: Selective hydrogenation of furfural to furfuryl alcohol over CuAl₂O₄ catalysts is studied in a batch reactor using a range of solvents of varying chemical properties. We find that the reactions in branched alcohols provide higher furfuryl alcohol yields compared to linear alcohols. Besides, the reactions performed in nonane and decane provide excellent furfuryl alcohol yields of 96%. In situ FTIR analysis indicates that the carbonyl group of furfural is preferentially adsorbed leading to such high catalyst performance. Importantly, the efficient production of furfuryl alcohol in immiscible solvents minimizing downstream process is achieved in this integrative reaction-separation concept.

Introduction

Biomass has the potential to be a viable replacement for finite fossil fuels to manufacture a range of commodities and liquid fuels (Liu and Zhang, 2016). Among biomass-derived chemicals, furfural (FF) is listed as one of the most important platform chemicals which can be converted to several chemicals such as furfuryl alcohol (FFA), tetrahydrofurfuryl alcohol (THFA), 2-methyl furan (2-MF), tetrahydrofurfural (THFF) and 2-methyl tetrahydrofuran(2-MTHF) **(Scheme S1)** (Zhang and Wu, 2021). The synthetic versatility of FF stems from its structure which is composed of a carbonyl (C=O) fragment, a π -conjugated (C=C–C=C) moiety, and a five-membered ring structure enabling several chemical transformations. In this aspect, selective hydrogenation of the carbonyl moiety of furfural to furfuryl alcohol (FFA) is an important process that consumes more than 60% of the furfural produced to make fine chemicals, resins, lubricants, fragrances, dispersants, and plasticizers (Fulajtárova *et al.*, 2015; Deng *et al.*, 2018). Industrially, FFA is manufactured both in liquid and gas phases(Gómez Millán and Sixta, 2020). While the reactions in the gas phase generally provide FFA in good yields, the reactions in the liquid phase offer additional control by using the property of solvents to tune reaction performance.

Several metal catalysts such as Ru (Ramirez-Barria *et al.*, 2018), Pd (Salnikova *et al.*, 2018), and Pt (Chen *et al.*, 2016) have been reported as highly active catalysts while base metal catalysts Cu, Co, Ni, Zr, Mg, Fe, Ce, and Zn have also been documented to show hydrogenation activity toward FF (Villaverde *et al.*, 2015; Jiménez-Gómez *et al.*, 2018; Tolek *et al.*, 2021;



Weerachawanasak *et al.*, 2021). Particularly, Cu catalysts show good selectivity for the hydrogenation of the carbonyl group of the FF (Villaverde *et al.*, 2013). Depending on the local configuration of the Cu active sites in alcoholic solvents are commonly reported in the literature, the catalytic activity and selectivity can vary greatly (**Table S1**). We recently reported CuAl₂O₄ spinel as a low-cost and high-performance catalyst for FF hydrogenation to FFA using isopropanol and water as a solvent mixture obtaining FFA with a remarkable yield of 94%. However, the effects of solvents in such a catalytic system remain unknown which could drastically affect the reaction efficiency. Previous studies on the solvent effects of FF hydrogenation to FFA generally agree that higher hydrogen donating ability and polarity of the solvents promote FF conversions; and secondary alcohols such as 2-propanol have been concluded as the optimal solvent (Deng *et al.*, 2018; Zhang, Jun *et al.*, 2018; Jia *et al.*, 2019). However, the solvents studied are limited to some selected solvents prompting us to investigate the possibility of using other solvent systems to improve reaction efficacy (Lee and Wu, 2021).

	Solvent	Condition		Conversion	Selectivity (%)			
Catalyst		Т	t	P _{H2}	Conversion (%)	FA	Others	Ref.
		(°C)	(h)	(bar)	(70)	(%)	(%)	
<i>m</i> -CuO	Isopropanol	180	5	20	37	79	21	(Lee <i>et</i>
								al.,
								2021)
Cu-Cr	Octane	200	4	60	94	83	0.3	(Yan <i>et</i>
								al.,
								2013)
15wt%Cu-	2-propanol	160	4		95	99	-	(Gao et
15wt%Ni/								al.,
MCM-41								2022)
2wt%Ni-	Methanol	100	2	20	94	60	37	(Weera
5wt%Cu/SiO ₂								chawan
								asak et
								al.,
								2021)
10wt%Co-	Isopropanol	170	4	20	99	80	8	(Srivast
10wt%Cu/SBA-								ava et
15								al.,
								2015)

Table S1. Examples of Cu-based catalysts for furfural hydrogenation.

Here, we comprehensively investigated the roles of solvents including protic solvents such as methanol, ethanol, 1-butanol, isopropanol, and 2-butanol; and aprotic solvents including pentane, hexanes, heptane, octane, nonane and decane for the hydrogenation of FF to FFA over CuAl₂O₄ spinel catalysts. Such an extensive list of solvents allowed us to correlate the properties of the solvents such as hydrogen donating ability and polarity with the FFA yields. For protic



solvents, we found that the reactions in branched alcohols provide better FFA yields. In contrast to previous reports, excellent FFA yields of 96% were obtained when nonane and decane were used as solvents despite the low hydrogen solubility in these solvents. The immiscibility of the FFA product is immiscible in these solvents facilitating the easy and rapid isolation of FFA by a simple extraction and the solvents can be reused in this integrated reaction-separation approach. Additionally, insights on the high selectivity of FFA production have been studied by in situ FTIR analysis of adsorbed FF on the catalyst.

Experimental

- Materials

2-Propanol (99.5%), 2-butanol (99%), nonane (99%), decane (95%), isopropanol (≥99.5%), furfural (99%), furfuryl alcohol (98%), copper nitrate hemi(pentahydrate) (98%), aluminum nitrate nonahydrate (98%) and citric acid (99.5%) were obtained from Sigma-Aldrich. Hexanes (99%), methanol (99.99%), and pentane (97%) were purchased from Fisher chemicals. Heptane (99%) was obtained from Quick. Octane (98%) and 1-butanol (99.9%) were purchased from RCI Labscan. Ethanol (99.9%) was obtained from DUKSAN.

- Catalyst Preparation

CuAl₂O₄ was prepared by a sol-gel combustion method. Specifically, copper nitrate hemi(pentahydrate) (11.87 g) was dissolved in deionized water (100 mL) and stirred at 60 °C until completely dissolved. Then, aluminum nitrate nonahydrate (38.28 g) was introduced to the copper nitrate hemi(pentahydrate) solution and the solution was stirred at 60 °C for 2 h. After that, citric acid (44.35 g) was added to the solution and stirred at 60 °C for 1 h. The solution was then heated to 100 °C while stirring until all the water was evaporated resulting in a gel-like substance. The temperature was then increased to 300 °C to initiate the combustion process, yielding a fine black powder. Finally, the solid product was calcined for 4 h at 900 °C in the air (Thongratkaew *et al.*, 2021).

- Catalysts Characterization

The phase of the catalyst was investigated by powder X-ray diffraction (PXRD, Bruker D8 ADVANCE) using Cu K α radiation operated at 40 kV and 40 mA. The data was collected using a step size of 0.02° in the 2 θ range of 20°–80°. The temperature-programmed reduction was used to investigate the reducibility of catalysts (TPR, Dynamic Flow Chemisorption Quantachrome Instruments). In each TPR experiment, the catalyst (0.2 g) was pretreated in a He flows (30 mL/min) at 150 °C for 1 h with a ramp rate of 5 °C/min. The catalyst was cooled to 60 °C under He flow (30 mL/min). Finally, the catalyst was performed using a TPR setup. Finally, the H₂ temperature-programmed reduction (TPR) experiment was carried out using 10% H₂/Ar in the temperature range of 45–800 °C at a ramp rate of 5 °C/min. Thermogravimetric Analysis (TGA, METTLER TOLEDO) experiments on spent catalysts were carried out under airflow at temperatures from room temperature to 900 °C at 10 °C/min to analyze the extent of catalyst coking.



- Catalysis test

The Cu-based catalyst was tested for FF hydrogenation reaction in a stainless-steel batch reactor with a magnetic stirrer. The catalyst was activated immediately before each experiment by reducing it to 10% H₂/N₂ at 350 °C for 3 h. After the catalyst activation, 5 mL of FF in 25 mL of selected organic solvents and 0.5 g of the pre-reduced catalyst were added to the reactor. The reaction was carried out at 170 °C and an initial H₂ pressure of 20 bar. The autoclave was cooled to room temperature using an ice-water bath after the reaction. The liquid phase product was collected and the solid catalyst was removed by centrifugation. Then the liquid samples were analyzed by gas chromatography (GC-2010, Shimadzu) with a flame ionization detector using a capillary column (DB-WAX, Agilent Technologies). The FF conversion, FFA yields, and isolated yields are calculated using the following equations:

Conversion (%) =
$$\left(\frac{\text{moles of furfural consumed}}{\text{moles of furfural initially charged}}\right) \times 100\%$$
 (1)
Yield (%) = $\left(\frac{\text{moles of furfuryl alcohol produced}}{\text{theoretical moles of furfuryl alcohol}}\right) \times 100\%$ (2)

For the isolation of FFA, the catalysis was conducted using decane as a solvent. After the reaction, the solid catalyst was removed by centrifugation. The liquid phase containing FFA and decane layers was separated by a separatory funnel and the amount of FFA was determined by an analytical balance.

- In situ infrared spectroscopy of furfural adsorbed on CuAl₂O₄ catalyst

The adsorption of FF on the catalyst surface was investigated using a Nicolet iS50 infrared spectrometer (Thermo Scientific, Waltham, MA, USA) equipped with a mercury-cadmium-telluride (MCT) detector, a diffuse reflection attachment Praying MantisTM and a high-temperature reaction cell. The catalyst sample was reduced to 350 °C for 3 h in the reaction cell with 10% H₂/Ar (30 mL/min) and then cooled down to 30 °C. The cell was purged with Ar (30 mL/min) for 1 h to remove physisorbed H₂. Then, FF (40 μ L) was added to the catalyst dropwise using a microsyringe and the time counter was started. The spectrum was recorded at 30–150 °C until all the physisorbed species were removed (Wang *et al.*, 2021).

Results and discussion

The textural and chemical property of the Cu-based catalysts.

The physical properties of the catalyst were studied by PXRD analysis (**Fig. 1(a)**). The fresh $CuAl_2O_4$ shows the diffraction peaks at 19.0°, 31.3°, 36.9°, 44.8°, 49.1°, 55.7°, 59.4°, 65.3°, 74.2°, 77.4°, and 78.5° which can be indexed to the cubic structure of the $CuAl_2O_4$ spinel phase (JCPDS 01-071-0966). After the reduction in H₂ at 350 °C, the peaks belonging to the $CuAl_2O_4$ spinel phase are broadened suggesting the reduction in crystallite size of the spinel phase. In addition, we observed the appearance of the peaks at 43.3°, 50.5°, and 74.2° (JCPDS 00-001-1242) which is attributed to the partial reduction of $CuAl_2O_4$ to Cu.



The H₂-TPR measurements were conducted to investigate the reduction characteristics of the catalyst (**Fig. 1(b)**). Three peaks were observed in the H₂-TPR profile: i) 260 –300 °C, ii) 500–600 °C and iii) 630–750 °C. The first reduction peak was assigned to the reduction of Cu²⁺ in the CuAl₂O₄ to metallic Cu on the catalyst surface (Kwak *et al.*, 2012). The peaks found at higher temperatures were attributed to the reduction of bulk CuO or metal oxide composite of CuAl₂O₄ (Bahmanpour *et al.*, 2019).

The electronic state of Cu species in the fresh and reduced $CuAl_2O_4$ was probed by X-ray photoelectron spectroscopy (XPS). Fig. 1(c) shows XPS spectra in the $Cu2p_{3/2}$ region of the fresh and reduced $CuAl_2O_4$ catalysts. In the fresh catalyst, the primary peak located at 933.5 eV was observed corresponding to Cu^{2+} species. The satellite peaks of Cu^{2+} species were also noticed at 943.0 eV further confirming the presence of Cu^{2+} in the fresh catalyst. In situ, XPS measurements after the reduction process at 350 °C indicate mixed-valence Cu including Cu^0 and Cu^{2+} as evidenced by peaks appearing at 932.8 and 933.5 eV, respectively (Zhang, Jian *et al.*, 2018; Xu *et al.*, 2019).



Fig. 1. (a) PXRD patterns of fresh and reduced $CuAl_2O_4$. \blacksquare $CuAl_2O_4$ spinel \bullet Cu^0 , (b) The H₂-TPR profile, and (C) XPS in the Cu $2p_{3/2}$ region of the $CuAl_2O_4$ catalyst before and after the in-situ reduction.



The catalytic activity of Cu-based catalysts in furfural hydrogenation

Next, we studied the effects of organic solvents for FF hydrogenation at 170 °C and 20 bar of H_2 . We selected two classes of organic solvents including alcohols and alkanes to understand the effects of the hydrogen donor ability of alcohol and the effects of biphasic systems.

- Effects of alcohol solvents

Alcohol solvents are typically used in the hydrogenation of FF because of their ability to generate hydrogen in situ via the catalytic transfer hydrogenation route and the solubility of FF in alcohol solvents (Gao et al., 2020; Singh et al., 2021). We thus investigated such effects on the reduced CuAl₂O₄ catalyst by using a range of alcohol solvents including linear alcohols: methanol, ethanol, and 1-butanol; and branched alcohols: isopropanol and 2-butanol (Fig. 2). The highest FFA yield of 96% was obtained from the reaction using 2-butanol as a solvent followed by isopropanol (95%), ethanol (77%), 1-butanol (76%), and methanol (36%). To understand this trend, we first focus on the correlation with hydrogen donation ability. Such property has been reported to inversely correlate with the reduction potential of the alcohol ($\Delta_{\rm f} {\rm H}^{\circ}$) (Tang *et al.*, 2014). The reduction potential of alcohols increases in the order of: methanol (130.1 kJ/mol) >> ethanol (85.4 kJ/mol) > 1-butanol (79.7 kJ/mol) > isopropanol (70.0 kJ/mol) \approx 2-butanol (69.3 kJ/mol) (Van Der Waal et al., 1998; Tang et al., 2014). Such a trend on the hydrogen donation property of alcohols is remarkably close to the FFA yields observed. Another factor that could affect the FFA yield is the solvent polarity which could be mapped with the π^* value. The plot between FFA yields and π^* values does not display any correlation (Fig. S1) suggesting that polarity is not the main factor in this catalytic system.



Fig. 2. Effects of alcohol solvents on FF hydrogenation over the reduced $CuAl_2O_4$ catalyst. Reaction conditions: 0.5 g of reduced $CuAl_2O_4$, 2 mL of FF, 25 mL of solvent, 170 °C, 20 bar H₂, and 5 h.





Fig. S1. The plot between FFA yields and π^* values

- Effects of alkane solvents

Alkane solvents have not been widely used in the hydrogenation of FF which is likely due to the limited solubility of H₂ and FF in these solvents. Despite this, we previously found that the reduced CuAl₂O₄ catalyst has excellent H₂ sorption ability which could overcome the H₂ solubility issue. Accordingly, we performed the catalytic tests in solvents including pentane, hexanes, heptane, octane, nonane, and decane. There are several benefits of performing the reaction in these solvents. First, FF is sparingly soluble while FFA is insoluble in these solvents. During the reaction, FF will be reduced in the alkane phase to FFA where FFA is segregated from the alkane phase and thereby shifting the reaction equilibria toward FFA providing better FFA yield. Second, since FFA is separated from alkane solvent, FFA can be conveniently isolated from the solvent by a simple liquid-liquid separation without additional solvent. Third, phase-separated alkane solvents can then be reused for the next reaction.

From the catalytic test, we found that the FF conversions in the alkane solvents were high in the range of 88–98% (**Fig. 3(a)**). However, we found that the FFA yields increase monotonically as a function of solvent chain length in the order: pentane (59%) < hexane (86%) < heptane (91%) < octane (95%) ~ nonane (96%) ~ decane (96%). We ruled out hydrogen solubility as the main factor because H₂ solubility is similarly low in these solvents (Ivaniš *et al.*, 2021). Another parameter that could influence the FFA yield is the polarity of the solvent. As such, FFA yields from the reactions using different solvents were plotted against the polarity of the respective solvents (**Fig. 3b**) (Sierra, 2001). From this graph, we observe a correlation between higher solvent polarity providing higher FFA yield. Therefore, increasing polarity of the longer alkyl chain



of the solvents is likely the main factor for this observed trend (Schuddeboom *et al.*, 1992; Ouyang *et al.*, 2020). After the reactions, the spent catalysts were characterized by PXRD where the patterns are comparable to the pattern of the reduced $CuAl_2O_4$ (**Fig S2**).



Fig. S2. PXRD patterns of the reduced CuAl₂O₄ (a) and spent catalysts after the reactions carried out in methanol (b), ethanol (c), 1-butanol (d), isopropanol (e), 2-butanol (f), pentane (g), hexanes (h), heptane (i), octane (j), nonane (k) and decane (l).

As expected, the reactions carried out in these alkane solvents yield two separated phases including alkane and FFA (**Fig 3**). Remarkably, the FFA phase obtained from the reactions in octane, nonane, and decane contains only FFA without any typical reaction by-products such as 2-MF, THF, THFF, and 2-MTHF as indicated by the GC analysis (Giorgianni *et al.*, 2018). This catalytic system thus provides excellent FFA yield with ease of FFA isolation.

To demonstrate the ease of product isolation, after the catalysis using decane as a solvent, the FFA layer was separated from the decane layer using a separatory funnel. The isolated FFA yield was 50%. This value is lower than the value determined by GC analysis because FFA is lost during the catalyst removal and the product transfer in such a small-scale reaction.





Fig. 3. (a) Effects of alkane solvents on the catalytic activity of reduced CuAl₂O₄ catalyst. Pictures of the reactions after the catalysis showing phase separation of FFA (bottom layer) from the alkane solvents (top layer). Reaction conditions: 0.5 g of reduced CuAl₂O₄, 2 mL of FF, 25 mL of solvent, 170 °C, 20 bar H₂ and 5 h. (b) The FFA yields from the reactions using different solvents were plotted against the polarity of the respective solvents.

We then assessed the recyclability of the CuAl₂O₄ catalyst under optimal conditions using decane as a solvent (**Fig. S3**). After each run, the catalyst was isolated by centrifugation, washed several times with decane, dried at 105 °C overnight, and reduced to $10\% H_2/N_2$ at 350 °C for 3 h. The FF conversions and FFA yields decrease gradually in the second and third cycles. The reduction in catalyst performance is attributable to the deposition of coke formation on the catalyst as indicated by TGA analysis (**Fig S4**). Distinct weight loss between 100-600 °C was observed corresponding to the loss of solvent and carbon deposit on the catalyst. The catalyst was then regenerated in static air at 900 °C to remove the carbon deposit. After regeneration, the catalyst exhibited FF conversion of 89% and FFA yield of 80% which is close to the performance obtained from the second cycle. After the regenerated catalytic 900°C in ambient, FF conversion and the yield of FFA were 89% and 80%, respectively.





Fig. S3. The TGA curve of CuAl₂O₄ fresh catalytic and spent catalytic.



Fig. S4. The TGA curve of CuAl₂O₄ fresh catalytic and spent catalytic for stability catalyst in 3 cycles experiments.



- Infrared absorption spectroscopy of furfural adsorbed on reduced CuAl₂O₄ catalyst.

To understand the origin of high catalytic selectivity toward FFA over reduced CuAl₂O₄, in situ diffuse reflectance infrared Fourier transform spectroscopy (DRIFTS) was performed (**Fig 4**). At 150 °C, peaks located at 1723, 1703, 1693, 1679, and 1673 cm⁻¹ were observed which can be assigned to the C=O stretch of furfural. Upon heating to 200 °C which is above the boiling point of furfural, the peaks appearing at 1693 and 1676 cm⁻¹ remain which belongs to the C=O stretch of furfural chemisorbed on the catalyst η^1 (O) configuration (Sitthisa *et al.*, 2011). Such red-shift compared to the gas phase furfural (1720 cm⁻¹) indicates the activation of the C=O group. Notably, the IR bands of the furan skeleton (1569, 1475, and 1464 cm⁻¹) are similar to those of FF in the gas phase hinting that the furan group does not have significant interaction with the catalyst (Allen and Bernstein, 1955; Alonso-Fagúndez *et al.*, 2017; Tong *et al.*, 2021). Overall, FF is adsorbed on the reduced CuAl₂O₄ catalyst with the C=O group alone interacting with the catalyst which could explain the selective hydrogenation of the carbonyl group of FF to produce FFA.



Fig. 4. In situ DRIFTS of adsorbed furfural on reduced $CuAl_2O_4$ collected at 150 °C and 200 °C after pretreatment in H₂ at 350 °C for 3 h.

Conclusions

In this study, we studied the effects of solvents on the hydrogenation of furfural over CuAl₂O₄ catalysts. High FF conversions (maximum of 98%) and FFA yields (maximum of 95%) were achieved in the reactions using branched alcohols such as isopropanol and 2-butanol and long alkanes such as octane, nonane, and decane as solvents. We found that the main factor



contributing to the high FFA yields is different for different solvent systems. For alcohol solvents, the hydrogen donating ability of alcohols has been identified as a key parameter. In contrast, for alkane solvents, the combination of the high H₂ sorption property of CuAl₂O₄ catalyst and high solvent polarity seems to play a key role in providing high FFA yields. The use of alkane solvent systems is advantageous for scale-up production since FFA spontaneously separates from the alkane solvents facilitating the FFA isolation process. From in situ DRIFTS of adsorbed FF, selective hydrogenation of the carbonyl group of FF was attained by the preferred adsorption of the carbonyl portion over the furan ring of FF on the catalyst surface.

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3.5 Kinetics Study of the Selective Hydrogenation of Furfural to Furfuryl Alcohol over CuAl₂O₄ Spinel Catalysts

Tanasan Intana^a, Sutarat Thongratkaew^a, Jeeranan Nonkumwong^a, Waleeporn Donphai^{b,c}, Thongthai Witoon^{b,c}, Metta Chareonpanich^{b,c}, Kajornsak Faungnawakij^{a,c}, and Sirapassorn Kiatphuengporn^{a,*}

^aNational Nanotechnology Center (NANOTEC), National Science and Technology Development Agency (NSTDA), Pathumthani 12120, Thailand ^bCenter of Excellence on Petrochemical and Materials Technology, Department of Chemical Engineering, Faculty of Engineering, Kasetsart University, Bangkok 10900, Thailand ^cResearch Network of NANOTEC–KU on NanoCatalysts and NanoMaterials for Sustainable Energy and Environment, Kasetsart University, Bangkok 10900, Thailand

Abstract

The catalytic hydrogenation of furfural is an important process that produce value-added furfural derivatives, especially furfuryl alcohol. In this work, the kinetics of the catalytic furfural hydrogenation over copper aluminate spinel (CuAl₂O₄) was studied in a batch-type reactor under various reaction parameters including the stirring speed, initial furfural concentration, H₂ pressure, and reaction temperature. The CuAl₂O₄ catalyst showed excellent performance in furfuryl alcohol production as the furfural conversion could reach 100% and the furfuryl alcohol yield was >98%. The furfural concentration and the reaction temperature were found to significantly impact on the reaction rate, while other parameters showed less contributions leading to a flexible operation window. It was determined that the reaction rate expression followed the equation $r = kC_{furfural}^{0.355}$ with the apparent activation energy of 45.6 kJ/mol. The developed kinetic model for hydrogenation of furfural to furfuryl alcohol were in good agreement with the experimental results. The kinetic model presented here could be useful for process design and scale-up of the hydrogenation reactor in the industrial application.

Introduction

Furfuryl alcohol (FA) is one of the valuable platform chemicals in various industries including the production of vitamin C, lysine, plasticizer (Vaidya and Mahajani, 2003), dispersing agent (Rao et al., 1997), thermostatic resins (Li et al., 2006), acid proof bricks (Sharma et al., 2013) and so forth. Typically, FA can be produced via selective hydrogenation of furfural (FF) in the gas or liquid phase (Li et al., 2003; Li et al., 2016; Liu et al., 2013; Nagaraja et al., 2003; Wu et al., 2005). In order to improve productivity and enhance catalytic activity of FF hydrogenation, many



variables such as types of metal, promoter, support, and catalyst preparation technique have to be considered. Generally, Cu-based catalysts have been widely used in the production of FA via FF hydrogenation (Liu et al., 2013; Rao et al., 1997). For the gas-phase reaction, the catalysts-i.e., Cu–Ca/SiO₂ (Wu et al., 2005), Cu/MgO (Nagaraja et al., 2003)–provided high FA yield of >95% and high FF conversion of >97%. However, the gas phase reaction has some drawbacks such as low production rate and energy efficiency. Meanwhile, for the liquid-phase furfural hydrogenation, the catalysts-i.e., Zr_xB_yFeO (Li et al., 2021), Ni-Fe-B (Li et al., 2003), Pd-Cu/MgO (Fulajtárova et al., 2015), Ir-ReO_x/SiO₂ (Liu et al., 2014), Pt-Sn/SiO₂ (Merlo et al., 2009), Ru/UiO-66 (Yuan et al., 2015), Fe(NiFe)O₄–SiO₂ (Halilu et al., 2016)–gave high FA yield of >90% and FF conversion of >95%. Although the high catalytic performance was achieved using those catalysts, the synthesis process of catalysts was complicated and needs improvement. In addition, avoiding the high-cost materials especially precious metals could be one of interesting alternatives to minize the cost of processing. Among several catalysts, the spinel-type oxides have been of interest for many reactions since these materials could provide a high dispersion of metal species that would lead to an excellent catalytic performance (Faungnawakij et al., 2008). They were synthesized by a solgel combustion technique which was simple and cost-effective. Our previous work firstly reported the application of CuAl₂O₄ spinel catalyst for FA production from FF. It gave the excellent activity in furfural conversion (>99%) and yield of furfuryl alcohol (>95%) comparing to the different types of spinels studied and the reported non-noble metal catalysts, according to the synergistic effect induced by the interaction between the reduced copper species (Cu⁰) and the copper spinel host (Cu^{2+}) along with the high H₂ sorption ability (Thongratkaew et al., 2021).

In addition, comprehension of reaction kinetic is one of the important factors for the process design which can help to predict the extent of the reaction under particular reaction conditions at any time. However, there are limited numbers of researches that reported the study of the kinetic and optimization for the FF hydrogenation to FA. In recent years, reaction order and activation energy have been investigated via the reaction over some catalysts including copper chromite, Pt/C, Co-Cu/SBA-15 and Cu-MgO through liquid phase and gas phase conditions. For the liquid phase, the copper chromite catalyst was found to follow the first order kinetic with respect to furfural and second order with respect to hydrogen as expressed by M.S. Borts et al. (Borts et al., 1986). R. Rao et al. (Rao et al., 1997) reported that reaction orders on FF and hydrogen were near unity when the reaction was performing, and selectivity of FF to FA was obtained in the range from 35-80%. For the Pt/C catalyst, reaction order with respect to furfuraldehyde was varied from zero to 0.86 depending on initial concentration of furfuraldehyde reported by P.D. Vaidya and V.V. Mahajani (2003) (Vaidya and Mahajani, 2003). Pseudo-first order with respect to initial concentration of FF in liquid phase with an activation energy of 48.3 and 38.5 kJ/mol was investigated over Zr₁B₃FeO and Co-Cu/SBA-15, respectively (Li et al., 2021; Srivastava et al., 2015). For the gas phase, the reaction mechanisms and macro-kinetics on Cu-MgO catalyst were deduced that a reaction order of near zero with respect to furfural showed as excellent agreement with the reactivity data and the activation energy of 25.5 kJ/mol was obtained as in the report by M. Ghashghaee et al. (2017) (Ghashghaee et al., 2017).

To the best of our knowledge, the kinetic study on $CuAl_2O_4$ spinel catalyst via the selective hydrogenation of FF to FA has not been studied and reported yet. Therefore, the aim of this



present work was to investigate the kinetic model for the FF hydrogenation to FA using $CuAl_2O_4$ spinel catalyst. A sensitivity study was performed by varying the reaction conditions (stirring speed, furfural concentration, H_2 pressure, and reaction temperature) to analyze the kinetic model of the reaction.

Experimental

- Catalyst preparation

CuAl₂O₄ spinel catalyst was prepared by a sol-gel combustion method following the protocal reported in our previous publications (Luadthong et al., 2016; Luadthong et al., 2017). Briefly, copper (II) nitrate hemi(pentahydrate) (Cu(NO₃)₂.2.5H₂O: 98%, Ajax (Australia)) and aluminum nitrate nonahydrate (Al(NO₃)₃.9H₂O: 98%, Ajax (Australia)) were mixed in a molar ratio of 1:2 by dissolving in deionized water under continuous stirring at 60 °C for 2 h. After that, excess amounts of citric acid (44.35 g) (C₆H₈O₇: 98%, Ajax (Australia)) were added into the aqueous solution, before it was further stirred at 60 °C for 1 h. The water in the solution has been evaporated by heating up to 100 °C until the solution turned to gel. Then, the temperature was raised up to ca. 300 °C to combust the gel to black fine powder. Finally, the black powder were grounded and calcined in air at 900 °C for 4 h to obtain brown CuAl₂O₄ spinel catalyst. For a comparison of the catalytic performance, other aluminate spinel catalysts (BAl₂O₄ where B = Ni, NiCu, Zn, Mg) were also synthesized using their corresponding nitrate salts (Ni(NO₃)₂.6H₂O), respectively, as divalent metal resource instead of copper (II).

- Catalyst characterization

Phase identification of the catalysts was performed using the powder X-ray diffractometer (XRD; D8 ADVANCE, Bruker, Ltd., Germany) with Cu K α radiation. The measurement was operated at 40 kV and 40 mA, and in steps of 0.02° s⁻¹ with a step time of 0.5 s over the range of $20^{\circ} < 2\theta < 80^{\circ}$. Morphology of CuAl₂O₄ catalyst was revealed using transmission electron microscopy (TEM; JEM-2100 JEOL, Japan). The specific surface area was performed by conventional nitrogen adsorption-desorption technique (Nova 2000e, Quantachrome Instruments, Germany). Prior to the measurement, the sample was degassed at 300 °C for 3 h. The specific surface area of the catalysts was examined using the Brunauer-Emmett-Teller (BET) analysis in a relative pressure (p/p₀) range between 0.05 and 0.95, where the specific pore volume was measured at a relative pressure of 0.995. Pore diameters and volumes were calculated using Barrett- Joyner- Halenda (BJH) method. Temperature programmed reduction (TPR) was conducted using CHEMBET-Pulsar (Quantachrome Instruments, Germany). In each TPR experiment, the catalyst was heated in a flow of gas mixture of hydrogen (H₂) and argon (Ar). The experiment was carried out in the temperature range of 45-800 °C with a heating rate of 5 °C/min.

- Catalytic performance and kinetic study

Catalytic performance tests for analyzing the kinetic model in the FF hydrogenation to FA using $CuAl_2O_4$ spinel catalyst were carried out in a 130 mL stainless steel autoclave with a magnetic stirrer under the reaction condition as follows: stirring speed (0, 400, 950 and 1,500



rpm), initial FF concentration (1, 2, 3 and 4 M), H_2 pressure (10, 20 and 30 bar) and reaction temperature (150, 170 and 190 °C). Note that the stirring speed above 400 rpm provided the condition without the mass transport limitation. Prior to the reaction, 0.5 g of catalyst was reduced with 10% H₂ balanced in N₂ at 300 °C for 3 h in a packed bed reactor, and then the reduced catalyst was transferred to the autoclave just prior to the reaction under ambient atmosphere. Furfural (C₅H₆O₂: 99%, Sigma-Aldrich) and 2-propanol (C₃H₈O: 99.98%, Fisher Scientific) were then mixed at the designed initial FF concentration in the autoclave with the total volume of 30 mL. The mixture was continuously stirred at the designed stirring speed, and heated up to the designed reaction temperature with a heating rate of 5 °C/min. In the beginning, the initial pressure increased with the elevated temperature. When the temperature achieved the desired value, the autoclave was pressurized to a greater extent by filling hydrogen gas (H₂: 99.99%, Praxair). Then the liquid sample was collected as a function of time for further investigation. During the reaction, the total pressure in the reactor was found to slowly decrease due to the H_2 consumption. So, the H_2 gas supply was connected to the system to keep the total pressure in the reactor identical to the initial value all the time. Note that the transfer hydrogenation was minor as compared to the direct hydrogenation in the present conditions. The samples were collected at the reaction time of 15, 30, 45, 60, 90, 120, 180, 240 and 300 min and analyzed by gas chromatography (GC-2010, Shimadzu) using a flame ionization detector and a capillary column (DB-WAX, Agilent technologies, 30 m in length with 0.25 mm i.d. and 0.25 μ m film thickness). The furfural conversion and furfuryl alcohol yield are calculated using the following equations:

FF Conversion (%) =
$$\left(\frac{\text{The number of moles of furfural consumption}}{\text{The number of moles of initially charged furfural}}\right) \times 100$$
 (1)
FA Yield (%) = $\left(\frac{\text{The number of moles of furfuryl alcohol generation}}{\text{The number of moles of initially charged furfural}}\right) \times 100$ (2)

Results and discussion

Characterization of catalysts

The XRD pattern of the as-synthesized $CuAl_2O_4$ as illustrated at the top panel of **Fig. 1a** indicated cubic spinel structure via an evidence of 2^[2] around 31.2, 36.8, 44.7, 55.6, 59.3, 65.2, 74.0 and 77.3°. These are corresponded to the reflections of (220), (311), (400), (422), (511), (440), (620) and (533) planes (PDF 01-075-4256), respectively. Similarly, the as-synthesized NiAl₂O₄, MgAl₂O₄ and 5%Ni-ZnAl₂O₄ also possess cubic spinel structure, as shown in Supplementary **Fig. S1**. After reduction process with 10% H₂ balanced in N₂ for 3 h, the CuAl₂O₄ catalyst partially transformed to metallic copper, Cu⁰ (PDF 00-004-0836), as marked in the middle panel of **Fig. 1**, while the major phase is still CuAl₂O₄. No phase transformation was observed after the reaction test as the XRD pattern of the spent catalyst remained unchanged, suggesting its good stability in the operating reaction conditions. It was reported that an oxidation of Cu⁰ to copper oxides could sometimes occur when using water as the solvent (Sádaba et al., 2015).

Fig. 1b illustrated a TEM image of the as-synthesized $CuAl_2O_4$. It was found that the catalyst was pseudo-cubic-like in shape with nanoscale to submicron particles. The specific



surface area, pore diameter and total pore volume of the $CuAl_2O_4$ were found to be 1.8 m²/g, 0.02 cm³/g and 11.4 nm, respectively. The TPR profile of the $CuAl_2O_4$ (**Fig. 1c**) demonstrated the tiny peak appeared at around 260 °C which should be assigned to the reduction of dispersed CuO particles to Cu^0 (Kwak et al., 2012). The stronger reduction peak was observed in the temperature range of 350-600 °C, corresponded to reduction of $CuAl_2O_4$ to Cu^0 (Luadthong et al., 2017). As observed in our previous study, the higher temperature led more complete reduction behavior of Cu oxide to metallic Cu, however, the activity of $CuAl_2O_4$ catalyst was decreased since the Cu particles were sintered at the reduction temperature higher than 300 °C (Thongratkaew et al., 2021). Therefore, the suitable reduction temperature was 300 °C.



Fig. 1 a) XRD patterns of the as-synthesized CuAl₂O₄, reduced CuAl₂O₄ and spent CuAl₂O₄: cubic CuAl₂O₄ (●) and Cu⁰ (♦), b) TEM image and c) TPR profile of the CuAl₂O₄ catalyst.




Fig. S1. XRD patterns of the CuAl₂O₄, NiAl₂O₄ Ni_{0.5}Cu_{0.5}Al₂O₄, 5%Ni-CuAl₂O₄ 5%Ni-ZnAl₂O₄ and MgAl₂O₄: cubic spinel (●), CuO (◊) and NiO (▼). 5%Ni-CuAl₂O₄ and 5%Ni-ZnAl₂O₄ were prepared by impregnating 5 wt% of Ni onto CuAl₂O₄ or ZnAl₂O₄ spinels in order to see the role of Ni species.

Catalytic performance of BAl₂O₄ (B = Cu, Cu_{0.5}Ni_{0.5}, Ni, Zn, Mg)

Various aluminate spinel catalysts with different divalent metals, BAl_2O_4 (B = Cu, Cu_{0.5}Ni_{0.5}, Ni, Zn, Mg), were initially screened to evaluate their catalytic performance. It was found that, among all catalysts, CuAl₂O₄ was selectively produce furfuryl alcohol (**Fig. S2a**) with highest FF conversion of ~100% (**Fig. S2b**) and FA yield of >98% (**Fig. S2c**). Althought it is widely known that Ni-based catalysts would be active for hydrogenation reaction, the Ni or Ni-Cu aluminate spinels clearly showed lower activity compared to the Cu aluminate spinel. Therefore, CuAl₂O₄ was focused for further study and kinetic parameter evaluation.







Optimization of reaction conditions for FF hydrogenation over CuAl₂O₄ catalyst

- Effect of stirring speed

Basically, the gas phase mass-transfer resistance in the FF hydrogenation reaction can be neglected due to the low vapor pressure of the solution and the low selectivity to gas products, resulting in the instantaneous gas-liquid interface saturation with H₂ (Gabrysch et al., 2019; Vasiliadou and Lemonidou, 2013). The external mass transfer limitations –H₂ transfer to the bulk liquid and reactant transport to catalyst surface– may differ depening on the experimental set-



up. Here, the effect of stirring speed (0, 400, 950 and 1,500 rpm) on the catalytic activity was studied at the reaction temperature of 170 °C, H₂ pressure of 20 bar and initial FF concentration of 2 M (see in **Fig. 2**). The results showed that the initial reaction rate in the system without stirring was remarkably low as compared to that in the stirring modes. Increasing the stirried speed to 400 rpm could enhance the reaction rate drastically, while further increase in stirring speed did not significantly affect the initial reaction rate. It was clearly shown that the influence of external diffusion resistance can be neglected when stirring at or above 400 rpm. The stirring speed of 400 rpm gave the highest FF conversion and FA yield of 89.4% and 92%, and was chosen for further experiments.



Fig. 2. Time-dependence of a) FF conversion and b) FA yield during FF hydrogenation over $CuAl_2O_4$ at various stirring speed. Reaction condition: stirring speed of 0, 400, 950 and 1,500 rpm; initial FF concentration of 2 M; H₂ pressure of 20 bar; reaction temperature of 170 °C.



- Effect of furfural concentration

Principally, reactant concentrations are one of the factors affecting the reaction rate and the rate law if the order of reaction is not zero (Dai et al., 2016; Sheng et al., 2017). The influence of FF concentration on catalytic performance over $CuAl_2O_4$ was studied in the batch reactor by varying the initial concentrations of 1, 2, 3 and 4 M at temperature of 170 °C and H₂ pressure of 20 bar (see in Fig. 3). The results demonstrated that the FF conversion increased rapidly with different slopes, at the beginning of the reaction. These referred to differences in initial reaction rates upon varied furfural concentrations. On the other hand, the result also indicated that the kinetic of the reaction was strongly impacted by the furfural concentration which would be taken into account as a kinetic parameter in the model. Moreover, the FF conversion could reach 100% within 300 min for all concentration variations. Meanwhile, the FA yield sharply increased as a function of time until it reached the maximum value of >98%. The increasing rates of the yield corresponded well with those of the conversion. However, prolonging reaction time decreased the FA yield, suggesting the over-hydrogenation of furfuryl alcohol to other products e.g., 2methyltetrahydrofuran, methylfuran and pentanol. The decrease in FA yield was more severe at lower initial FF concentration. The initial FF concentration of 2–3 M seems to be the optimal conditions exhibiting high FA yield and wide operation window in the present study.





Fig. 3. Time-dependence of a) FF conversion and b) FA yield during FF hydrogenation over $CuAl_2O_4$ at various initial FF concentrations. Reaction condition: stirring speed at 400 rpm; initial FF concentration of 1, 2, 3 and 4 M; H₂ pressure of 20 bar; reaction temperature of 170 °C.

- Effect of H₂ pressure

The effect of H_2 partial pressure was investigated since H_2 is the co-reactant in the furfural hydrogenation reaction. It is known that the amount of dissolved H_2 in solution mixture is directly proportional to the H_2 partial pressure, and the use of external H_2 supply usually requires high H_2 pressure due to the soluble limitation of H_2 in the liquid phase (Gabrysch et al., 2019; Vasiliadou



and Lemonidou, 2013). The catalytic performance over $CuAl_2O_4$ at various H₂ pressures of 10, 20 and 30 bar was shown in **Fig. 4**. It turned out that the increase of H₂ pressure from 10 to 20 bar clearly enhanced the initial rate of FF conversion to FA. However, no signicant change in the reaction rate was observed in the pressure range of 20-30 bar. The lower solubility of H₂ in the liquid phase at lower pressure would reduce the driving force in term of mass transport. In the present study, the high-pressure above 20 bar would drive the reaction outside the H₂ masstransfer limitation zone. Therefore, it could be deduced that a zero-order with respect to H₂ partial pressure could be applied in the reaction rate expression. The long reaction time at high pressure of 30 bar would also lead to over-hydrogenation, lowering the FA yield.



Fig. 4. Time-dependence of a) FF conversion and b) FA yield during FF hydrogenation over CuAl₂O₄ at various H₂ pressures. Reaction condition: stirring speed at 400 rpm; initial FF concentration of 2 M; H₂ pressure of 10, 20, and 40 bar; reaction temperature of 170 °C.



• Effect of reaction temperature

Reaction temperature is the important parameter for the kinetic analysis in order to investigate the apparent activation energy of the reaction. The influence of reaction temperature (150, 170 and 190 °C) on the catalytic performance over $CuAl_2O_4$ was studied under the H_2 pressure of 20 bar, initial FF concentration of 2 M and stirring speed of 400 rpm as the results shown in **Fig. 5**. It was observed that the reaction temperature remarkedly influenced the catalytic behaviors. The FF conversion could reach nearly 100% much faster at higher reaction temperatures. At 170 °C, the FA yield of 98-99% could remain stable in a reaction time period of 90–180 min, suggesting the promising operation window. Althought, at 190 °C, the maximum FA yield of 99% could be obtained within 45 min of the reaction. The FA yield suddenly decreased at longer time – the yield was 85% at 90 min and 45% at 300 min, suggesting that FA could be easily converted to other chemicals over the catalyst at high temperature (190 °C) such as 2-methyltetrahydrofuran and methylfuran which were observed in the GC chromatogram (**Fig. S3**) and consistent with our previous report (Thongratkaew et al., 2021). Moreover, these results also confirmed that the reaction temperature variation was the key parameter affecting the initial reaction rate, and the kinetic of FF hydrogenation.







Kinetic study

According to the catalytic performance tests in the previous section, the FF concentration and reaction temperature were the two significant factors affecting the initial reaction rate while the external mass-transfer limitations can be avoided by using appropriate H_2 pressure and stirring speed. The kinetic model was assumed as the rate raw of nth-order with respect to the furfural concentration as expressed in the following equation (3),

$$-\frac{\mathrm{dC}_{\mathrm{FF}}}{\mathrm{dt}} = r = \mathrm{kC}_{\mathrm{FF}}^{\mathrm{n}} \tag{3}$$

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where r is reaction rate (mol/(L·min), C_{FF} is FF concentration (M), t is reaction time (min), k is reaction rate constant and n is order of reaction rate. The relationship between C_{FF} and t from the results of initial FF concentration and reaction temperature variations were analyzed. The reaction rate (r) was calculated from the differential method by applying the equation (3) as shown in **Table 1** when the H₂ pressure and stirring speed were fixed at 20 bar and 400 rpm, respectively. The reaction rate increased with the increment of initial FF concentration at all reaction tempertures. In order to find the reaction order (n), the equation (3) is rearranged to the linear equation (4),

$$\ln(r) = n\ln(C_{FF}) + \ln(k)$$
(4)

By fitting the experimental relationship between $\ln(r)$ and $\ln(C_{FF})$, it was determined that the reaction order was 0.355 (R² of 0.997; see in **Fig. 6**). The results indicated that the kinetic model for FF hydrogenation to FA over CuAl₂O₄ was a non-elementary reaction. In addition, the reaction rate constant of 0.0195 at 170 °C was also determined from the intersect value in **Fig. 6**.

For the reaction temperature variation, the reaction rate was remarkably increased with the elevated temperature at the initial FF concentration of 2 M (see in **Table 1)** due to the high kinetic energy at high temperature. Meanwhile, the reaction rate constant tended to increase when the temperature was raised up. The reaction rate constant (k) is a function of reaction temperature in accordance with the Arrhenius equation as described in equation (5),

$$k = Ae^{\frac{-E_A}{RT}}$$
(5)

where E_A is apparent activation energy (J/mol), A is pre-exponential factor, R is gas constant (J/(mol·K)) and T is reaction temperature (K). In order to investigate the E_A , the Arrhenius equation is rearranged to linear equation (6).

$$\ln(k) = \frac{-E_A}{R} \left(\frac{1}{T}\right) + \ln(A)$$
 (6)

The experimental data were fitted in the relationship between $\ln(k)$ and $\left(\frac{1}{T}\right)$ having R² of 0.983 (see in **Fig. 7**). As the result, the E_A of 45.6 kJ/mol was obtained from the slope fitting while the pre-exponential factor of 2867.7 was calculated from the intersect value. Therefore, the kinetic model in term of reaction rate expression for FF hydrogenation to FA over CuAl₂O₄ was determined from all kinetic parameters as following,

$$-\frac{\mathrm{dC}_{\mathrm{FF}}}{\mathrm{dt}} = (2867.7\mathrm{e}^{\frac{-45647.6}{\mathrm{RT}}})\mathrm{C}_{\mathrm{FF}}^{0.355} \tag{7}$$



Table 1 Reaction	rate and rate	constant wi	th variation	of initial FF	concentration	and	reaction
temperature at H	1 ₂ pressure of 2	20 bar and st	irring speed	of 400 rpm.			

Initial FF concentration	Reaction temperature	Reaction rate (r)	1
(M)	(°C)	(mol/(L·min))	ĸ
1		0.0194	
2	170	0.0249	0 0195
3	170	0.0295	0.0199
4		0.0313	
	150	0.0152	0.0130
2	170	0.0249	0.0195
	190	0.0427	0.0379





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Fig. 7. Graph fitting of the experimental data applied with Arrhenius plots at initial FF concentration of 2 M.

The comparison of the obtained kinetic data (reaction order and activation energy) with known literature data derived from FA production via FF hydrogenation reaction was shown in **Table S1**. It was observed that reaction order with respect to FF and activation energy in this work was consistent with the literatures.

•	, ,			
Catalyst	Reaction condition	Reaction order with respect to FF	Activation energy (kJ/mol)	Ref.
CuAl ₂ O ₄	Initial FF concentration of 1-4 M; H ₂ pressure of 20 bar; Stirring speed of 400 rpm; reaction temperature of 170 °C; reaction time of 0-300 min	0.355	45.6	This work
Cu–Co/Al ₂ O ₃	Initial FF concentration of ca. 1.75-3.25 M in 2-propanol; N_2 pressure of 20-50 bar; reaction temperature of 190-220 °C; reaction time of 3-6 h	0-0.86	50.2	(Srivastava et al., 2018)

Table S1 Comparison of the obtained kinetic data with known literature data derived from furfuryl alcohol production via hydrogenation reaction.

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5% Pt/C	Initial FF concentration of 0-0.13 M; H_2 pressure of 20.6 bar; reaction temperature of 150 °C	0-0.86	28	(Vaidya and Mahajani <i>,</i> 2003)
Co-Cu/SBA- 15	FF/2-propanol mol ratio of 1:7; H ₂ pressure of 30 bar; Stirring speed of 1000 rpm; reaction temperature of 130-190 °C; reaction time of 180 min	1	38.5	(Srivastava et al., 2015)
Zr ₁ B ₃ FeO	Initial FF concentration of ca. 0.08 M in 2-propanol; N ₂ pressure of 10 bar; Stirring speed of 600 rpm; reaction temperature of 110-170 °C; reaction time of 20-120 min	1	48.3	(Li et al., 2021)
Copper chromite	FF pressure of 0.01 bar; H ₂ pressure of 0.97 bar; reaction temperature of 150-200 °C	0.9	46.0 ± 8.4	Rao et al., 1997

The developed kinetic model leads to the advantages in the clarification and prediction the catalytic performance of the reaction over $CuAl_2O_4$ spinel catalyst in a batch reactor. Accordingly, the accuracy of the kinetic model was corroborated with the experimental data by curve fitting method (see in **Fig. 8**). It was clear that the predicted data agreed well with the experimental ones. For the initial FF concentration variation (**Fig. 8a**), it was observed that the accuracy of the kinetic model was more precise at higher initial FF concentration as suggested by the increment of R². Meanwhile, the accuracy of the kinetic model for the reaction temperature variation was slightly lower at the elevated temperatures (**Fig. 8b**). The validation strongly confirmed that the developed kinetic model was acceptable, and should be a useful tool for advancing the reactor design and scale-up.





Fig. 8. Plots of validation curves between the predicted data from the kinetic model and the experimental data as a function of time with diferent initial FF concentrations and reaction tempertures. a) at initial furfural concentrations of 1, 2, 3 and 4 M and b) at reaction temperatures of 150, 170 and 190 °C.

Conclusions

The catalytic performance of furfural hydrogenation to furfuryl alcohol was studied over various aluminate spinel catalysts. The results showed that $CuAl_2O_4$ spinel outperformed the other BAl_2O_4 spinels (B = $Cu_{0.5}Ni_{0.5}$, Ni, Zn, Mg). The influence of reaction parameters including stirring speed, initial furfural concentration, H_2 pressure, and reaction temperature was investigated over $CuAl_2O_4$ spinel and the kinetic study was developed based on the experimental data. The results revealed that the external mass transfer limitations could be neglected when



the batch reactor was operated at the appropriate stirring speed of 400 rpm and H₂ pressure of 20 bar. The catalytic performance was found to be highly sensitive to the furfural concentration and reaction temperature which are the important parameters for kinetic modelling. At the optimal condition, the CuAl₂O₄ showed excellent performance with the furfural conversion of 100% and the furfuryl alcohol yield of >98%. From the kinetic study, the 0.355-order with respect to furfural concentration was determined in the rate expression with the apparent activation energy of 45.6 kJ/mol. The predicted data were in good agreement with the experimental observation. The develop kinetic model provided a good prediction of the experimental results and also could be useful for development of the reactor, process design and scale-up in the industrial application.

Acknowledgements

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6. Outputs

4.1 Publications

 Tanasan Intana; Sutarat Thongratkaew; Jeeranan Nonkumwong; Waleeporn Donphai; Thongthai Witoon; Metta Chareonpanich; Kajornsak Faungnawakij; Noriaki Sano; Sirapassorn Kiatphuengporn, Kinetics Study of the Selective Hydrogenation of Furfural to Furfuryl Alcohol over CuAl2O4 Spinel Catalysts, revision submitted 2023



- Soon-Chien Lu, Thakorn Wichidit, Thanitporn Narkkun, Kuo-Lun Tung, Kajornsak Faungnawakij, Chalida Klaysom, Aminosilane-Functionalized Zeolite Y in Pebax Mixed Matrix Hollow Fiber Membranes for CO2/CH4 Separation, Polymers, 15 (2022) 102
- Seth Sawatdiruk, Pongtorn Charoensuppanimit, Kajornsak Faungnawakij, Chalida Klaysom, POSS/PDMS composite pervaporation membranes for furfural recovery, Separation and Purification Technology 278 (2021) 119281

Proceeding

- Satienpong Pannawatwisut, Rungnapa Kaewmeesri, Toshiyuki Yokoi, Pakorn Opaprakasit, and Kajornsak Faungnawakij,Synthesis and Characterization of 2-Dimensional Zeolite Catalyst Using C22-6-6Br2 Template, Proceeding in Science and Technology of Emerging Materials (STEMa2022), Pattaya, THAILAND, 4-6 August 2022
- Nataphon Meeboonanake, Rungnapa Kaewmeesri, Toshiyuki Yokoi, Pakorn Opaprakasit, and Kajornsak Faungnawakij, Cobalt-based Catalysts Supported on Urea-Modified Sheet-Like ZSM-5 for Hydrodeoxygenation of FAMEs, Proceeding in Science and Technology of Emerging Materials (STEMa2022), Pattaya, THAILAND, 4-6 August 2022
- Pongkarn Chakthranont, Translating CO2 Reduction Testing from H-cell to Gas Diffusion Electrode". International Conference on Materials for Humanity 2022 (MH 22), Singapore, September 2022 (Invited talk)

Award

- Dr. Kajornsak Faungnawakij was listed in The World's Top 2% Scientists List 2022 (in Physical Chemistry) by Stanford University and Elsevier
- Dr. Anchalee Jankaew, L'OREAL For Women in Science 2022

4.2 Exchange and Visitation

- JASTIP seminar was held online on March 24, 2021 with 24 participants from both Kyoto and Thailand.



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Catalytic pyrolysis process and hydrothermal liquefaction for bio-fuel production

Abstract

The ASEAN countries generate millions of cubic meters of agricultural waste products annually (e.g. palm kernel shells, rice husks, corn stover, coffee husks, sugarcane bagasse, etc.) that can potentially be tapped for bioenergy production. This large amount of residual biomass is widely available and can be converted to heat and power for agro-industrial processes. Studies have shown that lignocellulosic biomass can be converted to bioenergy using thermochemical, and biochemical processes such as fermentation, pyrolysis and gasification, among others. Development of alternative technologies for the productions of renewable energy from biomass found in ASEAN geographical locations could help stimulate widespread use of biomass as fuel source for industrial and residential heating needs in the region. Consequently, this could lead to the introduction of biomass fed furnaces, boilers and stoves for industrial and residential heating applications. In addition, the use of catalysts such as minerals can also enhance biomass conversion rate. This annual report highlights our recent works on the (1) techno-economics/environmental/societal/governmental (TESG) evaluation of thermochemical conversion of oil palm residues to alternative energies for ASEAN region, (2) aviation fuel production from palm fatty acid distillate by a single-step hydrotreating process and (3) hydrothermal conversion of empty fruit bunch lignin to fuels and chemicals.

Researchers

1. Prof Armando T. Quitain	Kumamoto University, Japan
2. Prof Suttichai Assabumrungrat	Chulalongkorn University, Thailand
3. Prof Ir. Suzana Yusup	Tenaga Nasional Berhad (TNB) Research, Malaysia
4. Dr Bridgid Chin Lai Fui	Curtin University Malaysia, Malaysia
5. Dr Yiin Chung Loong	Universiti Malaysia Sarawak, Malaysia
6. Dr Elisabeth Rianawati	Resilience Development Initiatives, Indonesia
7. Dr Worapon Kiatkittipong	Silpakorn University, Thailand
8. Mr Hj. Ismail Shariff	Tenaga Nasional Berhad (TNB) Research, Malaysia
9. Dr Atthapon Srifa	Mahidol University, Malaysia
10. Dr Apiluck Eiad-ua Thailand	King Mongkut's Institute of Technology Lakrabang,
11. Dr Jonas Karl Agutaya	Kumamoto University, Japan



1. Techno-economics/Environmental/Societal/Governmental (TESG) evaluation of thermochemical conversion of oil palm residues to alternative energies for ASEAN region

<u>Abstract</u>

This research project aims to compare different oil palm residues-to-fuel conversion technologies in terms of technical and economic efficiency, and environmental burdens to provide indications on the sustainability of such biorefineries. The materials and energy flows involved in these two processes for energy production will be analysed. Furthermore, the current status, challenges, and future policies related to oil palm residues to-fuel conversion technologies covering the techno-economics, environmental, and governmental (ESG) evaluations. Successful implementation of the project could help stimulate interest in the palm oil wastes for bio-oil, biofuel, heat and power production in ASEAN countries. Case study on the potential of utilising palm wastes as solid fuel for power generation is explored.

Research Summary

 Renewable energy sources particularly in biomass energy had drew attention from public to researchers and scientists recently in seeking alternative solution to resolve the arising issues associated to climate change, unequal distribution of fossil fuels and fluctuation of fossil fuels prices faced globally. This study investigates the thermodynamic analysis and techno-economic feasibility of pyrolysis and gasification process for palm oil wastes in Malaysia. Empty fruit bunches (EFB), palm kernel shell (PKS), and palm mesocarp fibre (PMF) have been selected as the biomass feedstock in this current study.

The model was developed by using Aspen Plus V10. The production of the biofuels includes the bio-char, bio-oil, and syngas. The operating conditions of the pyrolysis process involved and assessed in this study are as follows: Temperature (300-1,000°C), Pressure (1-10 bar) and carrier gas nitrogen (N₂)/biomass ratio (0.3-1.0). Furthermore, the operating conditions of the gasification process involved and assessed in this study are as follows: Temperature (500-1,500°C), air to biomass ratio and steam to biomass ratio (0.5-1.5). To assess the economic viability, there were four economic indicators used in the analysis which are project capital cost, operating cost, raw material cost and product sales. Fluctuation of biomass price was considered in the sensitivity studies of economic analysis. Payback period was estimated and compared for the thermochemical conversion of empty fruit bunches (EFB), palm kernel shell (PKS) and palm mesocarp fibres (PMF), via using cumulative cash flow diagram.

According to the results generated from the simulation, temperature has the most obvious impact to the system. The char yield could be optimized at lower temperature, within 300° C- 400° C at yield of 55 wt%. Meanwhile, for the liquid and gaseous product yield peaked at 300° C and 800° C at yield of 15 wt% and 60 wt%, respectively. It is concluded that higher operating temperature favours in gas product. On the other hand, the parametric study for gasification process are temperature from 500° C to 1500° C, and air/steam to biomass ratio from 0.5 to 1.5. The main products of gasification are char and syngas. It is concluded that temperature is favourable for the enhancement of gaseous product such as H₂ and CO. Techno-economic analysis



revealed that the cost involved in the production of char by pyrolysis is generally lower than gasification process, cost per product for pyrolysis of EFB (\$163/ton) is \$33/ton lower than cost per product for gasification of EFB (\$196/ton). However, the profitability of gasification is higher than pyrolysis due to high revenue per product of char. Apart from that, EFB is high profitable than PMF and PKS, despite in either gasification or pyrolysis process. This is because of cheaper feedstock price of EFB compared to PKS and PMF. These three biomass have very similar properties in term of proximate and ultimate analysis. Therefore, the product yield is insignificant affected by the type of palm oil biomass. On the other hand, the sensitivity analysis for economic study has concluded the profitability of pyrolysis and gasification process plant are sensitive to products price, and feedstock price, while the utilities and others input price impact the net present value (NPV) moderately. Furthermore, steam gasification has higher total project capital, operating cost than air gasification, thought it has high products sales to reduce high cost expenses.

2. The world's constant need for energy has significantly expanded as a result of population growth and economic expansion. Hence, many initiatives had been undertaken by Malaysia to seek renewable sources to replace the conventional fossil fuels. Biomass is said to be a potential source for renewable energy and production of value-added products. Additionally, biomass has gotten hold of global recognition in recent years owing to its natural abundance and low car-bon emission. In light of its availability and potential for renewable energy solutions, oil palm waste is a dependable resource. It is well known that palm oil rep-resents the cornerstone of Malaysia's economic growth, accounting for more than 5% of its Gross Domestic Product (GDP). The accumulation of oil palm wastes in the existing situation has caused many threats challenge that has an effect on the ecosystem. To achieve the optimal method for utilising oil palm wastes, technological, economic, and environmental concerns should be matched. As a result, optimising energy recovery from oil palm wastes is beneficial for both social and economic reasons. Alternative conversion strategies to achieve the maximum recovery comprise direct combustion, gasification, pyrolysis, liquefaction, fermentation, and anaerobic digestion. This could aid in promoting biomass consumption as a power source, in addition to protect the potential development of the biomass energy market within the country through practical utilization, simultaneously alleviating the poor waste management and indirectly generating employment. Hence, this work also aims to discuss on the possibilities of palm oil residues towards sustainable development in terms of social, technological, and economic aspects.

It was found that bioenergy policy does not have great influence on the three main sustainability pillars namely, the economic, social and environment factors. It was found that economic factor is the predominant associated to the bioenergy policy. Nevertheless, both social and environmental factors also play a crucial role for the development of bioenergy structure of the country. In order to address these issues, effective regulations should be implemented and awareness from stakeholders, governments, industry players, and public could aid by synergising the bioenergy implementation which enables to create better under-standing and foster the



relationship between the institutional governances in achieving the sustainable development for the bioenergy implementation.

3. As one of the major sources of greenhouse gases (GHG) emissions, the energy sector faces an urgent shift to cleaner energy sources. Currently, palm oil-based bioenergy has grown as a growing source of renewable energy, especially in the ASEAN region, where some of its members are the world's top palm oil producer nations. With recent technologies, the thermochemical conversion of oil palm residues has made it possible to develop alternatives for bioenergy sources. This research also aims to discover how thermochemical conversion can solve environmental, societal, and governance challenges in oil palm-based bioenergy.

Whilst the oil palm industry gained attention for its high GHG emissions, utilizing its residues can solve recurring problems. Processed palm oil biomass using thermochemical conversion can serve as a substitute to produce lower emissions in combined heat and power (CHP) plants, as well as provide lower energy density, thus minimizing logistics costs. To perform this, investment incentives and strengthening supporting regulations become a strong foundation to boost the implementation of thermochemical conversion to further advance biomass as a sustainable energy source and decarbonization effort from the energy and industry sectors.

The common challenges in oil palm-based biomass are related to greenhouse gases (GHGs) emissions from underutilized oil palm waste and land clearing throughout the plantation process, distribution cost of biomass products and inadequate processing method of oil palm waste among its practitioners. To perform successful thermochemical method adaptation in further the oil palm biomass industry, strengthening supporting regulations should become the cornerstone to enact the first movement and mainstreaming thermochemical conversion in oil palm biomass practices. For instance, the government will need to integrate conversion method options into the related action plan to achieve biomass development or even emission reduction targets. Additionally, investment incentives will also become essential to boost the implementation as research and technology development usually needs more cost to prove the feasibility, as well as to reach economies of scale during the technology shift.



Figure 1: The renewable energy sources derived from biomass in Malaysia starting from year 2012-2018



4. The impact of co-firing of three types of oil palm wastes from Sarawak's oil palm plantation was explored as shown in Table 1, to test on their combustion performances and influence on co-firing combustion behaviour that influenced the utility boiler performance. The effect of solid fuel oil palm wastes on the slagging and fouling propensity, combustion temperature, plant efficiency, mill performance, percentage of unburnt carbon (UBC), and emission levels were determined through Computational Fluid Dynamics approach, for the in-furnace blending of the oil palm wastes and coal.

Table 1: Characterisation of Oil Palm Wastes (OPW)

EFB: Empty fruit bunches, PKS: Palm kernel shell, and PMF: Palm mesocarp fibres

Fuel	Proximate analysis, wt. %, db. (VM-Volatile matter, FC-Fixed carbon, AC-Ash content)			Ultimate analysis, wt. %, db. (C-Carbon, H-Hydrogen, N-Nitrogen, O-Oxygen, S-Sulphur)				GCV- Gross Calorific Value,	
	VM	FC	AC	с	н	N	0	s	db. (kcal/kg)
Coal (bit)	25.39	59.49	15.08	73.68	4.53	1.65	4.57	0.50	6678
EFB	78.46	17.28	4.26	44.07	5.52	0.41	45.73	0.46	5067
PKS	80.86	16.77	2.37	49.13	5.42	0.48	42.45	0.41	4852
PMF	79.75	14.85	5.40	45.51	5.03	0.54	43.61	0.42	4876
PMF	79.75	14.85	Low risk deposition	45.51	5.03 ng & fou boiler).	0.54 ling (ash	43.61	0.42	4876
V: Gross Calo Dry-basis	rific Value		Lower the coal-fired	an the fuel i power plant.	ejection lin	nit of the			

In the study, it was calculated that for 20 cal% OPWs: 80 cal % coal, as indicated in Table 1, it would need approximately, 41tonne/hr of OPWs. Thus, in a day 24*4 t/hr= 984 tonne of OPWs would be needed for the stated ratio. Further, the OPWs ash contents (AC) were lower than the fuel rejection limit of the selected coal in this case the bituminous coal. These characteristics indicated that low risk of slagging and fouling by the ash deposition in the boiler. Figure 1 indicated the boiler nose temperatures for the baseline coal against each of the oil palm wastes. The nose area temperature must not exceed the initial deformation temperature of the fuel blends. Thus, the findings indicated that, co-firings of the understudied, types of OPWs are more suitable with sub-bituminous coal. In addition, higher volatiles matter compositions promote more combustion zones.



The UBC levels were reduced when co-firing with OPWs because the OPWs-coal blends contain less Fixed Carbon than the pure coal blend. OPWs have lower FC than coal, resulting in lower CO and CO2 emissions when coal is co-fired with OPWs. Although OPWs contain less weight % db of N than the baseline coal, OPW co-firing cases were observed to produce more NOx than the baseline coal due to higher flame



temperature generated, which resulted in an increase in thermal NOx. In conclusion, OPWs showed the potential to be co-fired with coal based on the computational fluid dynamic studies but further comprehensive analysis would be needed in finding the optimum blend and suitable partner coal to achieve desired power plant performance with efficient combustion in the utility boiler.

2. Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process

Sustainable aviation fuel (SAF) is expected to play the largest role in the commitment of airlines to achieve net zero carbon by 2050 as called "Fly net zero". In this study, industrial palm fatty acid distillate (PFAD), an inedible byproduct from the refining palm oil process, was used as a low-cost feedstock for SAF production.



Figure 2. Pictorial representation providing concept and advantages of a single-step hydrotreating process using non-sulfide and non-noble metal catalyst.

Hydroprocessed Esters and Fatty Acids - Synthetic Paraffinic Kerosene (HEFA-SPK) which also referred to hydrotreated renewable jet (HRJ) is powered the highest contribution of all SAF flights to date. Part of HEFA-SPK is based on the HEFA technology which is deoxygenation and isomerization. However, since the fuel specifications for aviation fuels are very stringent in comparing with diesel due to critical safety concerns and especially on cold flow properties, the liquid product is then cracked and isomerized (Figure 1) under the condition that



maximizes jet fuel production. The aim of this study is to combine these two steps into a single step using non-sulfide and non-noble metal catalyst. The catalyst screening was performed in a 100-cm3 batch reactor (Figure 2a). The best catalyst could offer the highest conversion of 96% with the highest bio-jet yield of 51%. The catalyst stability was further studied in continuous flow reactor (Figure 2b) and the results showed that no catalyst deactivation could be observed for 48 h time-on-stream.



Figure 3. Experimental apparatus for HEFA-SPK production a) 100 cm3 batch reactor b) continuous trickle bed reactor.

3. Hydrothermal conversion of empty fruit bunch lignin to fuels and chemicals

One of the greatest challenges in biorefinery is to modify lignin structure with the purpose to reduce biomass' recalcitrance and enable lignin valorization such as conversion to higher value compounds. The search for a viable, sustainable and cost-efficient pretreatment method of lignocellulosic biomass is still considered a big challenge. Biomass delignification using LTTMs is still an underdefined process without considering the potential of bio-based fuels that can be produced from the green solvents-extracted lignin through hydrothermal liquefaction technology.

Figure 4 shows the steps for the conversion of lignin obtained from palm empty fruit bunch to useful chemicals and fuels. The obtained bio-oil was characterized using GC-MS analyses showing production of the target phenolic compounds in large amount at an optimum temperature of 250 °C and P = 20 MPa. The highest bio-oil yield of 77.42% was achieved at 20MPa, 275 degree and 5mL water per gram of lignin. From the GC-MS analysis of all the runs, phenolic compounds were confirmed to be present in the HTL product.





Figure 4. Steps for hydrothermal conversion of EFB lignin to useful chemicals and fuels

Publications and Presentation

- Yiin, C.L., Odita, E.B., Lock, S.S.M., Cheah, K.W., Chan, Y.H., Wong, M.K., Chin, B.L.F., Quitain, A.T., Loh, S.K., S. Yusup, 2022. A review on potential of green solvents in hydrothermal liquefaction (HTL) of lignin, Bioresource Technology 364, 128075, doi: 0.1016/j.biortech.2022.128075. doi: 0.1016/j.biortech.2022.128075.
- Suherrto, N.A.N., Chin, B.L.F., Salsabilla, A.H., Izzatunnisaa, Widiastomo, A., Rianawati, E., Yusup, S., Quitain, A.T., Agutaya, J. K., Shariff, H.I., Yiin, C.Y., Assabumrungrat S., Kiatkittipong, W., Srifa, A., Eiad-ua, A., 2023. Utilisation of Oil Palm Residues for Malaysia's Sustainable Bioenergy Transition, World Integrated Chemical and Material Engineering Technology Conference 2023 (WiCMETC 2023), Thailand Bangkok.
- Salsabilla, A.H., Izzatunnisaa, Widiastomo, A., Rianawati, E., Chin, B.L.F., Yusup, S., Quitain, A.T. Agutaya, J.K., Shariff, H.I., Yiin, C.L., Assabumrungrat, S.Kiatkittipong, W., Srifa, A., Eiad-ua, A., 2023. Thermochemical conversion for enhancing oil palm biomass utilization as an energy source in ASEAN: Facing the challenges from policy perspective, Utilisation of Oil Palm Residues for Malaysia's Sustainable Bioenergy Transition, World Integrated Chemical and Material Engineering Technology Conference 2023 (WiCMETC 2023). Thailand Bangkok.
- 4. Chee, A.L.K., Chin, B.L.F., Goh, S.M.X., Chai, Y.H., Loy, A.C.M., Cheah, K.W., Yiin, C.L., Lock, S.S.M., 2023. Thermo-catalytic co-pyrolysis of palm kernel shell and plastic waste mixtures using bifunctional HZSM-5/limestone catalyst: Kinetic and



thermodynamic insights, Journal of the Energy Institute, 101194, doi: 10.1016/j.joei.2023.101194 (In Press, Journal Pre-proof).

 Kumar, A.N., Chin, B.L.F., Chee, A.L.K., 2022. Kinetic analysis for catalytic co-pyrolysis of palm kenel shell and plastic waste mixtures with bifunctional HZSM-5 and mussel shell catalyst, IOP Conf. Series: Materials Science and Engineering 257 (2022) 012016, doi:10.1088/1757-899X/1257/1/012016.

Group Workshops, Meetings, and Research Exchange

1. Special Symposium on "Materials for Environment Applications" in the Third International Conference on Science and Technology of Emerging Materials (STEMa2022), Thailand on the 5th August 2022 (Hybrid session).







PROGRAM

Chairman: Prof. Dr. Keiichi N. Ishihara, Prof. Dr. Santi Maensiri and Assoc. Prof. Dr. Wisanu Pecharapa

Time	Activity
13.30-13.45	Registration for onsite and online participants
13.45-14.00	Welcome and Workshop Opening/ Group photo
14.00-14.20	Speaker 1 Prof. Dr. Keiichi N. Ishihara Graduate School of Energy Science, Kyoto University (Japan) "The Mechanism of Z-scheme in Photocatalytic Degradation of Dyes"
14.20-14.40	Speaker 2 Dr. Anis Natasha Shafawi (Online) School of Chemical Engineering, Universiti Sains Malaysia "Bi ₂ O ₃ Particles Decorated on Porous g-C ₃ N₄ Sheets: Enhanced Photocatalytic Activity through a Direct Z-scheme Mechanism for Degradation of Reactive Black 5 under UV-Vis Light"
14.40-15.00	Speaker 3 Dr. Nonni Soraya Sambudi (Online) Chemical Engineering, Universiti Teknologi PETRONAS (Malaysia) "Photoreduction of CO ₂ by Using f-CQDs/TiO ₂ : Preliminary Study"
15.00-15.20	Speaker 4 Assoc. Prof. Dr. Bridgid Chin Lai Fui (Online) Chemical and Energy Engineering, Curtin University (Malaysia) "Catalytic Pyrolysis of Oil Palm Wastes for Bio-oil Production"
15.20-15.40	Coffee break
15.40-16.00	Speaker 5 Assoc. Prof. Dr. Sorapong Pavasupree Faculty of Engineering, Rajamangala University of Technology Thanyaburi (RMUTT "Applications of Nano-materials from Thai Minerals"
16.00-16.20	Speaker 6 Asst. Prof. Dr. Wanichaya Mekprasart College of Materials Innovation and Technology, King Mongkut's Institute of Technology Ladkrabang (KMITL) "Photocatalytic performance of BiVO ₄ Photocatalyst Incorporated with Different Metal Dopants"
16.20-16.40	Speaker 7 Assoc. Prof. Dr. Pakorn Opaprakasit Sirindhorn International Institute of Technology, Thammasat University "Towards A Circular Economy: Chemical Upcycling of Postconsumer Polymeric Products for Functional Materials"
16.40-17.00	Speaker 8 Dr. Siwarutt Boonyarattanakalin SMS Corporation, SMS Group Thailand "TAPIOPLAST®: The Functional Thermoplastic Starch Resin for Bioplastic Applications"





Figure 5: Group photo session on the STEMa2022 event. Dr Bridgid was invited to deliver a talk on 'Catalytic pyrolysis of palm oil wastes for bio-oil production' related to JASTIP-net 2022 project.



2. Group Discussion on the JASTIP-Net 2022 project on 17th August 2022 (Online session)

Figure 6: Screenshot on the group photo session after the group discussion on the JASTIP-Net 2022.



4. Courtesy Visit to Bio-Circular-Green Economy Technology & Engineering Center (BCGeTEC), Chulalongkorn University Thailand on 8th January 2023.



Figure 7: Group photo during the courtesy visit to Bio-Circular Green Economy Technology & Engineering Center (BCGeTEC) hosted by Prof Suttichai Assabumrungrat, Director of BCGeTEC.



Figure 8: Prof Suttichai Assabumrungrat hosted the visit introduces the initiatives of the BCGeTEC and the JASTIP-net 2022 team discussed on the research way forward.



3. The 8th JASTIP-WP2 Annual Workshop on 9th January 2023 at CO113, TSP, NSTDA.



The 8th JASTIP-WP2 Annual Workshop

on 9 January 2023 at CO113, TSP, NSTDA

13:00 - 13:10	Opening			
	Welcome address, Prof. Suthum Patumsawad			
	Group Photo			
13:10 - 14:55	Report Session 1			
13:10 - 13:30	-WP2 Activity-, Prof Hideaki Ohgaki			
13:30 - 14:00	"Photocatalytic Conversion of Biomass to Value-Added Fuels and Chemicals",			
	NSTDA : BIOTEC - JGSEE/KMUTT - KU : Dr. Verawat Champreda, Prof			
	Takashi Sagawa			
14:00-14:30	"Development of Carbon Materials from Biomass for Energy Storage Applications",			
	NSTDA : ENTEC - KU : Dr. Sumittra Charojrochkul, Prof. Takeshi Abe (Prof.			
	Yuto Miyahara)			
14:30-15:00	-Innovations in Biomass Application for Catalytic Material Synthesis and			
	Energy Devices*, NSTDA :NANOTEC - CU - KU(T) - MU - VISTEC - NU - KU(J)			
	Dr. Kajornsak Faungnawakij, Prof. Noriaki Sano			
15:00 - 15:15	Break			
15:00 - 15:15 15:15 - 17:15	Break Reporting Session 2			
15:00 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 "Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass"			
15:00 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step			
15:10 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using			
15:00 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler			
15:10 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler			
15:10 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler Kumamoto-Chulalongkorn-NANOTEC-UTP-Curtin,			
15:10 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler Kumamoto-Chulalongkorn-NANOTEC-UTP-Curtin, Prof. Suttichai Assabumrungrat, Dr. Bridgid Chin, Dr. Suzana Yusup, Prof			
15:10 - 15:15 15:15 - 17:15 15:15 - 15:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler Kumamoto-Chulalongkorn-NANOTEC-UTP-Curtin, Prof. Suttichai Assabumrungrat, Dr. Bridgid Chin, Dr. Suzana Yusup, Prof Armando T. Quitain			
15:00 - 15:15 15:15 - 17:15 15:15 - 15:45 15:45 - 16:15	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler Kumamoto-Chulalongkorn-NANOTEC-UTP-Curtin, Prof. Suttichai Assabumrungrat, Dr. Bridgid Chin, Dr. Suzana Yusup, Prof. Armando T. Quitain Power Generation from Biomass Wastes, JGSEE-KMUTT-KU, Prof. Nakorn			
15:00 - 15:15 15:15 - 17:15 15:15 - 15:45 15:45 - 16:15	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass/ -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process/- Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler Kumamoto-Chulalongkorn-NANOTEC-UTP-Curtin, Prof. Suttichai Assabumrungrat, Dr. Bridgid Chin, Dr. Suzana Yusup, Prof. Armando T. Quitain -Power Generation from Biomass Wastes-, JGSEE-KMUTT-KU, Prof. Nakorn Worasuwannarak, Prof. Ryuichi Ashida			
15:00 - 15:15 15:15 - 17:15 15:15 - 15:45 15:45 - 16:15 16:15 - 16:45	Break Reporting Session 2 -Sustainable Production of High-Quality Bio-Oil from ASEAN Biomass -Aviation Fuel Production from Palm Fatty Acid Distillate by a Single-step Hydrotreating Process Themochemical Conversion of Palm Wastes using Aspen and CFD of Cofiring Palm Wastes in Utility Boiler Kumamoto-Chulalongkorn-NANOTEC-UTP-Curtin, Prof. Suttichai Assabumrungrat, Dr. Bridgid Chin, Dr. Suzana Yusup, Prof. Armando T. Quitain Power Generation from Biomass Wastes, JGSEE-KMUTT-KU, Prof. Nakorn Worasuwannarak, Prof. Ryuichi Ashida Development of New Functional Materials for Energy and Environment-,			

Last updated - 5 January 2023





16:45 - 17:15	"RE Implementation: Study on Rural Electrification in ASEAN", Prof. Hideaki
	Ohgaki
17:15 - 17:45	Discussion
17:15 - 17:40	Activity Plan in 2023 and beyond, Prof. Hideaki Ohgaki
17:40-17:45	Summary and Closing Remarks
17:40-17:45	Prof. Keiichi Ishihara

Participant List

NSTDA:

- 1. Dr. Kajornsak Faungnawakij (NANOTEC)
- 2. Dr. Pongkarn Chakthranont (NANOTEC)
- 3. Dr. Kasempong Srisawad (NANOTEC)
- 4. Mr. Chayapat Thammaniphit (NANOTEC/Chulalongkorn University)
- 5. Ms. Kornkamon Meesombad (NANOTEC)
- 6. Ms. Sarinya Woraphutthaporn (NANOTEC)
- 7. Mr. Piphob Chaichettha (NANOTEC)
- 8. Ms. Ampawan Prasert (NANOTEC)
- 9. Mr. Sukit Boonlha (NANOTEC)

Chulalongkorn University/Silpakorn University/King Mongkut a University of Technology-Ladkrabang/Mahidol University:

- 1. Professor Suttichai Assabumrungrat from Chulalongkorn University
- 2. Associate Professor Worapon Kiatkittipong from Silpakorn University
- Associate Professor Apiluck Eiad-ua from King Mongkut's Institute of Technology Ladkrabang
- 4. Assistant Professor Atthapon Srifa from Mahidol University (to be confirmed)

BIOTEC-JGSEE KMUTT:

- 1. Dr.Verawat Champreda
- 2. Assoc.Prof.Dr.Surawut Chuangchote
- 3. Dr.Kamonchanok Roongruang
- 4. Dr.Nopparat Suriyachai

Last updated - 5 January 2023





ENTEC:

- 1. Dr.Vituruch Goodwin
- 2. Dr.Priew Eiamiamai (Online)
- 3. Dr.Ukrit Sahapatsombut (Online)
- 4. Mr.Thanathon Sesuk

KMITL:

- 1. Prof. Dr. Wisanu Pecharapa
- 2. Assoc. Prof. Dr. Sorapong Pavasupree
- 3. Asst. Prof. Dr. Wanichaya Mekprasart
- 4. Asst. Prof. Dr. Kanokthip Boonyarattanakalin
- 5. Dr. Thanaphon Kansaard
- 6. <u>Maneerat Songpanit</u>

Kyoto University:

- 1. Takeshi Abe (Yuto Miyahara) online
- 2 Takashi Sagawa online
- 3. Noriaki Sano
- 4 Ryuichi Ashida online
- 5. Keiichi Ishihara
- 6. Hideaki Ohgaki

Kumamoto University

- 1. Armando T.Quitain
- 2. Dr. Jonas Karl Agutaya (online)

Curtin University (Malaysia)

1. Dr. Bridgid Chin Lai Fui

Tenaga Nasional Berhad Research Sdn Bhd (TNBR) (Malayaia)

- 1. Hj Ismail Shariff
- 2. Ir Dr Suzana Yusup
- 3. Dr Muhammad Nurizat Rahman

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Figure 9: Group photo of the team members from the JASTIP-Net 2022 research project, 'Technoeconomics/Environmental/Societal/Governmental (TESG) evaluation of thermochemical conversion of oil palm residues to alternative energies for ASEAN region'.



Figure 10: Group photo after the 8th JASTIP-WP2 Annual Workshop in NSTDA, Thailand.



Development of New Functional Materials for Energy and Environment

Researchers

- 1. Prof. Keiichi N Ishihara, Kyoto University
- 2. Prof. Wisanu Pecharapa, King Mongkut's Institute of Technology Ladkrabang
- 3. Prof. Sorapong Pavasupree, Rajamangala University of Technology Thanyaburi

Abstract

Our group have studied the composite of Leucoxene /BiVO₄ composite material prepared by sonochemical-assisted process by using low-cost leucoxene derived material (LDM) as titanium dioxide source and BiVO4 with different composition ratios. Crystallinity of composite specimens shows the combining structure between monoclinic BiVO₄ and TiO₂ rutile phase with corresponding optical band gap of 2.4 eV and 2.85 eV, respectively. The 2:8-LDM:BV composite performs superior photocatalytic activity with complete decomposition of RhB dye under 20 min of light exposure due to heterojunction structure assisting the recombination retardment of photogenerated electron-hole pair. Superoxide radical (\bullet O₂-) is revealed to be major active specie induced by this composite. The composite catalyst exhibits excellent repeatability and reusability with 80% decomposition of organic compound after 10 times of usage.

Research Summary

In summary, LDM/BiVO₄ heterophotocatalyst material was prepared by single step sonochemical process with different composition ratios. The result reveals that the mixed crystalline structure of monoclinic BiVO₄ and rutile TiO₂. All specimens exhibited the visible light active with corresponding optical band gap around 2.5-2.8 eV, which result in the superior photocatalytic performance of 2:8-LDM:BV sample with decomposition rate constant $k = 0.2 \text{ min}^{-1}$. The enhancement of photocatalytic activity is promoted by the increase of electron-hole separation assisted by LDM acting as neighbor material to BiVO₄ host. The superoxide radical has a key role in the RhB photodecomposition process for LDM:BV heterophotocatalyst material. The work could contribute a novel process to prepare the new heterophotocatalyst material from BiVO₄ with low-cost Leucoxene mineral as an alternative for titanium-rich material.

Publications and Presentations

- T. Kansaard, K. N. Ishihara, W. Pecharapa, Structural, optical, and photo-induced catalytic properties of derived-Leucoxene /BiVO₄ composite prepared by sonochemical process. Optik, 267 (2022) 169665

- T. Kansaard, K. N. Ishihara, W. Pecharapa, Characterization and Visible Light-Driven Photocatalytic Activity of BiVO₄/BiOCl/Bi₂S₃ Nanocomposites Prepared by Sonochemical Process, Physica Status Solidi A, <u>https://doi.org/10.1002/pssa.202200447</u> (article in press).

- K. Boonyarattanakalin, T. Noinonmueng, T. Kansaard, T. Wechprasit, W. Mekprasart, K. Phacheerak, W. Pecharapa, A. Jaruvanawat, *Structural, optical, and visible-light driven photocatalytic properties of yb-doped bivo4 nanoparticles prepared via rapid sonochemical process*, Suranaree Journal of Science and Technology, 30 (2023), 030095(1-6.


Group Workshops, meetings, and researcher exchange

- Special Workshop on Photocatalyst and Functional Optical materials (hybrid online-onsite meeting) 11 March 2022 at the 55th years Chalermprakiat Building, KMITL, Bangkok, Thailand







- Special Symposium on Materials for Environment Applications (hybrid online-onsite meeting) 6-8 April, 2022, Pullman Pattaya Hotel G, Pattaya, Thailand.





Transdisciplinary Studies on Sustainable and Equitable Energy Use in South East Asia

Researchers

Kyoto University
Kyoto University
University of Malaya
University of Malaya
University of Malaya
BRIN
Institute of Technology of Cambodia
Institute of Technology of Cambodia

Abstract

The challenges for sustainable energy use in Southeast Asia are enormous. On the one hand, energy consumption in urban households in the region's large metropolises is increasing due to rising household incomes and the expansion of household appliances. In more rural settings, the lag in clean energy access remains a critical problem for energy sustainability and equity. However, research associated with such challenges have mostly lacked of approaches oriented on key human determinants that can improve both conditions. In such a context, our project investigates the human aspects related to energy access and efficient household consumption from rural and urban perspectives in Southeast Asia. In 2021-2022, we have developed two investigations. The first is an extension of our study of the effects of electrification on the quality of life of people living in remote areas. We have used qualitative methods to identify individual preferences, social barriers and other difficulties in the adoption of renewable energy technologies widely used for electrification in remote areas. The second, a study focused on equity inside the household and its effect on the adoption of energy-efficient appliances in metropolitan cities of the Philippines and Indonesia. Following the lifting of travel restrictions in 2022, we visited four villages in Rizal (Philippines) and two villages in Sarawak (Malaysia) to collect more than 50 interviews for our first study. So far, our analysis has revealed that, in general, the capacity of the system is a serious constraint to new economic activities and increased household incomes. Unreliability, difficulties with intermittent power production and the quality of the systems supplied are other major obstacles to electrification's sustainability. However, electrification even on a small scale has brought benefits on health, safety and certain productive activities. Some benefits are more unique to specific settings, such as those for children's education in the Philippines and



improved family and neighbourhood interaction in Malaysia. However, electrification is also associated with certain negative effects related to changing lifestyle and activity cycles in communities. In addition, it may have exacerbated existing inequalities testified by the ability to tap into the resource of the more privileged members of the community. For our second study, we studied how equity in household functions is related to sustainable behaviour as seen through the intention to purchase efficient household appliances. A sample of participants collected in metropolitan cities in Indonesia and the Philippines (n=864) was analysed using multinomial logistic regression and multiple correspondence analysis in 2022 - 2023. It was found that equity in decision-making had the most significant effect on the choice of efficient appliances over other roles of appliance management and use, and the role of breadwinner appeared to be the most unrelated. The results provide empirical evidence that equity inside household could be significant for sustainable behavior in energy use, having important implication on policy to consider for the future expansion of household appliances in cities from rapid economic growth.



Research Summary

Electrification and Quality of Life: Perspectives on the equitable and sustainable use of energy in rural contexts of South East Asia

Great strides have been made to achieve universal electrification by 2030 as set out by the UN in the Sustainable Development Goals. However, only seven years before the target date more than 30 million people still lack access in Southeast Asia. Myanmar and Cambodia have made great efforts to achieve the goal, but still rank the two lowest by population percentage in terms of electricity access, having 51% and 81% each [1]. Similarly, Myanmar, Indonesia and the Philippines have gradually reduced their total population without electric power, but still rank highest with roughly 16, 8 and 4 million people without access, respectively [1]. Other countries appear to have achieved universal access, but not in each of their hinterland regions. Malaysia is one such example as it has a high electrification rate nationally, but remains critically low in the eastern regions of Sarawak and Sabah on the island of Borneo [2]. Although efforts in recent years are evident, it is still necessary to understand the underlying reasons behind the lag of universal electrification in such contexts.

Under such context, our project started studying the effects of electrification on populations without access in South East Asia. The project has focused on studying changes in people's Quality of Life (QoL) during the electrification process. Few research had focused on the benefits and social disparities in renewable energy technology adoption from such a perspective to provide clues about individual preferences, social barriers and other difficulties related to the process of technology appropriation. We have been investigating QoL and electrification in villages of five countries: Myanmar, Cambodia, The Philippines, Indonesia and Malaysia. After the introduction of PV systems, we have observed both positive and negative changes in in people's quality of life based on primary surveyed data [3, 4]. However, the reasons behind these changes and possible disparities between groups had not been explored until 2022. Since then, the project has focused on the exploration of benefits and social disparities during electrification using qualitative methods.

After the lifting of travel restrictions in 2022, we have visited four villages in Rizal (Philippines) and two villages in Sarawak (Malaysia), collecting more 50 interviews from these visits. Our analysis of the data so far has found that overall the system's capacity is a serious limitation for new economic activities and household income increase. The unreliability, difficulties with intermittent energy production, and quality of the systems provided are other major obstacles to the sustainability of the projects in all the sites studied. Some benefits are also similar across contexts,



e.g. health, safety and productivity effects from reduced reliance on more expensive kerosene lamps and car batteries, reduced fire risks, improved night-time manoeuvrability, reduced time spent collecting firewood or cooking, and the ability to store perishable goods for trade using a refrigerator. However, other benefits are more unique to specific environments, such as the marked benefits for children's education in the Philippines and improved family and neighbourhood interaction in Malaysia. Negative effects from electrification have also happened, such as an increased concern on the change of lifestyle and activity cycles in communities in both settings. Furthermore, electrification may have exacerbated previous inequalities, as some households appear to achieve greater benefits given a better off socio-economic status prior to electrification. Existing inequalities may have increased due to the developed ability to tap into the resource of more privileged members of the community. These results conform the preamble of the exploration using qualitative methods in conjunction with our colleagues in the Philippines, Malaysia and Indonesia.

As we progress the research, we have been presenting our results at various conferences. We have participated at the Grand Renewable Energy International Conference in December 2022 (online) [5], at the European Geosciences Union General Assembly (Austria) in February 2023 [6], and at the 6th International Conference on Clean Energy and Technology 2023 (Malaysia) in June 2023 [7].

Household roles and efficient appliance purchasing behavior: Perspectives on equitable and sustainable use of energy in urban contexts of South East Asia

Our second approach to the investigation of the social effects of energy consumption focuses on urban areas in Southeast Asia. During 2021 - 2022, we developed and tested a framework to analyse efficient appliance purchasing preference and household roles [8]. We designed a questionnaire based on the framework and collected a purposive sample from metropolitan cities in Indonesia and the Philippines (n=864). Following in 2022-2023, we found using Multinomial Logistic Regressions and Multiple Correspondence Analysis on the collected data that equity in the decision-making inside the household had the most important effect on choosing efficient appliances. Other roles related to household management or the appliance user were secondary, and the role of bread winner seemed unrelated. In general, the more inclusive households, i.e. having joint decisions, collaborative planning of payments and joint use, favoured purchasing efficient appliances. These results provided empirical evidence that more equitable household functions may be a significant configuration for



sustainable transitions, complementing the common knowledge that gender differences (women compared to men) exhibit more sustainable behaviour. The results were presented in the 3rd Int. Conf. on Energy Research and Social Science in June 2022 [9]. Currently, we are undergoing a review to publish the findings in an international journal.

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- 5. Cravioto et al. (2022) The effects of solar home systems on quality of life: Narratives from rural households in the Philippines. グランド再生可能エネルギー2022国際会議.
- Cravioto and Ohgaki (2023) Can the circular economy be relevant for rural development? Insights from communities without electricity in Southeast Asia. European Geosciences Union General Assembly 2023, Energy Resources and the Environment. Viena, Austria.
- Cravioto et al. (2023) Electrification effects on quality of life in Southeast Asia: A comparison of narratives in Malaysia and The Philippines. 6th International Conference on Clean Energy and Technology 2023. Penang, Malaysia.
- Cravioto et al. (2022) Household roles and efficient appliances purchasing in urban contexts of Indonesia and The Philippines. 38th Conference on Energy Systems, Economics and Environment. Japanese Society of Energy and Resources.
- Cravioto et al. (2022) Household roles and efficient appliances purchasing in urban Indonesia and The Philippines. Energy and Climate Transformations: 3rd International Conference on Energy Research and Social Science. Univ. of Manchester, UK.



Publications and Presentations

2021

 Asmara, A.Y., Hidayat, A.R.T., Ohgaki, H., Mitsufuji, T., and Cravioto, J. Utilization of solar and wind energy to improve the quality of life for rural communities in Blora Regency–Indonesia: from triple helix to quadruple helix. In IOP Conference Series: Earth and Environmental Science (Vol. 916, No. 1, p. 012036). IOP Publishing. Nov, 2021. (oral presentation)

2022

- 1. Cravioto, J. The impact of rural electrification on QoL: PV lamps use in the Philippines. Shiga Prefectural ZeZe High School. Jan 15, 2022. (invited lecture)
- Cravioto, J., Seniorita, L., Dumlao, S.M., Kumar, K., Qu, C., and Ohgaki, H. Household roles and efficient appliances purchasing in urban contexts of Indonesia and The Philippines. 38th Conference on Energy Systems, Economics and Environment. Japanese Society of Energy and Resources. Jan 25, 2022. (oral presentation)
- Cravioto, J. Household roles and efficient appliances purchasing in urban Indonesia and The Philippines. Data-Oriented Approaches to the Social Sciences and Humanities Unit End-Year Workshop 2021. Center for Southeast Asian Studies, Kyoto University, Mar 02, 2022. (oral presentation)
- Cravioto, J., Seniorita, L., Dumlao, S., Krishnamurthy, K., Qu, C., and Ohgaki, H. Household roles and efficient appliances purchasing in urban Indonesia and The Philippines. Energy and Climate Transformations: 3rd International Conference on Energy Research and Social Science. Univ. of Manchester, UK. June 20-23, 2022. (oral presentation)
- 5. Cravioto J. Household energy services and its relation with energy poverty measurements [Los servicios de energía y su relación con la medición de pobreza energética en hogares]. In Pobreza de Energía: Visiones desde América Latina (García-Ochoa R., coord.). Tijuana, Baja California: El Colegio de la Frontera Norte (COLEF); Zamora, Michoacán: El Colegio de Michoacán, pp. 61-84, Dec, 2022 [in Spanish]. (book chapter)
- 6. Cravioto, J., Ohgaki, H., Napao, J.M., Quinones, J. and Cabrera, J.A. The effects of solar home systems on quality of life: Narratives from rural households in the Philippines. グランド再生可能エネルギー2022 国際会議. Dec, 2022. (oral presentation)

2023

1. Cravioto, J. Solar electrification and Quality of Life in rural Philippines: Mixed-



research perspectives. Shiga Prefectural ZeZe High School. Jan 14, 2023. (invited lecture)

- Asmara, A.Y., Hidayat, A.R.T., Kurniawan, B., Ohgaki, H., Mitsufuji, T. and Cravioto, J. Building a Sustainable Photovoltaic Innovation System in Indonesia Through Network Governance Perspective. In: Triyanti, A., Indrawan, M., Nurhidayah, L., Marfai, M.A. (eds) Environmental Governance in Indonesia. Environment & Policy, vol 61. Springer, Cham, 2023. (book chapter)
- Cravioto, J., Seniorita, L., Dumlao G., S.M., Krishnamurthy, K., Qu, C. and Ohgaki,
 H. Does equity in household functions promote sustainable behaviour? Insights from Indonesia and the Philippines. Environment and Behaviour, submitted Jun 2023. (journal article, under review)
- Cravioto, J. and Ohgaki, H. Can the circular economy be relevant for rural development? Insights from communities without electricity in Southeast Asia. European Geosciences Union General Assembly 2023, Energy Resources and the Environment. Viena, Austria. Apr, 2023. (oral presentation)
- Cravioto, J. Vulnerability and the social dimensions associated with residential energy use [Vulnerabilidad y dimensiones sociales asociadas al uso de energía residencial]. Guanajuato Univ, Mexico. May 13, 2022. (invited lecture)
- Cravioto, J. Household energy use and vulnerability [Uso de energía en hogares y vulnerabilidad]. Seminario Internacional de Investigación en Ciencias de la Sostenibilidad. UNAM ENES Leon, Mexico. May 24, 2022. (invited lecture)
- Cravioto, J., Ohgaki, H., Tan, C.K., and Che, H.S. Electrification effects on quality of life in Southeast Asia: A comparison of narratives in Malaysia and The Philippines. 6th International Conference on Clean Energy and Technology 2023. Penang, Malaysia. Jun, 2023. (oral presentation)

Group Workshops, meetings, and researcher exchange

NA

Other outcomes

Best Presentation: Cravioto, J., Ohgaki, H., Tan, C.K., and Che, H.S. Electrification effects on quality of life in Southeast Asia: A comparison of narratives in Malaysia and The Philippines. 6th International Conference on Clean Energy and Technology 2023. Penang, Malaysia. Jun, 2023.